

**STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

2329 S. MacArthur Blvd.
Springfield, Illinois 62704-4503
217/782-4141 TTY: 217/782-1518
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph St, Ste 14-100
Chicago, Illinois 60601-3232
312/814-6440 TTY: 312/814-6431
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

**AGENDA
STATE BOARD OF ELECTIONS
BOARD MEETING
Tuesday, October 16, 2012
10:30 a.m.**

James R. Thompson Center – Room 9-040
Chicago, Illinois
and via videoconference
2329 S. MacArthur Blvd.
Springfield, Illinois

Call State Board of Elections to order.

Recess the State Board of Elections and convene as the State Officers Electoral Board.

Approval of the minutes from the September 17 SOEB meeting. (pg.1)

Adjourn the State Officers Electoral Board and reconvene as the State Board of Elections.

1. Approval of the minutes from the September 17 meeting. (pgs.2-8)
2. Report of the General Counsel
 - a. Campaign Disclosure;
Motion for settlement offer
 - 1) *SBE v. Concerned Citizens for America*, 11321, 12MQ056; (pgs.9-11)
 - 2) *SBE v. Citizens for William Moss*, 16556; (pgs.12-13)
 - 2.5) *SBE v. New Progress Party*, 23190; (pg.13.5)Appeals of campaign disclosure fines – new appeals – hearing officer recommendation appeals be granted
 - 3) *SBE v. Manufacturer PAC (MPAC)*, 404, 12AM087; (pgs.14-17)
 - 4) *SBE v. Local #65 Political Action Fund*, 441, 12AJ10; (pgs.18-20)
 - 5) *SBE v. Heritage Community Party*, 7060, 12MQ040; (pgs.21-24)
 - 6) *SBE v. Committee to Elect Jesse G. Reyes*, 20812, 12AJ040; (pgs.25-27)
 - 7) *SBE v. Ricardo Murray for Village Trustee*, 23702, 12MA061; (pgs.28-31)Appeals of campaign disclosure fines – new appeals – hearing officer recommendation appeals be denied
 - 8) *SBE v. Cook County Democratic Party*, 163, 12AM002; (pgs.32-37)
 - 9) *SBE v. Hamilton County Democratic Central Committee*, 207, 12JQ002; (pgs.38-40)
 - 10) *SBE v. Illinois Road Builders PAC*, 372, 12AD041; (pgs.41-51)
 - 11) *SBE v. LaSalle County Republican Century Club*, 1219, 12MQ025; (pgs.52-54)
 - 12) *SBE v. Friends of Ken Dunkin*, 11436, 12AM013; (pgs.55-58)
 - 13) *SBE v. Pro-Life Victory Committee*, 14081, 12AJ024; (pgs.59-61)

- 14) *SBE v. Citizens for Mariyana Spyropoulos*, 20799, 12AD054; (pgs.62-67)
- 15) *SBE v. Friends of Derrick Smith*, 22275, 12AM026; (pgs.68-75)
- 16) ~~*SBE v. New Progress Party*, 23190, 11SQ183; (pgs.76-79)~~
- 17) *SBE v. Committee to Elect Judge James L. Kaplan*, 23680, 12JQ119; (pgs.80-83)
- 18) *SBE v. Friends of Pat Mahon*, 23982, 12AM060; (pgs.84-89)
- 19) *SBE v. Citizens for Burkart*, 24172, 12AM114; (pgs.90-100)
- 20) *SBE v. IBEW Local 9 PAC Fund*, 24351, 12MA074; (pgs.101-107)

Appeals of campaign disclosure fines – new appeals – hearing officer recommendation appeals be granted and denied

- 21) *SBE v. Friends of Monique Davis*, 1059, 12AM006; (pgs.108-117)
- 22) *SBE v. Citizens to Elect Judge Lorna Propes*, 23672, 12AM036; (pgs.118-123)
- 23) *SBE v. Citizens to Elect Judge Anthony C. Kyriakopoulos*, 23699, 12MA060; (pgs.124-127)

Appeals of contribution limit fines – new appeals – hearing officer recommendation appeals be granted

- 24) *SBE v. Citizens to Elect Judge Lorna Propes*, 23627, 12MQ-CL013; (pgs.128-133)
- 25) *SBE v. Neil Anderson for IL 72nd District*, 23973, 12MQ-CL016; (pgs.134-137)
- 26) *SBE v. Citizens to Elect Mary Margaret Burke*, 23978, 12MQ-CL017; (pgs.138-141)

Other campaign disclosure items

- 27) Payment of civil penalties – informational; (pgs.142-143)

Consideration of hearing officer recommendation

- 28) *Sherman v. Indian Trails Public Library District, et al*, 11CD019 & 11CD023; (sent under separate cover)

Complaints following closed preliminary hearing (separate packet)

- 29) *Allen v. Eddy*, 12CD119; (pgs.1-12)
- 30) *Batinick v. Duffy Blackburn for Will County Auditor*, 12CD120; (pgs.13-32)
- 31) *Blum v. Stephenson County Accountability Network*, 12CD121; (pgs.33-77)
- 32) *SBE v. NISMACNA Contractors Association, Inc.*, 12CD122; (pgs.78-81)
- 33) *SBE v. Citizens to Elect Cassandra Goodrum Burton*, 12CD123. (pgs.82-)

3. Report of the Executive Director

- a. Preparations for the November 6, 2012 General Election;
 - 1) Election day assignments; (pg.144)
 - 2) Federal Consent Decree/UOCAVA update; (pg.145)
 - 3) eCanvass update; (pg.146)
 - 4) Election judge training schools – informational; (pgs.147-149)
- b. Legislative update – judicial redaction; (pgs.150-154)
- c. IVRS update – informational; (pgs.155-158)
- d. Census data versus registered voters; (pg.159)
- e. Proposed addition to SBE Policy Manual; (pgs.160-161)
- f. Fiscal status reports – informational;
 - 1) FY12 & 13 – month ending September 30; (pgs.162-177)
 - 2) Help Illinois Vote Fund; (pgs.178-189)
- g. Two year plan of staff activity for the months of October & November – informational. (pgs.190-195)

4. Follow up. (pg.196)

5. Comments from the general public. (pg.196)

6. Next Board meeting at 10:30 a.m. on Monday, November 19, 2012 in Springfield. (pg.196)

7. Executive session. (pgs.197-201)

STATE OFFICERS ELECTORAL BOARD
Special Meeting
Monday, September 17, 2012

MINUTES

PRESENT:

William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers, Member
Betty J. Coffrin, Member
Ernest L. Gowen, Member
Judith C. Rice, Member
Bryan A. Schneider, Member
Charles W. Scholz, Member

ALSO PRESENT:

Rupert Borgsmiller, Executive Director
James Tenuto, Assistant Executive Director
Steve Sandvoss, General Counsel
Amy Calvin, Administrative Assistant II

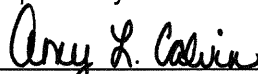
The special meeting of the State Officers Electoral Board was called to order via videoconference at 10:40 with all Members present. Chairman McGuffage, Vice Chairman Smart and Members Byers, Coffrin, Gowen and Scholz were present in Springfield and Members Rice and Schneider were present in Chicago.

The General Counsel presented the Rules of Procedure for the State Officers Electoral Board and indicated only minor changes were incorporated to accommodate this hearing. Member Byers moved to approve the Rules of Procedure as presented. Member Coffrin seconded the motion which passed by roll call vote of 8-0.

Next was consideration of objections to candidate nomination for the November 6, 2012 General Election for the following matters: *Martin v. Obama*, 12SOEBGE111; *Freeman v. Obama*, 12SOEBGE112; and *Jackson v. Obama*, 12SOEBGE113. The General Counsel reviewed the matters and indicated that the basis of objections were to the natural born citizenship of the current President Barack Obama. Counsel for the candidate filed three motions to dismiss based on the premise that similar objections previously filed by Mr. Freeman and Mr. Jackson have been litigated and dismissed based on the hearing officer recommendation that the candidate submitted proper documentation establishing his natural born citizenship. The General Counsel recommended to invoke Rule 3 of the Rules of Procedure and grant the motion to strike and dismiss the objections for those reasons stated in the motion. Chairman McGuffage moved to accept the recommendation of the General counsel and dismiss the objections. Member Byers seconded the motion which passed by roll call vote of 8-0.

With there being no further business before the State Officers Electoral Board, Chairman McGuffage moved to recess until October 16, 2012 at 10:30 a.m. Member Scholz seconded the motion which passed unanimously. The meeting recessed at 10:48 a.m.

Respectfully submitted,



Amy Calvin, Administrative Assistant II



Rupert Borgsmiller, Executive Director

STATE BOARD OF ELECTIONS
Regular Meeting
Monday, September 17, 2012

MINUTES

PRESENT:

William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers, Member
Betty J. Coffrin, Member
Ernest L. Gowen, Member
Judith C. Rice, Member
Bryan A. Schneider, Member
Charles W. Scholz, Member

ALSO PRESENT:

Rupert Borgsmiller, Executive Director
James Tenuto, Assistant Executive Director
Steve Sandvoss, General Counsel
Amy Calvin, Administrative Assistant II

The meeting convened at 10:40 a.m. via videoconference with all Members present. Chairman McGuffage, Vice Chairman Smart and Members Byers, Coffrin, Gowen and Scholz were present in Springfield and Members Rice and Schneider were present in Chicago.

Chairman McGuffage opened the meeting by leading everyone in the pledge of allegiance.

Vice Chairman Smart moved to approve the minutes from the August 24 meeting as presented. Member Byers seconded the motion which passed unanimously.

Chairman McGuffage moved to recess the State Board of Elections and reconvene as the State Officers Electoral Board. Member Scholz seconded the motion which passed unanimously. The meeting recessed at 10:42 a.m. and reconvened at 10:48 a.m.

The Executive Director presented a Resolution in honor of James Lewis who recently retired from the East St. Louis Board of Elections Commissioners. Since Mr. Lewis was not present to accept the resolution it was agreed that Member Byers would hand deliver it to him. Chairman McGuffage commended Mr. Lewis for all of his accomplishments and, for the record, asked Member Byers to read the resolution. Member Byers moved to accept the Resolution. Chairman McGuffage seconded the motion which passed unanimously.

The General Counsel discussed a candidate withdrawal and appointment of Green Party nominee for U.S. Vice President and said the withdrawal was received on September 10 and the resolution to fill the vacancy was received on September 13, well after the date of certification. He noted that the actual vacancy occurred prior to certification and the law states that must be filled prior to certification as well. Pursuant to the procedure the Board approved at its last meeting, staff contacted the affected election jurisdictions and those who responded indicated it would cause an undue hardship to amend the certification at this late date because printing, proofing and preparations for absentee ballots for military and overseas voters had already begun. The General Counsel recommended taking no action on the candidate withdrawal and no action on the resolution to fill the vacancy in nomination. Vice Chairman Smart moved to accept the recommendation of the General Counsel. Member Coffrin seconded the motion which passed by roll call vote of 8 - 0.

The General Counsel presented motions for settlement offers for Agenda items 3.a.0-1: 0) *SBE v. Jackson County Democratic Central Committee*, 303, 12MA076; and 1) *SBE v. District 23 Teachers Assn. IPACE*, 6597, 12MQ036 and reviewed the matters. No one was present for the respondent committees. The General Counsel recommended the motions be granted and accept the 50% settlement offers from both committees. Vice Chairman Smart moved to accept the recommendation of the General Counsel. Member Byers seconded the motion which passed by roll call vote of 8 - 0.

The General Counsel presented the following appeals of campaign disclosure fines and concurred with the hearing officer recommendation to grant the appeals for Agenda items 3.a.2-8 and 10-19:

- 2) *SBE v. Coalition for Better Government*, 64, 12AM001;
- 3) *SBE v. Monroe County Democratic Central Committee*, 1162, 12AM007;
- 4) *SBE v. Friends of Patrick Nichting*, 12729, 12MQ067;
- 5) *SBE v. Committee to Elect Michael D. Burke State's Attorney*, 17849, 12MQ118;
- 6) *SBE v. Friends of Jennifer Gomric Minton*, 17850, 12MQ119;
- 7) *SBE v. Citizens for Aaron Del Mar*, 21544, 12DQ134;
- 8) *SBE v. Friends of Elgie Sims*, 22210, 12AM025;
- 10) *SBE v. Joy Cunningham for Justice*, 23691, 12AM038;
- 11) *SBE v. Citizens to Elect Karen Lynn O'Malley*, 23846, 12AM050;
- 12) *SBE v. Friends of Paul Pavlus*, 23874, 12MQ247;
- 13) *SBE v. Northwest Suburban Teachers Union Local 1211*, 23915, 12AM056;
- 14) *SBE v. Daniel Biss for State Senate*, 23971, 12MA065;
- 15) *SBE v. Illinois for Sidmore*, 23972, 12MQ255;
- 16) *SBE v. Neil Anderson for IL 72nd District*, 23973, 12AM058;
- 17) *SBE v. Citizens for Beatriz Santiago*, 23990, 12AD069;
- 18) *SBE v. Wallace for Illinois*, 23991, 12AM061;
- 19) *SBE v. Citizens to Elect Deborah Riley*, 24332, 12MA073.

No one was present for the respondent committees. Vice Chairman Smart moved to accept the recommendation of the General Counsel and hearing officer in the above noted appeals. Member Scholz seconded the motion which passed by roll call vote of 8- 0.

The General Counsel presented Agenda item 3.a.9, *SBE v. Committee to Elect Mel Thillens*, 23224, 12MA057 and indicated he did not concur with the recommendation of the hearing officer to outright grant the appeal. He noted that the committee filed a final report twenty months after the creation date but did not file the required reports during that time. Therefore, The General Counsel recommended the appeal be denied and the penalty imposed, however, since a final report was filed the fine will be abated after two years if they do not file a successor committee during that timeframe. Member Scholz moved to accept the recommendation of the General Counsel. Member Coffrin seconded the motion which passed by roll call vote of 8- 0.

The General Counsel presented Agenda item 3.a.36, *SBE v. Citizens for Donald E. Puchalski*, 19240, 12MQ131 and it was agreed to pull the matter from the Agenda.

The General Counsel presented the following appeals of campaign disclosure fines where the hearing officer recommended the appeals be denied for Agenda items 3.a.20, 40 & 53: 20) *SBE v. Brown County Democrat Central Committee*, 385, 12MQ009; 40) *SBE v. Citizens for Mayor Gaulrapp*, 21340, 12MQ173; and 53) *SBE v. Friends of Chris Benson*, 23896, 12AM054. The General Counsel did not concur with the hearing officer and recommended the appeals be granted

based on the application of the electronic filing defense. Vice Chairman Smart moved to accept the recommendation of the General Counsel for the above noted appeals. Member Byers seconded the motion which passed by roll call vote of 8- 0.

The General Counsel presented the following appeals of campaign disclosure fines where he concurred with the hearing officer recommendation to deny the appeals for Agenda items 3.a. 23-31, 33-35, 38, 39, 41-46, 48, 49, 51, 52 and 54-56:

- 23) *SBE v. Chicago Cosmetologists Assn.*, 7365, 12MQ041;
- 24) *SBE v. Conservation Police Lodge #146 FOP*, 7370, 12MQ042;
- 25) *SBE v. Kankakee Township Republicans*, 11296, 12MQ054;
- 26) *SBE v. Geneva Township Democrats*, 13328, 12MQ071;
- 27) *SBE v. Citizens for Dan Patlak*, 13999, 12AM014;
- 28) *SBE v. Mechanical Industry PAC*, 14080, 12MQ078;
- 29) *SBE v. Growing Communities Helping Students*, 14150, 12MQ079;
- 30) *SBE v. Education First Committee*, 14648, 12MQ085;
- 31) *SBE v. Advance 300*, 15501, 12MQ092;
- 33) *SBE v. Friends to Elect Sam LaBarbera*, 16842, 12MQ106;
- 34) *SBE v. Citizens for Patricia Gira*, 17447, 12MQ115;
- 35) *SBE v. Geneva Democratic Club*, 18020, 12MQ121;
- 38) *SBE v. Friends of Brendan Appel*, 20737, 12MQ158;
- 39) *SBE v. Citizens for Roads*, 21020, 12AM023;
- 41) *SBE v. Friends of Weeks*, 21652, 12MQ184;
- 42) *SBE v. Citizens for Sarah Gallagher Chami*, 22320, 12MQ201;
- 43) *SBE v. Friends for Beverly Ann Mull*, 22326, 12MQ202;
- 44) *SBE v. Citizens for Carole Cheney*, 22683, 12AM030;
- 45) *SBE v. Citizens for Foster*, 22935, 12MA054;
- 46) *SBE v. Friends of Wendell Mosby*, 22944, 12DQ219;
- 48) *SBE v. Donna Leoni Peluso and Jay Reyes for Triton College*, 23477, 11AJ132;
- 49) *SBE v. Friends of Billy Earl*, 23527, 11SQ264;
- 51) *SBE v. Citizens to Elect Terry Gallagher, also known as John T. Gallagher*, 23880, 12AM053;
- 52) *SBE v. Friends of Naomi Davis*, 23892, 12DQ223;
- 54) *SBE v. African Political Action Committee*, 24083, 12MQ255;
- 55) *SBE v. Friends of Tim Whelan*, 24102, 12AM070;
- 56) *SBE v. Monroe County Young Democrats*, 24157, 12MQ267;

No one was present for the respondent committees. Vice Chairman Smart moved to accept the recommendation of the General Counsel and hearing officer for the above noted appeals. Member Gowen seconded the motion which passed by roll call vote of 8- 0.

The General Counsel presented Agenda item 3.a.21, *SBE v. SWIC Faculty Union Political Action Committee*, 5500, 12MA041 and summarized the matter. He concurred with the hearing officer recommendation to deny the appeal. Donald Bevirt was present on behalf of the committee and said he attempted to catch up on the required filings after the previous treasurer left but found that the IDIS filing software was not compatible with his Macintosh computer and then asked for a 50% reduction of the penalty. After discussion, Vice Chairman Smart moved to grant the appeal based on application of the electronic filing defense. Member Byers seconded the motion which passed by roll call vote of 8- 0.

The General Counsel presented Agenda item 3.a.22, *SBE v. Illinois Assn. of Mortgage Brokers PAC*, 7305, 12DQ208 and summarized the matter. He concurred with the hearing officer recommendation to deny the appeal. Rob Perry was present on behalf of the committee and said a pipe had burst in his office resulting in over six inches of standing water which destroyed computer equipment and most of the paper records. He indicated the committee intended to dissolve and the approximate balance in the fund was \$800. Vice Chairman Smart moved to accept the recommendation of the General Counsel to deny the appeal and order to the committee to pay the fine with any funds available at the time of the filing of the final report. Member Scholz seconded the motion which passed by roll call vote of 8 - 0.

The General Counsel presented Agenda item 3.a.32, *SBE v. Roger Mulch for Sheriff*, 16189, 12AD069 and concurred with the hearing officer recommendation to deny the appeal. Roger Mulch was present on behalf of the committee and said at the time of the filing he and his wife had very hectic work schedules and also had issues with internet access because they live in a very rural area. He stated that his record had always been exemplary and this was his first violation in eleven plus years. Chairman McGuffage moved to grant the appeal based on the application of the electronic filing defense. Member Byers seconded the motion which passed by roll call vote of 8 - 0.

The General Counsel presented Agenda item 3.a.37, *SBE v. Friends of Trevor Clatfelter*, 20425, 12MQ148 and concurred with the hearing officer recommendation to deny the appeal. Trevor Clatfelter was present on behalf of the committee and said at the time of the filing he had a very hectic travel schedule between his full time job and also as Mayor of Sherman. He also noted that the IDIS software is not compatible with his Macintosh computer and asked if the fine could be stayed. Vice Chairman Smart moved to grant the appeal based on the application of the electronic filing defense. Member Byers seconded the motion which passed by roll call vote of 8 - 0.

The General Counsel presented Agenda item 3.a.47, *SBE v. New Progress Party*, 23190, 11SQ183 and summarized the matter. He concurred with the hearing officer recommendation to deny the appeal. Francine Anderson was present on behalf of the committee and said she filed a final report and the funds balance is zero. She also noted that the committee intends to remain dissolved. Member Scholz moved to accept the recommendation of the General Counsel and hearing officer. Vice Chairman Smart seconded the motion which passed by roll call vote of 8 - 0.

The General Counsel presented Agenda item 3.a.50, *SBE v. Friends to Elect James A. Wright*, 23843, 12AM049 and summarized the matter. He concurred with the hearing officer recommendation to deny the appeal. James Wright was present on behalf of the committee and indicated there were very little funds in the account and asked for a reduction in the fine. Vice Chairman Smart moved to accept the recommendation of the General Counsel and reduce the fine by 50%. Member Scholz seconded the motion which passed by roll call vote of 8 - 0.

The General Counsel presented the following appeals of campaign disclosure fines and he concurred with the hearing officer recommendation to grant in part and deny in part for Agenda items 3.a.57-59: 57) *SBE v. United for Rudy Lozano, Jr.*, 21656, 12MA048; 58) *SBE v. Citizens in Support of West Northfield School Dist. 31 Tax Referendum*, 24113, 12AM072; and 59) *SBE v. Committee to Elect Cathy Cawiezel*, 24131, 12MA069. No one was present for any of the committees. The General Counsel noted that the fine for Agenda item 3.a.57 should be \$492. Chairman McGuffage moved to accept the recommendation of the General Counsel and hearing officer for the above noted appeals. Member Byers seconded the motion which passed by roll call vote of 8 - 0.

The General Counsel presented the following appeals of contribution limit fines and he concurred with the hearing officer recommendation to grant the appeals for Agenda items 3.a.60-64: 60) *SBE v. Friends for Eugene Williams*, 19769, 12MQCL005; 61) *SBE v. Friends for Proco Joe Moreno*, 20809, 12MQCL007; 62) *SBE v. Jessica O'Brien for Judge*, 22487, 12MQCL010; 63) *SBE v. Joy Cunningham for Justice*, 23691, 12MQCL014; and 64) *SBE v. Citizens for Chester Slaughter*, 24279, 12MQCL018. Dennis Gianopolus was present on behalf of the committee for Agenda item 3.a.60 and Rich Means present on behalf of the committee for Agenda item 3.a.63 and both concurred with the recommendations. Vice Chairman Smart moved to accept the recommendation of the General Counsel and hearing officer in the above noted appeals. Member Coffrin seconded the motion which passed by roll call vote of 8- 0.

A listing of civil penalty assessments necessitating a final board order was presented. Member Coffrin moved to issue final board orders for those committees listed on page 296 of the Board packet. Vice Chairman Smart seconded the motion which passed unanimously.

A listing of civil penalty payments was presented for informational purposes.

The General Counsel presented a proposed rule amending campaign disclosure rules and regulations and reviewed the changes which were underlined on pages 298-334 of the Board packet. The Executive Director asked the Board for authorization to make minor changes to the rule as needed prior to submittal to JCAR. Chairman McGuffage moved to approve the proposed rule and authorize staff to make minor changes prior to submittal to JCAR. Vice Chairman Smart seconded the motion which passed by roll call vote of 8- 0.

Ms. Francine Anderson, who was present on behalf of the committee for *SBE v. New Progress Party*, 23190, 11SQ183, asked to speak again and indicated there was an individual that might run for office in 2013 and inquired how it would affect the abated fine for that committee. After discussion, Member Scholz moved to suspend the Board order and place the matter on the October Agenda for consideration. Member Coffrin seconded the motion which passed by roll call vote of 8 - 0.

After a short break, the Executive Director began his report with preparations for the November 6, 2012 General Elections. Cris Cray reported on the Federal Consent Decree and said that the 55 day reports were due today and the clerks were doing a great job getting those submitted. The 45 day report will be sent to the election authorities tomorrow and all military ballots are required to be sent out by Saturday. The Executive Director indicated that the counties of Boone, Effingham, Jasper, JoDaviess, Macoupin and Stephenson have been selected for voting system pre-testing and those will be conducted by Dianne Felts and her staff prior to the election. A listing of election judge training schools was presented for informational purposes. It was noted that this is a very good program and staff will continue conducting the training sessions.

Ms. Felts gave a report on the testing of Dominion's ImageCast In-Precinct and the ImageCast Central Count voting system which would be used in conjunction with systems used by Cook County and Chicago Board of Election Commissioners. Ms. Felts explained that this was a new voting system and for any new system or modification to a current system she asks for a two year interim approval. Staff and temporary workers hired by the vendor ran approximately 300,000 ballots through the system using a mock General, General Primary and Consolidated Election and after adjusting a few ballot and equipment settings the counts were error free in the end. Ms. Felts noted that she does recommended two year interim approval with the restrictions outlined in her memo on pages 343-345 of the Board packet. Vice Chairman Smart moved to accept Ms. Felt's

recommendation to grant two year interim approval. Member Scholz seconded the motion which passed by roll call vote of 8 - 0.

The Executive Director reported on the Advisory Committee meeting that was held before the Board meeting and topics discussed included Green Party certification, an eCanvass update, military and overseas voters, legislation, MOVE Act and a statewide database update. Two pieces of legislation were introduced dealing with the December 24 filing date for the Consolidated Election; one suggesting providing for filing and objection dates that would occur before the Christmas holiday; and the other declaring December 24 a state holiday for Election Code purposes only. The next meeting of the Advisory Committee is scheduled for January 22, 2013.

Cris Cray gave a legislative update and discussed the need for a supplemental appropriation during the fall veto session for additional funding for election day judges, county clerk stipends and the statewide voter registration system. She noted that her memo on page 348 of the Board packet outlined the exact figures and asked for Board approval to request the supplemental appropriation. Member Coffrin moved to authorize the supplemental appropriation including the amounts recommended by Ms. Cray. Member Scholz seconded the motion which passed by roll call vote of 8 - 0.

Next was a report on the Campaign Finance Reform Task Force Meeting that was held on September 13th in the Chicago and Springfield offices. The primary reason for the meeting was that the task force had to deliver to the Legislative leaders and Governor, a report dealing with contribution limits. The recommendation was to let both 9-8.5(c)(5) and (c)(10) sunset which dealt with political party committee nonparticipation. Since the committee found this to be unnecessary and in some cases confusing they voted 7-1 to allow those issues to sunset. The committee also discussed the election cycle for candidates in consolidated elections as opposed to general elections. The next meeting of the Task Force is scheduled for November 15, 2012.

Kyle Thomas gave a brief IVRS update and indicated the duplicate matches are at a record low because the jurisdictions continue to clean up the system. As to the number of registered voters versus census data, he noted that Pulaski County is still above 100% because they are still in the process of conducting their voter purge. Once the election is completed and registration opens those numbers should decrease.

The Executive Director proposed moving the December Board meeting date from the 7th to the 18th because he felt the entire canvass would be ready for certification at the special meeting on December 2. He also noted that the January meeting should be on the 22nd instead of the 15th. It was agreed by all to approve those changes.

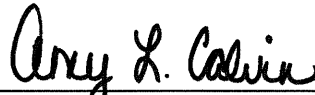
The fiscal status reports were presented and the Executive Director noted that \$1.3 million from FY12 will be returned to the State Treasurer and that the Agency did an excellent job monitoring expenses throughout the fiscal year. He noted that FY13 expenses are being closely monitored and they are on target at this time.

A two year plan of staff activity was presented for informational purposes.

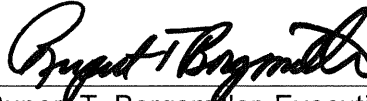
The Board unanimously approved Chairman McGuffage's motion to recess to executive session. The meeting recessed at 1:20 p.m. and reconvened at 1:25 p.m.

With there being no further business before the Board Vice Chairman Smart moved to adjourn until Tuesday, October 16, 2012 at 10:30 a.m. Member Byers seconded the motion which passed unanimously. The meeting adjourned at 1:30 p.m.

Respectfully submitted,



Amy Calvin, Administrative Assistant II



Rupert T. Borgsmiller, Executive Director

STATE BOARD OF ELECTIONS

12 OCT -2 AM 10:17

Leland L. Freberg

Certified Public Accountant

Limited Liability Company

C P A

6072 Brynwood Drive, Suite 202
Rockford, Illinois 61114-5829

Phone (815) 637-1800
Fax (815) 637-1812

11321

August 31, 2012

Sharon Steward
State Board of Elections
1020 South Spring Street
P.O. Box 4187
Springfield, IL 62708

Re: Concerned Citizens for America (CCA)
Committee ID: 11321

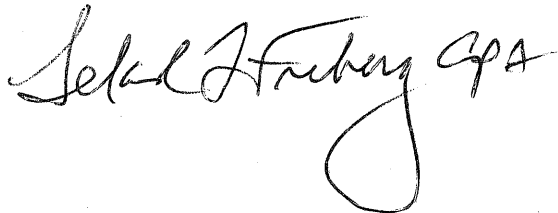
Dear Ms. Steward,

Thank you for speaking with me on Thursday August 16, 2012 concerning the Final Order dated July 17, 2012. I have enclosed a copy of this document for reference.

We are a very small organization, whose average checking account balance and cash available is in the range of \$400 to \$700. We acknowledge the recommendation of the Hearing Office. Considering these facts we would like to propose a settlement payment of \$487.50 to fully and completely resolve the matter.

CCA and its Board of Directors thank you for consideration of this proposal and look forward to your positive response.

Sincerely,



Leland L. Freberg
Treasurer
Concerned Citizens for America

1/27/12

Sharon, thanks for speaking
with me.
JBF

Member, American Institute of Certified Public Accountants
Member, Illinois CPA Society

STATE OF ILLINOIS)
) SS
COUNTY OF SANGAMON)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
Illinois State Board of Elections)
 Complainant(s),)
Vs.) 12 MQ 056
)
Concerned Citizens for America)
 Respondent(s).)

FINAL ORDER

TO: Concerned Citizens for America ID# 11321
 PO Box 7996
 Rockford, IL 61126-7996

This matter coming to be heard this 16th day of July, 2012, as an appeal of the imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. In case number 12 MQ 056, a \$150.00 civil penalty was initially assessed against the Respondent for the delinquent filing of the March 2011 Quarterly report; appeal was taken from this assessment, and
2. The committee was previously assessed a penalty of \$950.00 for the delinquent filing of the December 2009 Semi-annual report (10 DS 233), appeal of which was denied, and a penalty of \$25.00 for the delinquent filing of the December 2011 Quarterly report (12 DQ 047). This assessment was not appealed. All previously assessed penalties remain unpaid, and
3. The recommendation of the Hearing Officer, in which the General Counsel concurs, is that the appeal be granted.

IT IS ORDERED:

1. That the recommendation of the Hearing Officer and the General Counsel is adopted and the appeal is GRANTED; and
2. The previously assessed penalty of \$975.00 remains unpaid and is past due, and
3. The effective date of this Order is July 17, 2012, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 7/17/2012


William M. McGuffage, Chairman

STATE OF ILLINOIS)
) SS
COUNTY OF SANGAMON)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections)
Complainant(s),)
)
Vs.) 12 DQ 047
)
Concerned Citizens for America)
Respondent(s).)

FINAL ORDER

TO: Concerned Citizens for America ID# 11321
PO Box 7996
Rockford, IL 61126-7996

This matter coming to be heard this 22nd day of May, 2012 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

THE BOARD FINDS

1. In case number 12 DQ 047, a \$25.00 civil penalty was assessed against the Respondent for the delinquent filing of the December 2011 Quarterly Report; and
2. An appeal of the civil penalty was not submitted by the committee, and
3. The committee was previously assessed a penalty of \$950.00, which was stayed as a first violation, for the delinquent filing of the December 2009 Semi-annual report (10 DS 233). The appeal of this assessment was denied.

IT IS ORDERED:

1. A civil penalty in the amount of \$25.00 is imposed and the stay is lifted from the previously assessed penalty of \$950.00. The total amount of 975.00 is now due and owing within 30 days of the effective date of this Order; and
2. The effective date of this Order is May, 24, 2012, and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 5/24/2012


William M. McGuffage, Chairman

STATE BOARD OF ELECTIONS

12 SEP 17 AM 9:31

State Board of Elections
State of Illinois

Sharon Steward, Director
Campaign Disclosure Division

16556

I Norma Moss would like to waive the appeal process for Citizens for William Moss
and offer a settlement of 425.00 half of the \$850.00 penalty assessment owed.

Norma Moss

9/17/2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/ 782-4141
Fax: 217/ 782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312814-6440
Fax: 312814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Citizens for William Moss
1109 N Park Ave
Springfield, IL 62702-3638

ID# 16556

7011 2970 0003 6439 4233

Dear Citizens for William Moss:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

| | |
|----------------|---|
| Report Type: | June Quarterly Report of Campaign Contribution and Expenditures |
| Report Period: | April 1, 2012 through June 30, 2012 |
| Filing Period: | July 1, 2012 through July 16, 2012 |

Based upon this committee's failure to comply with the provision of 10 ILCS 59-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on July 26, 2012, 8 day(s) late. As such, this committee has been assessed a fine of \$800.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 4 you forfeit the right to contest this assessment.***

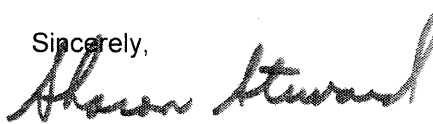
If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

| <u>Reporting Period</u> | <u>Report Type</u> | <u>Previous Fine Amount</u> |
|------------------------------|--------------------|-----------------------------|
| April 1 through June 30 2011 | Quarterly | \$50 |
| TOTAL AMOUNT NOW DUE | | \$850 |

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,


Sharon Steward, Director,
Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

STATE BOARD OF ELECTIONS

Francine Anderson

12 OCT -5 PM 12:26

1606 E. 216th Street

Sauk Village, IL 60411

312-315-5632 francineanderson1606@hotmail.com

October 5, 2012

Sharon Steward, Director
State of Illinois Board of Elections
Division of Campaign Disclosure
PO Box 4187
Springfield, Illinois 62708-4187

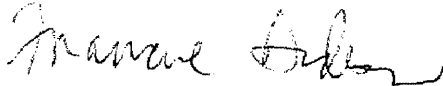
RE: New Progress Party (23190)

Dear Ms. Steward,

The Directors of New Progress Party has meet and would like to clear up the penalty for delinquent filing of reports. New Progress Party Directors would like to request the consideration of offering a settlement for the fines assessed, which is \$1,050(one thousand and fifty dollars). We would like to make an offer to settle the fine with a payment of \$525 (five hundred and twenty five dollars) which is half of the fees assess to the organization. We hope that the settlement will be granted by the Board.

Thank you in advance for your consideration in this matter.

Sincerely,



Francine Anderson

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

12 AM 087

Manufacturers PAC (MPAC)

Cmte ID: 404

Respondent

REPORT OF HEARING OFFICER

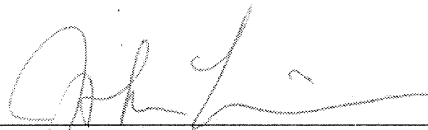
Appeal of Civil Penalty Assessment
For Failing to File a Schedule A-1 Report

The Committee failed to file a Schedule A-1 with respect to a \$3000 donation from the Ford Motor Company Civic Action Fund, dated March 26, 2012. The subsequent Quarterly Report was filed April 16, 2012, meaning the receipt was reported 12 days late. The assessment is \$1500. This is the Committee's first assessment.

Greg Baise, the Treasurer of the Committee at the time, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Mr. Baise stated the receipt was entered into the IDIS electronic filing system, but no Schedule A-1 was filed because the system did not generate the requisite Report.

Section 100.150 (5) of the Rules accompanying the Illinois Campaign Finance Act reads, in part, "If a committee is assessed a civil penalty for delinquently filing a report required to be filed electronically and, in the course of its appeal, raises the defense that computer related issues (including, but not limited to, software, firewalls, system failures) prohibited the timely filing of an electronic report, the Board may consider that defense when determining the final outcome of the appeal." Without getting into whether the electronic filing software actually generated the Schedule A-1 in question, the Committee merits a one-time waiver of an assessment pursuant to past Board practice. The Committee's reported balance as of June 30, 2012, was \$5,488.79.



John Levin – Hearing Officer
September 25, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 4, 2011

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Manufacturers PAC (MPAC)
220 E Adams St
Springfield, IL 62701-1123

ID# 404

7011 2970 0003 6439 2697

Dear Manufacturers PAC (MPAC):

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

| <u>Contributed By</u> | <u>Date of Contribution</u> | <u>Amount of Contribution</u> | <u>Date A-1 Received</u> | <u>Days Late</u> | <u>Fine Assessed</u> |
|--------------------------------------|-----------------------------|-------------------------------|--------------------------|------------------|----------------------|
| Ford Motor Company Civic Action Fund | 3/26/2012 | \$3000 | * | 12 | \$1500 |

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$1500 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$150, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be **stayed** as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 4, you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

A handwritten signature in cursive script that reads "Sharon Steward".

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosures: appeal packet

* This contribution was reported on the March Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

State of Illinois)
County of : _____)

STATE BOARD OF ELECTIONS

12 SEP 19 PM 1:51

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Manufacturers Political Action Committee)
Respondent(s).)

Case No. 12AM087

APPEAL AFFIDAVIT

I, Gregory W. Baise, the Treasurer of the
(Name) (Chairman/Treasurer)
Manufacturers Political Action Committee (MPAC)

(Name of the Committee)

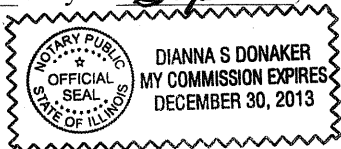
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

See attached

[Signature]
Signature of Chairman/Treasurer

Signed and Sworn to by: [Signature]
before me this 7 Day of Sept, 2012

Notary Public
(seal)



MPAC

MANUFACTURERS POLITICAL ACTION COMMITTEE

AN AFFILIATE OF THE ILLINOIS MANUFACTURERS' ASSOCIATION

220 EAST ADAMS STREET • SPRINGFIELD, ILLINOIS 62701-1123 • 217-522-1240 • FAX 217-525-2526

COORDINATOR: MARK DENZLER

September 7, 2012

Sharon Steward
Director, Campaign Disclosure Division
Illinois State Board of Elections
2329 S. MacArthur Blvd.
Springfield IL 62704

Dear Ms. Steward:

On behalf of the Manufacturers Political Action Committee, pursuant to a conversation our staff had with Kim in your office, please consider this an appeal of a letter dated September 4 with regard to an alleged late filing of an A-1 form.

MPAC received the contribution from Ford Motor Company and deposited the check. When the check was entered into the State Board of Election website, the IDIS system did not generate the requisite A-1 form for filing. According to our conversation with your office, this is not the first time that the IDIS system has failed to produce the necessary form.

During our quarterly reconciliation, we discovered the error and promptly filed the necessary paperwork with your office.

As discussed with your office, we appreciate the right to appeal this finding so that the fine will be waived. It is our intent to fully comply with all of the campaign finance and disclosure laws.

Thank you for your prompt attention. I'm happy to answer any questions.

Best,



Greg Baise

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

12 AJ 10

Local #65 Political Action Fund

Cmte: 441

Respondent

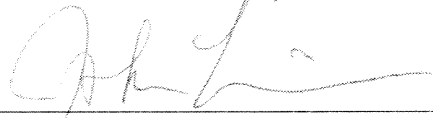
REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment
For Delinquently Filing Schedule A-1s

The Committee filed three (3) Schedule A-1s on July 5, 2012, with respect to donations from an entity identified as Health and Welfare. The Reports were received 47, 28, and 5 days late, resulting in assessments of \$838.74, \$945.48, and \$984.40, respectively, for a total assessment of \$2,768.62. The Committee was previously fined \$150 (not appealed, stayed) for delinquently filing the December 2011 Quarterly Report.

Chairman R. Lawrence Gooding filed a waiver of appearance and affidavit in this matter. He stated that the donation amounts represented aggregated dues from members of Plumbers and Pipefitters Local 65, each of them individually in a small amount.

I recommend the appeal be granted. Under the Campaign Finance Act, there is no requirement for Schedule A-1s to be filed for individual donations of less than \$1000. I have communicated with the Committee official who files reports and recommended she add the term "dues" parenthetically with each such entry in the future, in order to avoid confusion. She has agreed to do so. If the Board accepts this recommendation, the stay would remain in place for the \$150 fine imposed for the delinquent filing of the December 2011 Quarterly Report. As of June 30, 2012, the Committee reported cash on hand of \$14,982.55.



John Levin – Hearing Officer
September 25, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Local #65 Political Action Fund
2335 W Mound Rd
Decatur, IL 62526-9369

ID# 441

7011 2970 0003 6439 3267

Dear Local #65 Political Action Fund:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

| <u>Contributed By</u> | <u>Date of Contribution</u> | <u>Amount of Contribution</u> | <u>Date A-1 Received</u> | <u>Days Late</u> | <u>Fine Assessed</u> |
|-----------------------|-----------------------------|-------------------------------|--------------------------|------------------|----------------------|
| Health & Welfare | 4/20/2012 | \$1677.49 | 7/5/2012 | 47 | \$838.74 |
| Health & Welfare | 5/17/2012 | \$1890.97 | 7/5/2012 | 28 | \$945.48 |
| Health & Welfare | 6/20/2012 | \$1969.60 | 7/5/2012 | 5 | \$984.80 |

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$2769.02 for delinquent filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$277, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 4, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

State of Illinois)
County of: _____)

STATE BOARD OF ELECTIONS
SEP 19 PM 1:58

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Local #65 Polictical Action Fund)
441 Respondent(s).)

Case No. 12AJO10

APPEAL AFFIDAVIT

I, R. Lawrence Gooding, the Chairman of the
(Name) (Chairman/Treasurer)
Local #65 Polictical Action Fund
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

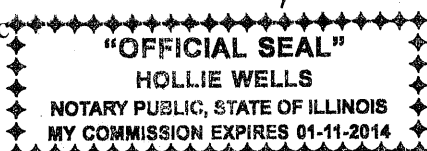
The contributions stated were made of small amounts from members of Plumbers & Pipefitters
Local #65 that we have lumped together for conveniece.

R. Lawrence Gooding
Signature of Chairman/Treasurer

Signed and Sworn to by:

Hollie Wells
before me this 17 Day of Sept, 2012

Notary Public
(seal)



**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

12 MQ 040

Heritage Community Party (ID 7060)

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing the 2012 March Quarterly Report

The Report was received by the Board on April 17, 2012, 1 day late, resulting in a \$100 civil penalty assessment. Additionally, this Committee was assessed a \$2,100 civil penalty (not appealed, paid) for delinquently filing five Schedule A-1 Reports during the 4th Quarter of 2011 and a \$1,762.50 civil penalty (not appealed, paid) for delinquently filing four Schedule A-1 reports during the 1st Quarter of 2012. The total assessment is \$100.00.

Lawrence Van Drunen, Treasurer, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on September 5, 2012.

Mr. Van Drunen testified that on Monday April 16, 2012, his office lost electrical service due to a wind storm. He stated the power was restored early on Tuesday morning and he filed the report at 2:24 A.M. on April 17, 2012. He requests the fine be abated.

It appears Mr. Van Drunen was unable to file the Quarterly Report due to a power outage from an act of nature. He promptly filed the report once electrical service was restored. I recommend the appeal be granted. (As of 6/30/12, this Committee reported a funds available balance of \$13,015.30).



Tara Molnar – Hearing Officer

September 7, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Heritage Community Party

ID# 7060

Dear Heritage Community Party:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

| | |
|----------------|--|
| Report Type: | March Quarterly Report of Campaign Contribution and Expenditures |
| Report Period: | January 1, 2012 through March 31, 2012 |
| Filing Period: | April 1, 2012 through April 16, 2012 |

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on April 17, 2012, 1 day late. As such, this committee has been assessed a fine of \$100.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: jr

Enclosure(s): appeal packet

7/10/12

RECEIVED

JUL 10 2012

State of Illinois)
County of: Sangamon)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

State Board of Elections

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Heritage Community Party)
Respondent(s).)

Case No. 12MQ040

APPEAL AFFIDAVIT

I, Lawrence J. Van Drunen, the Treasurer of the
(Name) (Chairman/Treasurer)
Heritage Community Party ID # 7060
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The Schedule D-2 report was filed late because of a wind storm, an act of nature, which took out the electrical service at the office on Monday, April 16, 2012 during the preparation and transmittal of the required report. The electrical service was restored by ComEd late on Monday, April 16, after being out the entire day. The treasurer was not able to complete the Schedule D-2 by 11:59 p.m. on April 16, 2012. The Schedule D-2 was filed and accepted by the Illinois Board of Elections at 2:24 a.m. on April 17, 2012

Lawrence J. Van Drunen
Signature of Chairman/Treasurer

Signed and Sworn to by:
Cynthia K. Meyer

before me this 12th Day of July, 2012

Notary Public
(seal)



Heritage Community Party
Notice of Appeal

We are requesting a hearing to appeal the assessment of the civil penalty on the Heritage Community Party Committee, ID # 7060, dated June 4, 2012, for the failure to timely file a quarterly Schedule D-2 for the reporting period January 1, 2012 through March 31, 2012, which was done by 11:59 p.m. on April 16, 2012. The Schedule D-2 was actually filed at 2:24 a.m. on April 17, 2012.

Facts: The Schedule D-2 Report for the period January 1, 2012 through March 31, 2012 was due on April 16, because the normal due date of April 15, 2012 came due on a Sunday.

The Schedule D-2 Report was prepared and filed at 2:24 a.m. on April 17, 2012, which was after the due date.

The Schedule D-2 Report was filed late because of a wind storm during the entire day on Monday, April 16, 2012, which took out the electrical service at the office of the treasurer during the preparation of the required report. The electric service was restored by ComEd late on Monday April 16, after being out for the entire day.

The treasurer attempted to complete the filing of the Schedule D-2, but was not able to file the report by 11:59 p.m. on April 16, 2012.

The Schedule D-2 was filed and accepted by the Illinois Board of Elections at 2:24 a.m. on April 17, 2012.

At the hearing we will request the assessed civil penalty be abated for the reason explained above.

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

12 AJ 040

Committee to Elect Jesse G Reyes

Cmte: 20812

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment
For Delinquently Filing Schedule A-1s

The Committee filed a Schedule A-1 on May 21, 2012, with respect to a donation from Thomas Q. Keef, Jr., P.C., dated April 27, 2012, for \$1,000; a Schedule A-1 on April 26, 2012, with respect to a donation from Katz Law Office, dated April 18, 2012, in the amount of \$2,000; and a Schedule A-1 on April 26, 2012, for a \$1,000 donation from Salvi, Schostok, & Pritchard, dated April 18, 2012. The Reports were received 11 days, 1 day, and 1 day late, respectively. The assessments are \$500, \$1,000, and \$500, respectively, for a total assessment of \$2,000.00. This is the Committee's first fine.

Amelia Garcia, the Treasurer of the Committee, filed a waiver of appearance and affidavit in this matter. Ms. Garcia stated and provided substantial documentation indicating the Committee erroneously listed the dates on the checks in each instance. Actual dates of deposit were a day before the Schedule A-1s were filed for the donations from Katz Law Office and Salvi, Schostok, & Pritchard and on the same date the A-1 was filed for the receipt from Thomas Q. Keef, Jr., P.C.

I recommend the appeal be granted. The documentation provided supports the Committee's contention it filed the Schedule A-1s in a timely manner, based on the statutory definition. Board staff have instructed the Committee to amend its June 2012 Quarterly Report to reflect dates of deposit in association with the receipts in question. As of June 30, 2012, the Committee reported cash on hand of \$9,773.33.



John Levin – Hearing Officer
September 27, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Citizens for Burkart
PO Box 614
Hamel, IL 62046-0614

ID# 24172

7011 2970 0003 6439 2956

Dear Citizens for Burkart:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

| <u>Contributed By</u> | <u>Date of Contribution</u> | <u>Amount of Contribution</u> | <u>Date A-1 Received</u> | <u>Days Late</u> | <u>Fine Assessed</u> |
|-----------------------|-----------------------------|-------------------------------|--------------------------|------------------|----------------------|
| John Gerdes | 2/13/2012 | \$1000 | 4/16/2012 | 38 | \$500 |
| John Gerdes | 2/13/2012 | \$1000 | 4/16/2012 | 38 | \$500 |

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$1000 for delinquent filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$100, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 4, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

State of Illinois)
)ss.
County of Madison)

STATE BOARD OF ELECTIONS
72 SEP 21 AM 10:26

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF)

ILLINOIS STATE BOARD OF ELECTIONS,)

vs.)

CITIZENS FOR BURKART,)

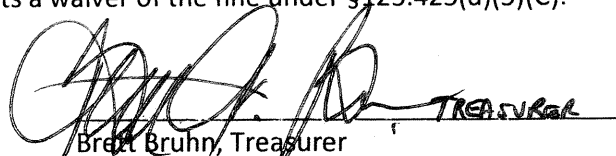
Respondent.)

Case No.: 12 ADM 114

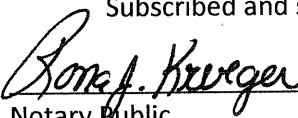
APPEAL AFFIDAVIT

I, Brett Bruhn, the Treasurer of the CITIZENS FOR BURKART Committee, being first duly sworn, deposes and states that he represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are mitigating circumstances as follows:

1. The contributions in question were made prior to reaching the threshold amount requiring the formation of a campaign committee, and at that time, the candidate was unfamiliar with any requirement to disclose contributions of \$1,000.00 or more if there was no requirement to form a committee in the first place.
2. The candidate's failure to file A-1's was inadvertent, or merely negligent in that this is the first time this candidate has ever run for office entailing the filing of such reports. The candidate apologizes for any inadvertent negligence on his part and requests a waiver of the fine under §125.425(d)(5)(C).



Brett Bruhn, Treasurer
Citizens For Burkart Committee

Subscribed and sworn to before me by Brett Bruhn, this 17th day of September, 2012.


Notary Public

The undersigned sincerely apologizes for any inadvertent negligence on his part.




Thomas W. Burkart, Candidate

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

12 MA 061

Ricardo Murray for Village Trustee 23702

Respondent

REPORT OF HEARING OFFICER

For Delinquently Filing the September 2011 and December 2011 Quarterly Reports

The September 2011 Quarterly Report was received by the Board on 3/1/12, 93 days late, resulting in a civil penalty assessment of \$2,325. The December 2011 Quarterly Report was received by the Board on 3/1/12, 30 days late, resulting in a civil penalty assessment of \$1,500. The total assessment is \$3,825.

Ricardo Murray, the candidate of the Committee, appeared on August 1, 2012 for the appeal hearing.

Mr. Murray indicated that he was running with 2 other candidates (Loree Washington and Tanya Watkins) and that they obtained Tamara Cannon to be their campaign manager and treasurer for their Committees. Mr. Murray had his petition challenged and he was removed from the ticket on 1/23/11. Ms. Cannon prepared a D-1 for Tanya Watkins and Mr. Murray and the two candidates signed them around the same time. Mr. Murray believes they signed the D-1 in either late January or early February. Loree Washington ended up not using Ms. Cannon. Ms. Cannon filed a D-1 for Ms. Watkins on 4/27/11 and then she subsequently filed a D-1 for this Committee on 7/5/11 more than 5 months after he was removed from the ballot. Mr. Murray did not know that the D-1 was filed and he was under the assumption that Ms. Cannon was taking care of his filing responsibilities if he had any. Mr. Murray was told to view the State Board of Elections website by Loree Washington for a letter of correspondence was filed by Ms. Cannon on 11/2/11 indicating that she had informed Mr. Murray that she was no longer interested in being his treasurer in April of 2011 and she was not responsible for his filings. This was news to Mr. Murray and once he found this out he contacted the State Board of Elections and filed all of the necessary reports to close out the Committee. He also tried to contact Ms. Cannon and she accused him of lying to her but he did not know what he supposedly lied to her about.

The following is a time line of events as described by Mr. Murray in accordance with the documents that were submitted to the State Board of Elections:

January 2011 - Mr. Murray had his petition challenged

Late January/early February - Ms. Cannon completed the D-1 and Mr. Murray signed the document

April - Ms. Cannon supposedly informed Mr. Murray that she was no longer his treasurer, although Mr. Murray indicated that this was not true

July – It is believed Ms. Cannon submitted an unsigned D-1 to the State Board of Elections
November - Ms. Cannon submitted a letter of correspondence to the State Board of Elections indicating that she was no longer interested in being the treasurer of this Committee and she was not responsible for its filings
February 2012 - Mr. Murray was informed that he had a Committee on file with the State Board of Elections and Ms. Cannon was apparently no longer his treasurer. Mr. Murray contacted the State Board of Elections and was advised to close the committee and file the necessary reports. Mr. Murray filed a Final Report
March – Mr. Murray filed the September and December 2011 Quarterly Reports and an amended Final Report showing he had not raised or spent any funds

Mr. Murray had his petition challenged and he lost in January of 2011. In late January or early February of 2011 Ms. Cannon, the treasurer, completed the D-1 and gave it to the candidate to be signed. The candidate believed the treasurer was working in good faith on his behalf so he did not think anything about signing the document. It is believed that Ms. Cannon later submitted what appears to be a copy of the unsigned D-1 and submitted it to the State Board of Elections in July of 2011. The D-1 did not list an address for candidate or the Committee. The State Board of Elections would have made an attempt to contact the Committee to obtain an address and I could not find any responses to our inquiries. It appears the candidate's home address was eventually applied to the Committee for the Final Report was completed on a pre-printed form which had the Committee's address filled in for them. However, the only address that was supplied on the D-1 was that of Ms. Cannon and I am assuming she would have been receiving all of the notifications. This is potentially why she would have submitted a letter to the State Board of Elections indicating that she notified Mr. Murray in April of 2011 that she was no longer interested in being the treasurer of his Committee and she was not responsible for anything that takes place with the Committee. As per Mr. Murray he did not learn about the D-1 being filed or that she was no longer his treasurer until February of 2012. Mr. Murray contacted the State Board of Elections and was informed that he should file as soon as possible and he filed the reports to close out this Committee showing that he raised and spent no funds. It is the hearing officer's belief that the candidate would not have qualified as a political committee for he indicated that he did not raise or spend any funds and I have no information to the contrary. Furthermore, the D-1 which was submitted to the State Board of Elections was not signed. Neither the candidate nor the treasurer verified the information on the D-1 was to the best of their knowledge and belief to be a true, correct and complete statement. The hearing officer is of the opinion that the submittal of an official document that is not signed would not have a binding effect and therefore no filing obligation would have been established with its submittal. Additionally, it is believed the treasurer submitted the D-1 after she would have potentially informed the candidate that she was no longer interested in being his treasurer. For the forgoing reasons I do not believe the Committee should have been on file with the State Board of Elections and I recommend the appeal be granted.



Andy Nauman – Hearing Officer
September 4, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Ricardo Murray for Village Trustee

ID# 23702

Dear Ricardo Murray for Village Trustee:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

| | |
|----------------|--|
| Report Type: | 2011 September Quarterly Report of Campaign Contributions and Expenditures |
| Report Period: | July 1, 2011 through September 30, 2011 |
| Filing Period: | October 1, 2011 through October 15, 2011 |

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 3/1/2012, 93 day(s) late. As such, this committee has been assessed a fine of \$2325.

In addition, this committee failed to file the following documents during the requisite filing period:

| | |
|----------------|--|
| Report Type: | 2011 December Quarterly Report of Campaign Contribution and Expenditures |
| Report Period: | October 1, 2011 through December 31, 2011 |
| Filing Period: | January 1, 2012 through January 17, 2012 |

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 3/1/2012, 30 day(s) late. As such, this committee has been assessed a fine of \$1500.

The total for all new assessments is \$3825.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by American Express, MasterCard, or Discover.

State of Illinois)
County of : _____)

STATE BOARD OF ELECTIONS

12 JUN 26 PM 2:05

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 12MA061

Ricardo Murray for Village Trustee)
Respondent(s).)

13702

APPEAL AFFIDAVIT

I, Ricardo Murray, the chairman of the
(Name) (Chairman/Treasurer)

Ricardo Murray for Village Trustee
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

a misunderstanding between treasurer Tamara Cannon and myself -
I didn't know she hadn't filed a final report after the 2011 Municipal
election, held on 6 April 2011, in Riverdale, Illinois. I contacted the
Chicago Office Election Board, on what forms were needed, and I completed
them and mailed them in.

[Signature]
Signature of Chairman/Treasurer

Signed and Sworn to by:

Marcina M Murphy
before me this 25 Day of June, 2012

Notary Public
(seal)



STATE OF ILLINOIS
COUNTY OF COOK

)
) SS
)

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

In the Matter Of:

State Board of Elections
Complainant

Vs.

12 AM 002

Cook County Democratic Party 163
Respondent

Supplement to the Hearing Officer Report

On July 16, 2012 Michael Kasper requested the Board send this matter back to a hearing officer at the Board meeting. The Board referred the matter back to a hearing officer and a hearing was scheduled for August 28, 2012. The Respondent was represented by attorney Michael Kasper at the appeal hearing.

Michael Kasper indicated that the reason the person who deposited the funds was unexpectedly out of the office was a health issue. She was therefore unable to report the required information regarding the deposit to the accountant. Once she returned to the office and realized that the filing had not been filed she immediately contacted the accountant and forwarded him the deposit information. Mr. Kasper also indicated that the contributions were still reported well in advance of the election.

It is unfortunate the individual who deposited the contributions had a health issue that kept her out of the office. However, the political committee still had a responsibility to file the report in a timely manner. I recommend the appeal be denied for lack of an adequate defense. In addition, since there is no indication that these violations were anything other than inadvertent and unintentional, I also recommend that the penalty be reduced to 10% of the original assessment as a first violation, or \$3,850. If this recommendation is accepted by the Board, the amount of \$3,850 will be due and owing. (As of 6/30/12, this Committee reported a funds available balance of \$356,328.71.)



Andy Nauman – Hearing Officer
September 5, 2012

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

12 AM 002

Cook County Democratic Party

Cmte ID: 163

Respondent

REPORT OF HEARING OFFICER


Appeal of Civil Penalty Assessment
For Delinquently Filing A-1 Reports

The Schedule A-1 Reports related to ten (10) receipts dated March 1, 2012, were each received four business days late. The total fine amount is \$38,500. The Committee had not been previously assessed.

Joseph Berrios, the Chairman of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Mr. Berrios stated the individual responsible for filing the Schedule A-1 Reports intended to file them on March 5, 2012, in a timely manner but was unexpectedly out of the office until a later date. Upon learning later in the week that the Reports had not been filed she immediately contacted the Committee's accountant and forwarded him information required to prepare the A-1, which were filed the next day.

I recommend the appeal be denied for lack of an adequate defense. I accept the Committee's assertion the A-1s were inadvertently filed late and note the Committee's lack of prior assessments. The Committee will be given credit for its prior history of consistent timely filings. Since this is the Committee's first delinquent occurrence of Schedule A-1 reporting, the civil penalty, if this recommendation is accepted, would automatically be reduced to \$3,850. As of March 31, 2012, the Committee's cash balance was reported as \$376,500.25.



John Levin – Hearing Officer
June 28, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

Cook County Democratic Party

ID# 163

Dear Cook County Democratic Party:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

| <u>Contributed By</u> | <u>Date of Contribution</u> | <u>Amount of Contribution</u> | <u>Date A-1 Received</u> | <u>Days Late</u> | <u>Fine Assessed</u> |
|--------------------------------------|-----------------------------|-------------------------------|--------------------------|------------------|----------------------|
| Citizens for Casey Griffen | 3/1/2012 | \$5000 | 3/10/2012 | 4 | \$2500 |
| Citizens for Judge Erica L Reddick | 3/1/2012 | \$12000 | 3/10/2012 | 4 | \$6000 |
| Citizens to Elect Diann K Marsalek | 3/1/2012 | \$5000 | 3/10/2012 | 4 | \$2500 |
| Committee to Elect Jesse G Reyes | 3/1/2012 | \$5000 | 3/10/2012 | 4 | \$2500 |
| Committee to Elect Maureen E Connors | 3/1/2012 | \$5000 | 3/10/2012 | 4 | \$2500 |
| Cmte to Elect Nathaniel R Howse Jr | 3/1/2012 | \$5000 | 3/10/2012 | 4 | \$2500 |
| Friends for Forti | 3/1/2012 | \$10,000 | 3/10/2012 | 4 | \$5000 |
| Friends of Dorothy Brown | 3/1/2012 | \$10,000 | 3/10/2012 | 4 | \$5000 |
| Friends of Russell W Hartigan | 3/1/2012 | \$10,000 | 3/10/2012 | 4 | \$5000 |
| Patrick Daley Thompson Campaign Cmte | 3/1/2012 | \$10,000 | 3/10/2012 | 4 | \$5000 |

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$38,500 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$3850, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5, you forfeit the right to contest this assessment.**

State of Illinois)
County of Cook)

STATE BOARD OF ELECTIONS

12 JUN 27 AM 10:13

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

vs.

Cook County Democratic Party

Respondent(s).

No. 12 AM 002

APPEAL AFFIDAVIT

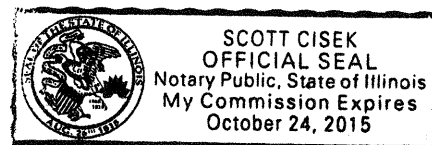
I, Joseph Berrios, the Chairman of the
(Name) (Chairman/Treasurer)

Cook County Democratic Party

(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Please see attached sheet.



Subscribed and Sworn to Before me
This 22 Day Of JUNE, 2012

Scott Cisek
NOTARY PUBLIC

(Signature of Chairman/Treasurer)

ATTACHMENT TO APPEAL AFFIDAVIT FILED BY
THE COOK COUNTY DEMOCRATIC PARTY

We are in receipt of your letter dated June 4, 2012 in which we were advised that we had been assessed a penalty for delinquently filing a Schedule A-1 report as required by the Illinois Campaign Disclosure Act. We respectfully request that this penalty be abated. We will explain the situation in this appeal.

The accounting functions of the Cook County Democratic Party are performed by a part time administrative person. This person's responsibilities include the payment of invoices and the deposit of contributions and other related cash receipts. This information is then compiled and forwarded to an outside accountant who assists the organization in filing the required quarterly Forms D-2 and the Schedule A-1's.

The current administrative person was out of the office for an unexpected extended period of time. Her last day in the office was March 1, 2012. On this date she made a bank deposit. This deposit included amounts that needed to be reported on Schedule A-1. Her intent was to have this filing done on Monday, March 5, 2012 when she returned to the office. When she returned to the office later in the week, she realized that the filing of the required Schedule A-1 had not occurred. She immediately contacted the outside accountant and forwarded to him the information needed to file the required Schedule A-1. The filing was done the next day.

Since the late filing of Schedule A-1 was due to an inadvertent clerical error on the part of our administrative person and was corrected as soon as the error was discovered, we respectfully request that this penalty be abated. We have a history of timely filing all reports and have instituted procedures so that this type of error will not occur in the future.

If you require any additional information regarding this matter, please do not hesitate to contact us. We have also attached an executed Waiver of Appearance form.

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter of:

SBE

Complainant(s),

Vs.

Case No. 12-MSC-2

Cook County Democratic Party

Respondent(s)

APPEARANCE

The undersigned enters the appearance of (check one):

☐ COMPLAINANT(S) or ☒ RESPONDENT(S)

(Insert name)

Check one: ☒ Attorney ☐ Pro Se

Name:

MICHAEL KASPER

Address:

222 N. LA SALLE, #300

City/State/ZIP

CHICAGO, IL 60601

Telephone:

312 704 3292

FAX No.:

312 368-4944

EMAIL:

Will you accept service of documents via FAX transmissions?

Check one: ☒ Yes ☐ No

Michael Kasper

Signature

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

12 JQ 002

Hamilton County Democratic Central Committee

ID# 207

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment
For Delinquently Filing the June 2012 Quarterly Report

The Report was received by the Board on July 18, 2012, 2 days late, resulting in a civil penalty assessment of \$50.

Mark Becker, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Becker simply wrote 'first offense' with no supporting documentation.

I recommend the appeal be denied for lack of an adequate defense. As a first offense, the \$50 penalty is stayed.

(As of 6/30/12, this Committee reported a funds available balance of \$2,930.40.)



Kim Patrick – Hearing Officer
September 11, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 4, 2012

Hamilton County Democratic Central Cmte ID# 207
c/o Flint B Taylor,
RR 2, Box 378
McLeansboro, IL 62859-9669

7011 2970 0003 6439 3854

Dear Hamilton County Democratic Central Cmte:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

| | |
|----------------|---|
| Report Type: | June Quarterly Report of Campaign Contribution and Expenditures |
| Report Period: | April 1, 2012 through June 30, 201 |
| Filing Period: | July 1, 2012 through July 16, 2012 |

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on July 18, 2012, 2 days late. As such, this committee has been assessed a fine of \$50.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 4, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be **stayed**. Any subsequent violation of Article 9 of the Election Code or of a Board Order, may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
County of : Hamilton)

STATE BOARD OF ELECTIONS

SEP 10 PM 2:18

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Hamilton County Democratic Central Cmte)
207 Respondent(s).)

Case No. 12JQ 002

APPEAL AFFIDAVIT

I, Mark Becker, the Treasurer of the
(Name) (Chairman/Treasurer)
Hamilton County Democratic Central
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

First offense

Mark Becker
Signature of Chairman/Treasurer

Signed and Sworn to by:
Robin L Becker

before me this 7 day of September, 2012

Notary Public ROBIN L BECKER
(seal) NOTARY PUBLIC - STATE OF ILLINOIS
MY COMMISSION EXPIRES: 03/06/15

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

Vs.

12 AD 041

Illinois Road Builders PAC (ID 372)
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing Schedule A-1 Reports

On December 31, 2011, the Committee received 15 contributions of \$1,000 or more totaling \$22,750 and failed to report them on a Schedule A-1 Report, resulting in a civil penalty assessment of \$11,375. Additionally, this Committee was assessed a \$20,610 civil penalty (not appealed, reduced, paid) for the failure to file 13 Schedule A-1 Reports during the 2006 General Election. Since this is considered a first Schedule A-1 violation, the fine is reduced to \$1,137 or 10% of the above-referenced amount.

Michael Sturino, Treasurer, filed a Request for Hearing and submitted an Appeal Affidavit. The Hearing was held on August 1, 2012.


Michael Sturino and Bill Walsh, Submitter, appeared on behalf of the Respondent. The Respondent presented three defenses in the appeal: unfamiliarity with Public Act 96-0382; inexperience reporting large donations and miscommunication with Board staff.

Mr. Walsh testified he was not aware of the law changes that resulted from Public Act 96-0832, specifically the rules regarding continuous Schedule A-1 reporting and the definition of the contribution receipt date. Operating under the outdated rules, Mr. Walsh collected the checks during the month of December and deposited them on January 27, 2012. On January 11, 2012, he filed the 2011 December Quarterly Report at the Board's Chicago office and reported the donations collected but not deposited using a receipt date of December 31, 2012. Mr. Walsh stated that Board staff instructed him not to file the Schedule A-1 report generated by the contributions, nor to file an Amended report to remove them. Mr. Walsh stated he understood he was required to file Schedule A-1's however he relied on Board staff instruction. On April 12, 2012, Mr. Walsh filed the 2012 March and Amended 2011 December Quarterly Reports in Chicago office. The Amendment to the 2011 December Quarterly Report removed the assessed contributions and accurately reported them on the March 2012 Quarterly Report reflecting the date of deposit. Mr. Walsh stated that IDIS generated Schedule A-1 Reports in both instances where he included the contributions on the 2011 December and 2012 March Quarterly Reports; however Board Staff instructed him not to file the Reports.

Mr. Sturino testified The Illinois Road Builders PAC receives the majority of their yearly contributions during their annual fundraising event held each December. The contributions consist of ticket purchases made by business for their employees. Prior to 2009, the Committee reported these contributions as non-itemized individual contributions and never subject to Schedule A-1 Reporting requirements. These contributions were never required on Schedule A-1 reports under the old law, as the Committee never received contributions in the 30 days prior to the election. In 2010, the Board determined that these donations were required to be itemized and the Committee complied. Mr. Sturino indicated that this is the first instance where the Committee was required to file Schedule A-1 Reports. Mr. Sturino stated they have consistently followed the law and complied with Board requests. Also, Mr. Walsh acted under supervision and used the advice of Board staff when filing the report having the first instance of a Schedule A-1 Violation, they request the fine be waived.

Misunderstanding of the law is not a valid defense. I believe Mr. Walsh was instructed not to file the Schedule A-1's in January of 2012 since the date of those contributions was incorrect and it would not impact any civil penalty assessments because they would have been filed beyond the five day reporting deadline. Mr. Walsh should have filed an Amended report soon after the initial filing to remove those contributions. After depositing the checks on January 27, 2012, a Schedule A-1 should have been filed within five business days. I believe there was a communication breakdown between Board Staff and Mr. Walsh regarding the Schedule A-1 Reporting requirements. Since Mr. Walsh was not aware of the rules, he did not initiate the proper action to file as required. Board staff is available to assist filing, not determine what should be filed. He did not address this issue until he came to the Chicago office in April to file the March Quarterly Report.

I understand that this is the first instance where the Committee was required to file a Schedule A-1 Report but ultimately, the treasurer is responsible for understanding the Act and reporting requirements. I recommend the appeal be denied. If this recommendation is accepted by the Board, \$1,137 will be due. (As of June 30, 2012 this committee reported a funds available balance of \$182,947.05)


Tara Molnar – Hearing Officer
September 25, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Illinois Road Builders PAC

ID# 372

Dear Illinois Road Builders PAC:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

| <u>Contributed By</u> | <u>Date of Contribution</u> | <u>Amount of Contribution</u> | <u>Date A-1 Received</u> | <u>Days Late</u> | <u>Fine Assessed</u> |
|-----------------------------------|-----------------------------|-------------------------------|--------------------------|------------------|----------------------|
| Arrow Road Construction | 12/31/2011 | \$1560 | * | 2 | \$780 |
| Curran Contraction | 12/31/2011 | \$1040 | * | 2 | \$520 |
| D Construction | 12/31/2011 | \$1950 | * | 2 | \$975 |
| Dunnet Bay Construction | 12/31/2011 | \$1105 | * | 2 | \$552.50 |
| FH Paschen SN Nielsen | 12/31/2011 | \$1560 | * | 2 | \$780 |
| HNTB Corp | 12/31/2011 | \$1560 | * | 2 | \$780 |
| K-Five Construction | 12/31/2011 | \$1625 | * | 2 | \$812.50 |
| Lindahl Brothers | 12/31/2011 | \$1040 | * | 2 | \$520 |
| Lorig Construction | 12/31/2011 | \$1625 | * | 2 | \$812.50 |
| Patten Industries | 12/31/2011 | \$1105 | * | 2 | \$552.50 |
| Plote Construction | 12/31/2011 | \$1560 | * | 2 | \$780 |
| S&J Construction | 12/31/2011 | \$1560 | * | 2 | \$780 |
| STATE Testing | 12/31/2011 | \$2340 | * | 2 | \$1170 |
| Thomas Engineering Group | 12/31/2011 | \$1560 | * | 2 | \$780 |
| Walsh Construction Co of Illinois | 12/31/2011 | \$1560 | * | 2 | \$780 |

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$11,375 for delinquently filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$1137, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,



Sharon Steward
Director, Campaign Disclosure Division

SS: jr

Enclosures: appeal packet

* This contribution was reported on the December 2011 Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

of Illinois)
ty of: DuPage)

CHICAGO

2012 JUN 25 PM 2:17

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

HE MATTER OF;)
)
NOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
)
)
Illinois Road Builders PAC)
Respondent(s).)

Case No. 12 AD 041

APPEAL AFFIDAVIT

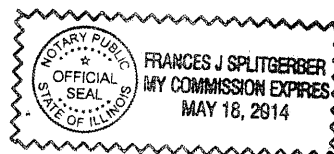
Michael J. Sturino, the Treasurer of the
(Name) (Chairman/Treasurer)
Illinois Road and Transportation Builders Assn. PAC
(Name of the Committee)

mittee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good
on or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

_____ The IRTBA PAC receives contributions from individual members in December, 2011, and January, 2012
_____ but deposited those amounts January 27, 2012. The IRTBA provided a D-2 that showed the receipts of
_____ those funds for the reporting period October 1, 2011-December 31, 2011. Upon filing, we were unable
_____ to file the A-1 based upon the date of deposit because the D-2 was already filed. We requested
_____ clarification from SBOE staff Anthony Panzzini, who advised that we await a determination from SBOE
_____ because the D-2 receipts were filed early. The IRTBA PAC could amend its D-2 to clarify the amounts
_____ were not deposited until after the reporting period in order to cure this situation. Alternatively, we
_____ request no penalty or finding against the IRTBA due to the unclear nature of information regarding
_____ differentiating between receipt of funds and deposit of funds. _____

Michael J. Sturino
Signature of Chairman/Treasurer

ed and Sworn to by:
Frances J. Splitgerber
re me this 15th Day of JUNE, 2012
ry Public
)



I have enclosed the D-2, the bank statement showing that the deposit was made on January 27, 2012 and the excel spreadsheet that I used to fill out the D-2. If you have additional questions please call me at 630-773-1220.

Thank you,

Bill Walsh



A part of BMO Financial Group

BMO HARRIS BANK N.A.
P.O. BOX 94033
PALATINE, IL 60094-4033

11160

ACCOUNT NUMBER: [REDACTED]

Statement Period
01/01/12 TO 01/31/12

01 04585

PAGE 1 OF 2 1/ 2

ILLINOIS ROAD BUILDERS
POLITICAL ACTION COMMITTEE
500 PARK BLVD SUITE 1250
ITASCA IL 60143

RECEIVED FEB 8

IF YOU HAVE QUESTIONS ABOUT ANY OF YOUR HARRIS ACCOUNTS, PLEASE CALL US
TOLL-FREE AT 1-888-489-2265. HARRIS IS A TRADE NAME USED BY BMO HARRIS
BANK N.A. AND ITS AFFILIATES. MEMBER FDIC EQUAL HOUSING LENDER. VISIT US
ONLINE AT WWW.HARRISBANK.COM

CHECKING ACCOUNTS

BUSINESS TIERED CHECKING
ACCOUNT NUMBER [REDACTED]

(Checking)

ILLINOIS ROAD BUILDERS

DEPOSIT ACCOUNT SUMMARY

| | |
|--|------------|
| Previous Balance as of December 31, 2011 | 143,527.05 |
| 1 Deposits (Plus) | 90,220.00 |
| 3 Withdrawals (Minus) | 10,400.00 |
| Ending Balance as of January 31, 2012 | 223,347.05 |

Deposits and Other Credits

| Date | Amount | Description |
|--------|-----------|----------------|
| Jan 27 | 90,220.00 | TELLER DEPOSIT |

Checks by Serial Number

| Date | Serial # | Amount | Date | Serial # | Amount |
|--------|----------|-----------|--------|----------|--------|
| Jan 25 | 1921 | 300.00 | Jan 11 | 1936 | 100.00 |
| Jan 03 | 1935 * | 10,000.00 | | | |

* Indicates break in check sequence

Daily Balance Summary

| Date | Balance | Date | Balance |
|--------|------------|--------|------------|
| Dec 31 | 143,527.05 | Jan 25 | 133,127.05 |
| Jan 03 | 133,527.05 | Jan 27 | 223,347.05 |
| Jan 11 | 133,427.05 | | |

2011 Annual Meeting Pac Contributions

| Bill To Company | Address 1 | Address 2 | City | State | Zip | Contribution | No. Tickets |
|--|--|----------------|------------------|-------|------------|--------------|-------------|
| 2IM Group LLC | 118 N Clinton St - #440 | | Chicago | IL | 60661 | 715.00 | 11 |
| A. Lamp Concrete Contractors, Inc | 1900 Wright Blvd. | | Schaumburg | IL | 60193 | 780.00 | 12 |
| Accu-Paving | 2665 S. 25th Ave. | | Broadview | IL | 60155 | 650.00 | 10 |
| AECOM | 303 E Wacker Drive, Suite 600 | | Chicago | IL | 60601 | 780.00 | 12 |
| AES Services | 6201 W. Touhy Ave. | Suite 6 | Chicago | IL | 60646 | 260.00 | 4 |
| Aldridge Electric | 844 E Rockland Rd | | Libertyville | IL | 60048 | 715.00 | 11 |
| Alfred Benesch & Company | 205 N Michigan Avenue | Suite 2400 | Chicago | IL | 60601 | 780.00 | 12 |
| Ambassador Steel | 100 Tower Drive | Suite 205 | Burr Ridge | IL | 60527 | 325.00 | 5 |
| Arrow Road Construction Co. | 3401 S. Busse Road | PO Box 334 | Mount Prospect | IL | 60056 | 1,560.00 | 24 |
| Assurance Agency, Ltd. | One Century Centre | 1750 E Golf Rd | Schaumburg | IL | 60173 | 780.00 | 12 |
| Barge Terminal Trucking Inc. | PO Box 636 | | Oswego | IL | 60543 | 520.00 | 8 |
| Berger Excavating Contractors, Inc. | 1205 N Garland Rd | | Wauconda | IL | 60084-1011 | 780.00 | 12 |
| Bigane Paving | 935 W Chestnut - Ste 203 | | Chicago | IL | 60642-5445 | 455.00 | 7 |
| Bluff City Materials | 2252 Southwind Blvd. | | Bartlett | IL | 60103 | 975.00 | 15 |
| Bowman, Barrett & Assoc | 130 E Randolph St | | Chicago | IL | 60601 | 780.00 | 12 |
| Brown R Cartage | 363 Bluff City Blvd | Suite 2650 | Chicago | IL | 60120 | 780.00 | 12 |
| Burns & McDonnell | 1431 Opus - Ste 400 | | Downers Grove | IL | 60515-1164 | 780.00 | 12 |
| Capitol Cement Co., Inc. | 6231 N Pulaski Rd | | Chicago | IL | 60646-5182 | 780.00 | 12 |
| Central Blacktop Co. Inc. | 6160 S East Avenue | PO Box 2080 | La Grange | IL | 60525-8180 | 780.00 | 12 |
| Christian-Roge | 211 W. Wacker Dr., Suite 600 | | Chicago | IL | 60606 | 780.00 | 12 |
| Christopher B. Burke | 9575 W Higgins Rd - #600 | | Rosemont | IL | 60018 | 195.00 | 3 |
| Ciorba Group | 5507 N Cumberland Ave | Ste 402 | Chicago | IL | 60556 | 195.00 | 3 |
| Civcon Services, Inc. | 230 W Monroe | Suite 370 | Chicago | IL | 60606 | 390.00 | 6 |
| Civiltech | 450 E Devon - Ste 300 | | Itasca | IL | 60143 | 455.00 | 7 |
| CNA Surety | 333 E Butterfield Rd - Ste 810 | | Lombard | IL | 60148 | 260.00 | 4 |
| Collins Engineers, Inc. | 123 N Wacker Drive, Ste 300 | | Chicago | IL | 60606 | 780.00 | 12 |
| Columbian Agency | PO Box 39 | | New Lenox | IL | 60451 | 195.00 | 3 |
| Cotter Consulting, Inc. | 8150 S Cass Ave | | Darien | IL | 60561 | 780.00 | 12 |
| Crown Painting | 10124 W. 187th St. | | Mokena | IL | 60448 | 195.00 | 3 |
| Curran Contracting | 286 Memorial Court | | Crystal Lake | IL | 60014-8045 | 1,040.00 | 16 |
| D'Construction | 1488 S. Broadway | | Coal City | IL | 60416 | 1,950.00 | 30 |
| Dannys Construction Co. | 86 N Bridge St | | Gary | IN | 46404 | 260.00 | 4 |
| Divane Bros. Electric | 2424 N 25th Avenue | | Franklin Park | IL | 60131-3387 | 780.00 | 12 |
| Dunnet Bay | 115 Brandon Drive | | Glendale Heights | IL | 60139 | 1,105.00 | 17 |
| East Jordan Iron Works | 310 Garnet | | New Lenox | IL | 60451 | 195.00 | 3 |
| ECS Midwest | 1575 Barclay Blvd | | Buffalo Grove | IL | 60089 | 195.00 | 3 |
| Elmhurst Chicago Stone Co. | 400 W First St | | Elmhurst | IL | 60126 | 325.00 | 5 |
| ESI Consultants, Ltd. | 1979 North Mill Street | Suite 100 | Naperville | IL | 60563 | 520.00 | 8 |
| F.H. Paschen, SN Nielsen & Assoc., LLC | 5515 N. East River Road | | Chicago | IL | 60656 | 1,560.00 | 24 |
| Falk Metz LLC | 20 S Clark Street - Ste 1900 | | Chicago | IL | 60603 | 195.00 | 3 |
| Fifth Third Bank | 222 S. Riverside Plaza 32nd Floor GRVR2D | | Chicago | IL | 60606 | 325.00 | 5 |

2011 Annual Meeting Pac Contributions

| Bill To Company | Address 1 | Address 2 | City | State | Zip | Contribution | No. Tickets |
|--|-----------------------------------|------------|-------------------|-------|------------|--------------|-------------|
| Finkbinder Equipment | 15W400 N. Frontage Road | | Burr Ridge | IL | 60527 | 195.00 | 3 |
| Gale Construction Company of IL | 315 W McDonough St | | Joliet | IL | 60436 | 195.00 | 3 |
| Gallagher Asphalt Corp. | 18100 S Indiana Ave | | Thornton | IL | 60476-1276 | 780.00 | 12 |
| Garofalo, Schreiber, Hart & Storm, Chtd. | 55 W Wacker Dr - 10th Fl | | Chicago | IL | 60601 | 325.00 | 5 |
| Geneva Construction Co. | 1350 Aurora Ave | PO Box 998 | Aurora | IL | 60507 | 845.00 | 13 |
| Glenbrook Excavating & Concrete, Inc. | 1350 N Old Rand | | Wauconda | IL | 60084 | 195.00 | 3 |
| GRAEF | 8501 W. Higgins Road | Suite 280 | Chicago | IL | 60631 | 325.00 | 5 |
| Greco Contractors, Inc. | 2100 S. Mt. Prospect Rd | | Des Plaines | IL | 60018 | 325.00 | 5 |
| Hampton, Lenzini & Renwick, Inc. | 380 Shepard Drive | | Elgin | IL | 60123 | 650.00 | 10 |
| Hanson Material Services | 181 W Madison | | Chicago | IL | 60602 | 715.00 | 11 |
| Hayward Baker Inc. | 1350 W. Lake St. | | Roselle | IL | 60172 | 325.00 | 5 |
| HDR | 8550 W. Bryn Mawr Ave., Suite 900 | | Chicago | IL | 60631 | 780.00 | 12 |
| Herlihy Mid-Continent Co. | 1306 Marquette Drive | | Romeoville | IL | 60446-1026 | 585.00 | 9 |
| Highway Safety Corp. | 1756 W Armitage Ct | | Addison | IL | 60101 | 260.00 | 4 |
| HNTB | 111 N. Canal St. | Suite 1250 | Chicago | IL | 60606 | 1,560.00 | 24 |
| HR Green, Inc. | 420 North Front Street | Suite 100 | McHenry | IL | 60050 | 780.00 | 12 |
| HUB International Midwest Limited | 601 Oakmont Lane | Suite 400 | Westmont | IL | 60559 | 195.00 | 3 |
| IHC Construction Co. | 1500 Executive Drive | | Elgin | IL | 60123 | 780.00 | 12 |
| Illinois Aggregate Equipment & Machinery | 22414 W. 143rd Street | | Plainfield | IL | 60544 | 390.00 | 6 |
| Images, Inc. | 224 S. Main Street | | Wheaton | IL | 60187 | 260.00 | 4 |
| Jacobs | One North Franklin - Ste 500 | | Chicago | IL | 60606 | 325.00 | 5 |
| James D. Fiala Paving Co., Inc. | 500 E Frontage Rd North | | Bolingbrook | IL | 60440 | 780.00 | 12 |
| James McHugh Construction Co. | 1737 S Michigan Ave | | Chicago | IL | 60616 | 780.00 | 12 |
| John Burns Construction | 17601 Southwest Highway | | Orland Park | IL | 60467 | 780.00 | 12 |
| Kenny Construction Company | 2215 Sanders Road - Suite 400 | | Northbrook | IL | 60062 | 780.00 | 12 |
| K-Five Construction Corp. | 13769 Main St | | Lemont | IL | 60439-9371 | 1,625.00 | 25 |
| Kiewit Infrastructure Co. | 8501 W. Higgins | Suite 400 | Chicago | IL | 60631 | 780.00 | 12 |
| Knight Engineers | 221 N LaSalle St. - Ste 300 | | Chicago | IL | 60601 | 195.00 | 3 |
| Kujo Inc. | 5303 Johnsbury Rd | | Johnsburg | IL | 60051 | 260.00 | 4 |
| LaFarge Midwest Aggregates | 1 S 194 Route 47 | | Elburn | IL | 60119 | 715.00 | 11 |
| Lindahl Brothers, Inc. | 622 E. Green St. | | Bensenville | IL | 60106 | 1,040.00 | 16 |
| Lorig Construction Co. | 250 E Touhy Ave | | Des Plaines | IL | 60018-2658 | 1,625.00 | 25 |
| Marking Specialists | 214 Crystal St - Ste C | | Cary | IL | 60013 | 260.00 | 4 |
| Martam Construction | 1200 Gasket Dr. | | Elgin | IL | 60120 | 975.00 | 15 |
| Material Solutions Laboratory | 2011 E Devon Ave | | Elk Grove Village | IL | 60007 | 780.00 | 12 |
| Maurides Foley Tabangay & Turner LLC | 33 N. LaSalle Street, Suite 1910 | | Chicago | IL | 60602 | 260.00 | 4 |
| McAllister Equipment | 12500 S Cicero Ave. | | Alsip | IL | | 195.00 | 3 |
| McCann Industries, Inc. | 543 S Rohlfing Rd | | Addison | IL | 60101 | 260.00 | 4 |
| Mega Steel Corporation | 556 County Line Road | Suite D | Bensenville | IL | 60106 | 780.00 | 12 |
| Meyer Material | 580 S. Wolf Rd. | | Des Plaines | IL | 60051 | 390.00 | 6 |
| Michael Baker Jr., Inc. | 311 W. Monroe | Suite 1350 | Chicago | IL | 60606 | 195.00 | 3 |
| Midwest Fence | 900 N Kedzie Ave | | Chicago | IL | 60651 | 390.00 | 6 |

2011 Annual Meeting Pac Contributions

| Bill To Company | Address 1 | Address 2 | City | State | Zip | Contribution | No. Tickets |
|-------------------------------------|-------------------------------------|-------------|-----------------|-------|------------|--------------|-------------|
| Natural Creations Landscaping, Inc. | 356 E. Bruce Street | | Joliet | IL | 60434 | 650.00 | 10 |
| Old Dutch Sand Co. | 4600 E 15th Avenue | | Gary | IN | 46403 | 390.00 | 6 |
| Omega & Associates | 3333 Warrenville Road | Suite 130 | Lisle | IL | 60532 | 780.00 | 12 |
| Osco Oil | P.O. Box 70 | | Lemont | IL | 60439 | 195.00 | 3 |
| Ozinga Bros., Inc. | 19001 Old LaGrange Rd-Ste 300 | | Mokena | IL | 60448 | 650.00 | 10 |
| Parsons Brinckerhoff | 230 W. Monroe St., Suite 900 | | Chicago | IL | 60606 | 780.00 | 12 |
| Patrick Engineering, Inc. | 4970 Varsity Drive | | Lisle | IL | 60532-4101 | 650.00 | 10 |
| Patten Industries, Inc. | 635 W Lake St | | Elmhurst | IL | 60126-1465 | 1,105.00 | 17 |
| Payne & Dolan | 1700 120th Avenue | | Kenosha | WI | 53144 | 195.00 | 3 |
| Peter Baker & Son Co. | 1349 Rockland Rd. | | Lake Bluff | IL | 60044 | 780.00 | 12 |
| Plote Construction | 1100 Brandt Drive | | Hoffman Estates | IL | 60192 | 1,560.00 | 24 |
| Prairie Materials | 7601 W. 79th Street | | Bridgeview | IL | 60455 | 390.00 | 6 |
| Primera Engineering | 100 S Wacker Drive - Ste 700 | | Chicago | IL | 60606 | 780.00 | 12 |
| Professional Testing Services | 140 Arrowhead Dr., Unit 1 | | Hampshire | IL | 60140 | 260.00 | 4 |
| Quigg Engineering | 2000 South Main Street | Suite A | Jacksonville | IL | 62650 | 520.00 | 8 |
| R. M. Chin | 500 W 18th Street, Suite 200 | | Chicago | IL | 60616 | 390.00 | 6 |
| R. W. Duntzman | 600 S Lombard Road | PO Box 1129 | Addison | IL | 60101-8159 | 780.00 | 12 |
| Reyes Group | 15515 S Crawford | | Markham | IL | 60428 | 260.00 | 4 |
| RoadSafe Traffic Systems, Inc. | 12225 Disk Drive | | Romeoville | IL | 60446-9505 | 780.00 | 12 |
| Rock Road Companies, Inc. | PO Box 1818 | | Janesville | WI | 53547 | 520.00 | 8 |
| Roland Machinery Co. | 220 E Frontage Rd | | Bolingbrook | IL | 60440 | 780.00 | 12 |
| S & J Construction Co. | 16823 State St | | South Holland | IL | 60473-2831 | 1,560.00 | 24 |
| S.T.A.T.E. Testing, LLC | 570 Rock Road, Unit K | | East Dundee | IL | 60118 | 2,340.00 | 36 |
| Seneca Petroleum Co., Inc. | 13301 S Cicero Ave | | Crestwood | IL | 60445 | 260.00 | 4 |
| Shoreline Aggregate Inc. | 301 W. US Hwy 20 Suite C | | Michigan City | IN | 46360 | 195.00 | 3 |
| Stanley Consultants, Inc. | 8501 W Higgins - Ste 730 | | Chicago | IL | 60631 | 780.00 | 12 |
| Stantec/ENTRAN, LLC | 135 S LaSalle St | Suite 3100 | Chicago | IL | 60603 | 780.00 | 12 |
| STV Incorporated | 200 W Monroe St - Ste 1650 | | Chicago | IL | 60606 | 585.00 | 9 |
| T. Y. Lin | 200 S Wacker Dr - Ste 1400 | | Chicago | IL | 60606 | 780.00 | 12 |
| T.J. Lambrecht Construction, Inc. | 10 Gougar Road | | Joliet | IL | 60432 | 780.00 | 12 |
| Tecma Associates, Inc. | 5519 N. Cumberland Ave., Suite 1010 | | Chicago | IL | 60656 | 910.00 | 14 |
| Terrell Materials Corp. | P.O. Box 66241 | | Chicago | IL | 60666 | 260.00 | 4 |
| The Private Bank | 120 S LaSalle Street | | Chicago | IL | 60603 | 260.00 | 4 |
| The Will Group | 703 Childs Street | | Wheaton | IL | 60187 | 390.00 | 6 |
| Thelen Materials | 28955 W. Route 173 | | Antioch | IL | 60002 | 260.00 | 4 |
| Thomas Engineering Group, LLC | 238 South Kenilworth Avenue | Suite 100 | Oak Park | IL | 60302 | 1,560.00 | 24 |
| Traffic Control & Protection | 31W351 North Ave | | West Chicago | IL | 60185 | 585.00 | 9 |
| Traffic Services Inc | 2260 Southwind Blvd | | Bartlett | IL | 60103 | 780.00 | 12 |
| TranSystems Corporation | 1475 East Woodfield Road | Suite 600 | Schaumburg | IL | 60173-5058 | 780.00 | 12 |
| Trinity Highway Products, Inc. | 2525 N. Stemmons FRWY | | Dallas | TX | 75207 | 195.00 | 3 |
| Truck King Hauling Contractors Inc. | 4722 South Spaulding Ave | | Chicago | IL | 60632 | 780.00 | 12 |
| Utility Concrete Products, LLC | 2495 W Bungalow Rd | | Morris | IL | 60450 | 390.00 | 6 |

2011 Annual Meeting Pac Contributions

| Bill To Company | Address 1 | Address 2 | City | State | Zip | Contribution | No. Tickets |
|------------------------------|-------------------------------------|---|-----------------|-------|-------|--------------|-------------|
| V3 Companies of Illinois Ltd | 7325 Janes Ave-Ste 100 | | Woodridge | IL | 60517 | 390.00 | 6 |
| Volkert | 103 Lanter Court | | Collinsville | IL | 62234 | 195.00 | 3 |
| Vulcan Materials | 1000 East Warrenville Road, Ste 100 | | Naperville | IL | 60563 | 910.00 | 14 |
| Walsh Construction Company | 929 W Adams ST. | | Chicago | IL | 60607 | 1,560.00 | 24 |
| Weible & Cahill | 2300 Cabot Dr.-Ste 100 | | Lisle | IL | 60532 | 780.00 | 12 |
| West Side Tractor | 1400 W. Ogden Ave. | | Naperville | IL | 60563 | 910.00 | 14 |
| Western Remac, Inc. | 1740 International Parkway | | Woodridge | IL | 60517 | 195.00 | 3 |
| Willis | 233 S. Wacker Drive | Suite 2000 | Chicago | IL | 60606 | 195.00 | 3 |
| Work Zone Safety | 17051 Gaylord Road | | Crest Hill | IL | 60403 | 390.00 | 6 |
| Z Force Transportation, Inc. | 700 E. Joe Orr Road | | Chicago Heights | IL | 60411 | 195.00 | 3 |
| | | SUB-TOTAL | | | | 85,410.00 | 1314 |
| | | | | | | | |
| | | Total of contributions under \$150 | | | | 4,810.00 | 74 |
| | | | | | | | |
| | | TOTAL | | | | 90,220.00 | |

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

Vs.

12 MQ 025

LaSalle County Republican Century Club (ID 1219)
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing the 2012 March Quarterly Report

The report was received by the Board on May 1, 2012, 11 days late, resulting in a \$550 civil penalty assessment. Additionally, this Committee was assessed a \$25 civil penalty (not appealed, paid) for delinquently filing the 2008 June Semi-Annual Report and a \$100 civil penalty (appealed, denied, paid) for delinquently filing the 2008 December Semi-Annual Report. The total assessment is \$550, however since this is considered the Committee's first violation within a two year period, the fine is stayed.

Alissa Gearhart, Treasurer, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on August 8, 2012.

Ms. Gearhart testified that the former Treasurer, Jameson Campaigne suffered a heart attack and experienced medical complications after undergoing heart surgery. Ms. Gearhart stated that Mr. Campaigne did not notify the Committee about his medical condition until the end of the period March of 2012. Ms. Gearhart indicated the Committee was unaware that the report was not filed until her father, Gary Gearhart who serves as the Committee's Chairman, received delinquent notice from the Board. Several attempts were made to contact Mr. Campaigne regarding the delinquent report; however he was unresponsive and uncooperative and refused to turn over the Committee's records. Since he was the only signatory on the Committee's bank account, the Committee could not access the financial information to complete the report. Ms. Gearhart stated she took over as treasurer and the LaSalle County Republican Century Club worked with Hometown Bank to obtain the signatory rights to the account. She downloaded IDIS with some complications and filed the report on May 1st.

I am sympathetic to Mr. Campaigne's condition and realize Ms. Gearhart's difficulty obtaining bank records and using the IDIS program. Although several circumstances attributed to the delinquent filing, I believe miscommunication between committee officers prevented the Committee from complying with the Act. I understand complications of this matter arise, however this is an internal issue within the Committee. I recommend that the appeal be denied for lack of an adequate defense. As a first time violation, the civil penalty is stayed. (As of 6/30/12, this Committee reported a funds available balance of \$6,404.44).



Tara Molnar – Hearing Officer
August 30, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

La Salle County Republican Century Club ID# 1219

Dear La Salle County Republican Century Club:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

| | |
|----------------|--|
| Report Type: | March Quarterly Report of Campaign Contribution and Expenditures |
| Report Period: | January 1, 2012 through March 31, 2012 |
| Filing Period: | April 1, 2012 through April 16, 2012 |

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on May 1, 2012, 11 days late. As such, this committee has been assessed a fine of \$550.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be ***stayed***. Any subsequent violation of Article 9 of the Election Code or of a Board Order, may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)

County of: La Salle)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

12 JUN 20 AM 8:17

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 12MQ025

La Salle County Century Club
Respondent(s))

APPEAL AFFIDAVIT

I, Alissa Gearhart, the Treasurer of the
(Name) Treasurer)

La Salle County Century Club
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

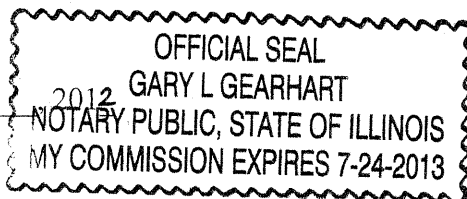
- ① Our previous treasurer is having complications from heart surgery, and has failed to respond to repeated requests to turn over the ledgers and books of account of the Club, said medical condition preventing the timely filing of reports.
- ② That our treasurer misrepresented to the Club the filing status such that other officers had no reason to know a problem existed until we received this fine notice.

Alissa Gearhart
Signature of Chairman/Treasurer

Signed and Sworn to by:

Gary L Gearhart
before me this 12th Day of June

Notary Public
(seal)



**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

12 AM 013

Friends of Ken Dunkin 11436

Respondent

REPORT OF HEARING OFFICER


Appeal of Civil Penalty Assessment for Delinquently Filing
A Schedule A-1 in the 1st Quarter of 2012

This committee received two \$1,000 contributions on 2/21/12, a \$2,500 contribution on 2/20/12, and a \$5,000 contribution on 2/21/12, and reported all of these contributions on a Schedule A-1 received by the Board 2/24/12, between 1-2 days late, resulting in a civil penalty assessment of \$4,750. Additionally, the Committee had previously been assessed a \$200 civil penalty (not appealed, paid) for delinquently filing a Schedule A-1 for the 2002 general primary election; a \$150 civil penalty (appealed, denied, reduced, paid) for delinquently filing a Schedule A-1 for the 2002 general primary; a \$50 civil penalty (not appealed, reduced, paid) for delinquently filing the June 2006 semi-annual report; a \$40 civil penalty (appealed, denied, reduced, paid) for failing to file a Schedule A-1 for the 2008 general primary; a \$100 civil penalty (not appealed, reduced, paid) for delinquently filing a Schedule A-1 for the 2010 general primary; a \$200 civil penalty (not appealed, reduced, paid) for delinquently filing a Schedule A-1 for the 2010 general primary; a \$250 civil penalty (not appealed, reduced, paid) for delinquently filing a Schedule A-1 during the 3rd Quarter of 2011. The total assessment is \$4,750.

The Respondent was represented by Michael Kasper at the August 28th appeal hearing. Ken Dunkin the candidate was also in attendance.

Michael Kasper indicated that the Schedule A-1 was delinquently filed and that it was done unintentionally and inadvertently.

I recommend the appeal be denied for lack of an adequate defense. In addition, since there is no indication that these violations were anything other than inadvertent and unintentional, I also recommend that the penalty be reduced to 50% of the original assessment, or \$2,375. If this recommendation is accepted by the Board, the amount of \$2,375 will be due and owing. (As of 6/31/12, this Committee reported a funds available balance of \$193,178.52.)


Andy Nauman – Hearing Officer
September 5, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Friends of Ken Dunkin

ID# 11436

Dear Friends of Ken Dunkin:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

| <u>Contributed By</u> | <u>Date of Contribution</u> | <u>Amount of Contribution</u> | <u>Date A-1 Received</u> | <u>Days Late</u> | <u>Fine Assessed</u> |
|------------------------------------|-----------------------------|-------------------------------|--------------------------|------------------|----------------------|
| Martin J Koldyke | 2/20/2012 | \$2500 | 2/24/2012 | 2 | \$1250 |
| AFSCME IL Council 31 | 2/21/2012 | \$1000 | 2/24/2012 | 1 | \$500 |
| Realtor Political Action Committee | 2/21/2012 | \$1000 | 2/24/2012 | 1 | \$500 |
| Stand for Children Illinois PAC | 2/21/2012 | \$5000 | 2/24/2012 | 1 | \$2500 |

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$4750 for delinquently filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$2375, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

| <u>Reporting Period</u> | <u>Report Type</u> | <u>Previous Fine Amount</u> |
|----------------------------------|--------------------|-----------------------------|
| July 1 through September 30 2011 | Schedule A-1 | \$50 |
| TOTAL AMOUNT NOW DUE | | \$2425 |

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

State of Illinois)
)
 County of Cook)

STATE BOARD OF ELECTIONS
 12 JUN 29 AM 11:13

BEFORE THE STATE BOARD OF ELECTIONS
 OF THE STATE OF ILLINOIS

IN THE MATTER OF;
 ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Vs.

Case No. 12 Am 013

Friends of Ken Dunkin

Respondent(s).

APPEAL AFFIDAVIT

I, Michael J. Kasper, the Attorney of the
 (Name) (Chairman/Treasurer)

Friends of Ken Dunkin

(Name of the Committee)

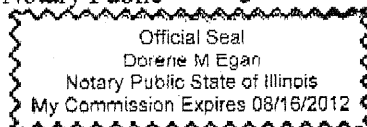
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Signed and Sworn to by:

Michael J. Kasper
 before me this 29th Day of

June, 2012

Dorene M. Egan
 Notary Public



[Signature]
 (Signature of Chairman/Treasurer)

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter of:

SBE

Complainant(s),

Vs.

Case No. 12 AM 013

Friends of Mr. Durkin

Respondent(s)

APPEARANCE

The undersigned enters the appearance of (check one):

☐ COMPLAINANT(S) or ☒ RESPONDENT(S)

(Insert name)

Check one: ☒ Attorney ☐ Pro Se

Name:

MICHAEL KASPER

Address:

222 N. LA SALLE, #300

City/State/ZIP

CHICAGO, IL 60601

Telephone:

312 704 3292

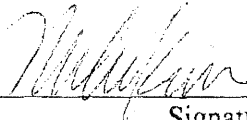
FAX No.:

312 368-4944

EMAIL:

Will you accept service of documents via FAX transmissions?

Check one: ☒ Yes ☐ No



Signature

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

12 AJ 024

Pro-Life Victory Committee

ID#14081

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Failure to File Schedule A-1 Reports

The Committee received a \$1,000 contribution on 6/11/12 and a \$1,000 contribution on 6/24/12 but failed to report these on a Schedule A-1 resulting in a civil penalty of \$1,000.

Philip Weyna, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Weyna states in view of the close proximity of the dates of deposit of the contributions and the filing of the Quarterly report containing these contributions, he begs the indulgence of the Board to overlook the oversight. Mr. Weyna also adds that he doesn't recall the IDIS V2 software calling his attention to need to file the A-1 forms.

The IDIS program prompts an A-1 report being due if a contribution is received in the amount of \$1,000 or more. It is ultimately the Committee's responsibility to ensure all required disclosures are timely filed, not the IDIS program. Therefore I must recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violations were anything other than inadvertent and unintentional and since this is the first set of A-1 violations for this Committee, I recommend the civil penalty be reduced to 10% of the original assessment or \$100. If these recommendations are accepted by the Board, the \$100 civil penalty will be due and owing. (As of 6/30/12, this Committee reported a funds available balance of \$23,844.25.)



Kim Patrick – Hearing Officer
September 11, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Pro-Life Victory Committee
PO Box 728
McHenry, IL 60051-9012

ID# 14081

7011 2970 0003 6439 3472

Dear Pro-Life Victory Committee:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

| <u>Contributed By</u> | <u>Date of Contribution</u> | <u>Amount of Contribution</u> | <u>Date A-1 Received</u> | <u>Days Late</u> | <u>Fine Assessed</u> |
|-------------------------|-----------------------------|-------------------------------|--------------------------|------------------|----------------------|
| John O Roeser | 6/11/2012 | \$1000 | * | 11 | \$500 |
| Bulk Lift International | 6/24/2012 | \$1000 | * | 2 | \$500 |

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$1000 for delinquently filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$100, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 4, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

State of Illinois)
County of : McHENRY)

STATE BOARD OF ELECTIONS

12 SEP 10 PM 2:18

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
PRO-LIFE VICTORY COMMITTEE)
Respondent(s).)

Case No. 12AJ024

APPEAL AFFIDAVIT

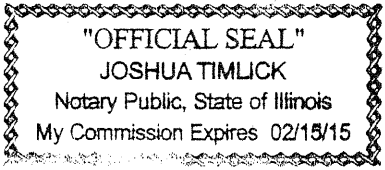
I, PHILIP L. WEYNA, the TREASURER of the
(Name) (Chairman/Treasurer)
PRO-LIFE VICTORY
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

In view of the close proximity of the dates of deposit of the contributions in question and my filing of the quarterly report containing those contributions, I beg the indulgence of the Board of Elections to overlook this oversight. Also I don't recall the 1015V2 software calling my attention to the need to file the A-1 forms.

Philip L Weyna
Signature of Chairman/Treasurer

Signed and Sworn to by: Philip L. Weyna
[Signature]
before me this 8 Day of September, 2012
Notary Public
(seal)



STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

Vs.

12 AD 054

Citizens for Mariyana Spyropoulos (ID 20799)
Respondent

REPORT OF HEARING OFFICER


Appeal of Civil Penalty Assessment for Delinquently Filing Schedule A-1 Reports

During the 4th Quarter of 2011, the Committee received two contributions of \$1,000 or more and delinquently reported them on a Schedule A-1 Report received by the Board on January 12, 2012, resulting in a \$1,000 civil penalty assessment. Additionally this Committee was assessed a \$105,088.80 civil penalty (appealed, denied, reduced, paid) for delinquently filing Schedule A-1 Reports during the 2008 General Primary Election. Since two years have lapsed from the payment of the last civil penalty, this is considered as a first Schedule A-1 violation, therefore the fine is reduced to \$100, or 10% of the above-referenced amount.

Rose Drever, Chairman, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on August 1, 2012.

Mariyana Spyropoulos, Candidate and attorney Michael Kasper appeared on behalf of the Respondent. Ms. Spyropoulos testified that she employed Aviva Patt as a bookkeeper who filed the Committee's campaign disclosure reports electronically. In early January 2012, Ms. Patt notified Ms. Spyropoulos that she had pancreatic cancer and would not be able to continue working for the Committee. Ms. Spyropoulos hired Barb Mason to take over the electronic reporting responsibilities. Upon completing the 2011 December Quarterly Report, Ms. Mason determined that the \$1,000 contributions from John Manos and Christine Speiser were not reported on a Schedule A-1 by Ms. Patt and she immediately filed the delinquent reports. Mr. Kasper indicated the Committee has filed several Schedule A-1 Reports timely, and has implemented a process to avoid future discrepancies.

I am sympathetic to Ms. Patt's condition and realize an oversight was made in regards to these two contributions due to extenuating circumstances; however this is an internal issue within the Committee. I recommend that the appeal be denied for lack of an adequate defense. If the Board accepts this recommendation, \$100 will be due. (As of 6/30/12, this Committee reported a funds available balance of \$94,174.71).


Tara Molnar – Hearing Officer
August 21, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Citizens for Mariyana Spyropoulos

ID# 20799

Dear Citizens for Mariyana Spyropoulos:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

| <u>Contributed By</u> | <u>Date of Contribution</u> | <u>Amount of Contribution</u> | <u>Date A-1 Received</u> | <u>Days Late</u> | <u>Fine Assessed</u> |
|-----------------------|-----------------------------|-------------------------------|--------------------------|------------------|----------------------|
| John Manos | 10/31/2011 | \$1000 | 1/12/2012 | 43 | \$500 |
| Christine Speiser | 10/28/2011 | \$1000 | 1/12/2012 | 42 | \$500 |

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$1000 for delinquent filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$100, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr

Enclosures: appeal packet

* This contribution was reported on the December 2011 Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

State of Illinois)
County of: Cook)

CHICAGO

2012 JUN 25 PM 3:12
STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 12 AD054

Citizens for Marivana Spvropoulos)
Respondent(s).)

APPEAL AFFIDAVIT

I, Rose Drever, the Chairman of the
(Name) (Chairman/Treasurer)

Citizens for Marivana Spvropoulos

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

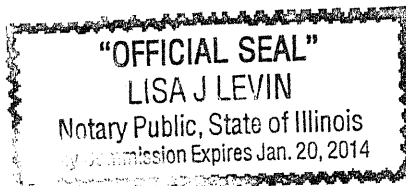
An email was sent to the previous Finance Manager, who unbeknownst to the candidate was
dealing with serious health issues. It was not until Barbara Mason was hired as Finance
Manager for the Committee that it was determined that these two checks had not been
reported. Upon this discovery, the checks were immediately reported.

Note: Will be represented by Attorney Michael Kasper, please schedule hearing in Chicago.

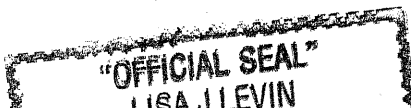
Signed and Sworn to by:

before me this 25 Day of June, 2012

Notary Public
(seal)



Rose Drever
Signature of Chairman/Treasurer



SCHEDULE A-1

REPORT OF CAMPAIGN CONTRIBUTIONS
OF
\$1000 or more

FILED

1/12/2012 12:43:41 PM
FOR OFFICE USE ONLY
IDENTIFICATION NO.
Candidate 20799

Citizens for Mariyana Spyropoulos
30 N. LaSalle
Office 2900
Chicago, IL 60601

ITEMIZED RECEIPTS

| FULL NAME, MAILING ADDRESS, AND ZIP CODE | RECEIPT | DATE | AMOUNT OF |
|--|---------|------------|--------------|
| | TYPE | RECEIVED | EACH RECEIPT |
| Individual Contribution | | | |
| Manos, John 242 Bunting Ln Bloomington, IL 60108 | | 10/31/2011 | \$1,000.00 |
| Individual Contribution | | | |
| Speiser, Christine 135 S Kensington LaGrange, IL 60525 | | 10/28/2011 | \$1,000.00 |

Name and address of person submitting this report if other
than the committee's candidate or treasurer:

Barbara S. Mason
43 Trailridge Lane
Springfield, IL 62704

From: Aviva Patt <avivapatt@gmail.com>
To: mtsesq01 <mtsесq01@aol.com>
Subject: Re: question
Date: Mon, Oct 31, 2011 7:39 pm

We have 5 days to report until Feb 17. But yes, please let me know the day each check of \$1000 or more is deposited.

On Mon, Oct 31, 2011 at 3:55 PM, <mtsесq01@aol.com> wrote:

hi aviva

i'm having another event on nov 8. i think you said that anything over 1000 needs to be reported within 2 days? please confirm if this is correct. in case it is, i got one check today, from john manos, 242 bunting lane, bloomingdale, il 60108. please let me know if you need more info. thank you.

mariyana

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter of:

State Board of Elections
Complainant

v.

Citizens for Mariyana Spyropoulos
Respondent

No: 12 AD 054

APPEARANCE

The undersigned enters the appearance of (check one):

☐ COMPLAINANT(S)

or

☒ RESPONDENT(S)

(insert name)

Check one: ☒ Attorney ☐ Pro Se

Name:

Address:

City/State/Zip:

Telephone:

Fax No.:

Email address:

Will you accept service of documents via E-mail transmission? Check one: ☒ Yes ☐ No

Signature

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

Vs.

12 AM 026

Friends of Derrick Smith (ID 22275)
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing a Schedule A-1 Reports

During the 1st Quarter of 2012, the Committee received five contributions of \$1,000 or more, totaling \$61,215.69 and reported them on a Schedule A-1 Report filed one day late, resulting in a \$30,607.84 civil penalty. Additionally, this Committee was assessed a \$600 civil penalty (not appealed, paid) for delinquently filing the D-1 Statement of Organization, a \$1,350 civil penalty (not appealed, paid) for delinquently filing the 2009 December Semi-Annual Report, a \$4,500 civil penalty for delinquently filing Schedule A-1 Reports during the 2010 General Primary Election and a \$5,000 civil penalty (not appealed, paid) for delinquently filing the 2010 June Semi-Annual Report. As a second Schedule A-1 violation, the fine is reduced to \$15,304 or 50% of the above-referenced amount.

Camela Wimberly, Treasurer, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on August 2, 2012.

Carmela Wimberly, Derrick Smith, Candidate and attorney Victor Headersen appeared on behalf of the Respondent. On March 8, 2012, the Committee received a \$25,000 contribution from the Health Care Council of IL Action Committee, a \$11,500 contribution from SEIU Healthcare IL IN PAC, a \$3,000 contribution from At&T Government Relations and a In-Kind contribution valued at \$4,215.69 from the Democratic Majority. The contributions were reported on a Schedule A-1 report filed on March 13, 2012, one day late. Mr. Headersen stated the contributions were received and reported by treasurer Diamond Moreno, who currently is no longer affiliated with the Committee. He indicated that the Committee made several attempts to contact Ms. Moreno, who due to political reasons, has excommunicated with the Candidate. Ms. Wimberly testified that she was appointed treasurer and contacted Ms. Moreno to retrieve the bank records and discuss the late Schedule A-1 filing. She indicated Ms. Moreno did not offer a reason or defense regarding the late filing. Mr. Headersen stated the Committee may pursue legal action and subpoena Ms. Moreno to offer testimony regarding this matter. In support of their claim, Mr. Headerson submitted Respondent Exhibit (RE) 1, resignation notice filed by Ms. Moreno on May 2, 2012 and RE 2, Amended D-1 Statement of Organization filed by the Committee on May 9, 2012.

The Committee received a civil penalty assessment as a result of a late filing of Schedule A-1 Reports. A defense could not be provided during the hearing because the person responsible for the filing is no longer affiliated with the political committee and refuses to communicate with current Committee officers. I am

sympathetic to the Respondent's circumstances; however this is an internal issue and does not constitute a valid defense. I recommend the appeal be denied for lack of an adequate defense. If the Board accepts this recommendation, a \$15,304 civil penalty will be due. (As of 6/30/12, this Committee reported a funds available balance of \$42,118.38).

A handwritten signature in cursive script, reading "Tara Molnar", is written over a horizontal line.

Tara Molnar – Hearing Officer

September 18, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Friends of Derrick Smith

ID# 22275

Dear Friends of Derrick Smith:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

| <u>Contributed By</u> | <u>Date of Contribution</u> | <u>Amount of Contribution</u> | <u>Date A-1 Received</u> | <u>Days Late</u> | <u>Fine Assessed</u> |
|---------------------------------------|-----------------------------|-------------------------------|--------------------------|------------------|----------------------|
| Health Care Council of IL Action Cmte | 3/8/2012 | \$25,000 | 3/13/2012 | 1 | \$12,500 |
| SEIU Healthcare IL IN PAC | 3/8/2012 | \$11,500 | 3/13/2012 | 1 | \$5750 |
| Democratic Majority | 3/8/2012 | \$4215.69 | 3/13/2012 | 1 | \$2107.84 |
| AT&T Government Relations | 2/24/2012 | \$3000 | 2/29/2012 | 1 | \$1500 |
| Democratic Majority | 2/24/2012 | \$17,500 | 2/29/2012 | 1 | \$8750 |

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$30,607.84 for delinquently filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$15,304, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

CHICAGO

State of Illinois)
County of Cook)

2012 JUN 25 AM 9:41

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Friends of Derrick Smith
Respondent(s).)

Case No. 12 AM 026

I, Carmela Wimberly, the Treasurer of the
(Name) (Chairman/Treasurer)
Friends of Derrick Smith
(Name of the Committee)

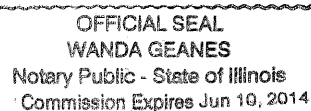
APPEAL AFFIDAVIT

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Mitigating circumstances which are
no merous + complicated for which I
being new as Treasurer of the Committee
had no control over. Those circumstances
can be better explained at the hearing.

Signed and Sworn to by:
CARMELA WIMBERLY
before me this 25 Day of
06, 2011
Wanda Geanes
Notary Public

Carmela Wimberly
(Signature of Chairman/Treasurer)



Meyer, Jason

22275-04

From: Diamond Moreno [diamond_moreno@yahoo.com]
Sent: Wednesday, May 02, 2012 11:15 AM
To: Meyer, Jason
Subject: Resignation

Dear Jason,

As of Friday, April 20, 2012, I officially resign as the Treasurer from the Committee from Friends of Derrick Smith. If you have any questions, please do not hesitate to e-mail me.

I apologize for the delay and for the lateness of this e-mail.

Thank you,
Diamond Moreno

CHICAGO
2012 MAY 02 AM 11:57
STATE BOARD OF ELECTIONS

1

Smith to audit



FORM

D-1

STATEMENT OF ORGANIZATION

PLEASE TYPE OR PRINT IN BLACK INK

FOR OFFICE USE ONLY

CHICAGO

2012 MAY 9 AM 10:34

Full name and complete mailing address of Political Committee:

Friends of Derrick Smith
910 W. VanBuren, Box 188
Chicago, IL 60607

STATE BOARD OF ELECTIONS

E-MAIL ADDRESS:

POLITICAL COMMITTEE
IDENTIFICATION No.

22275-04

☐ CHECK HERE IF ADDRESS CHANGE

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

| | | | | | |
|------------------|---|---------|--|--------|-------------------|
| 1. | DATE COMMITTEE CREATED: | 2. | AMOUNT OF FUNDS AVAILABLE AS OF CREATION DATE :\$ | | |
| 3. | <input type="checkbox"/> NEW COMMITTEE (MUST BE FILED WITHIN 10 DAYS OF CREATION OR WITHIN 2 DAYS IF CREATED WITHIN 30 DAYS BEFORE AN ELECTION.) <input type="checkbox"/> AMENDMENT (MUST BE FILED WITHIN 10 DAYS OF <u>ANY</u> CHANGES. <u>ENTER ONLY THOSE CHANGES FROM LAST D-1 ON FILE.</u>) <input type="checkbox"/> REACTIVATING | | | | |
| 4. | POLITICAL COMMITTEE'S DESIGNATION: ALL COMMITTEES CHOOSE ONLY ONE: <input type="checkbox"/> CANDIDATE POLITICAL COMMITTEE* *For purposes of contribution limits and reporting requirements a Candidate Political Committee supporting a candidate for multiple offices elected at different elections must designate an election cycle by listing the appropriate office. This office is: _____ <input type="checkbox"/> POLITICAL ACTION COMMITTEE <input type="checkbox"/> POLITICAL PARTY COMMITTEE <input type="checkbox"/> BALLOT INITIATIVE COMMITTEE <input type="checkbox"/> INDEPENDENT-EXPENDITURE-ONLY PAC** ** May not make direct contributions or coordinated expenditures. | | | | |
| 5. | POLITICAL COMMITTEE'S AREA OF ACTIVITY, SCOPE, AND PARTY AFFILIATION. A. THIS COMMITTEE WILL PRIMARILY OPERATE IN THE FOLLOWING COUNTY(IES) OR DISTRICT(S): (not applicable if operating statewide or supporting/opposing statewide candidates or ballot initiatives) _____ B. POLITICAL PARTY AFFILIATION: _____ C. NAME AND ADDRESS OF EACH SPONSORING ENTITY: _____ | | | | |
| 6. | PURPOSE OF THE POLITICAL COMMITTEE. <p style="text-align: right;">Smith Exhibit 2 X2</p> | | | | |
| 7. | CANDIDATE(S) THE COMMITTEE IS SUPPORTING OR OPPOSING. (IF AMENDING, LIST ALL AS OF TODAY'S DATE.) | | | | |
| NAME AND ADDRESS | | SUPPORT | OPPOSE | OFFICE | PARTY AFFILIATION |
| | | | | | |

IF MORE SPACE FOR INFORMATION IS REQUIRED, PLEASE ATTACH ADDITIONAL SHEETS.
THIS FORM MAY BE REPRODUCED

PAI

COMMITTEE NAME:

POLITICAL COMMITTEE IDENTIFICATION No.:

8. REQUIRED COMMITTEE OFFICERS.

| POSITION | NAME | MAILING ADDRESS, DAYTIME PHONE NUMBER, AND E-MAIL ADDRESS |
|-----------|-----------------|---|
| CHAIRMAN | | |
| TREASURER | Carmela Wimbory | 910 W Van Buren, Box 188 Chicago, IL 60607 |

9. POSITION, NAME & MAILING ADDRESS OF EACH CUSTODIAN OF THE COMMITTEE'S BOOKS AND ACCOUNTS.

| POSITION | NAME | MAILING ADDRESS, DAYTIME PHONE NUMBER, AND E-MAIL ADDRESS |
|----------|------|---|
| | | |

**10. LIST OF ALL FINANCIAL INSTITUTIONS AND OTHER REPOSITORIES OF THE COMMITTEE FUNDS.
(IF AMENDING, LIST ALL AS OF TODAY'S DATE.)**

| NAME | MAILING ADDRESS AND PHONE NUMBER |
|------|----------------------------------|
| | |

11. DISPOSITION OF RESIDUAL FUNDS IN THE EVENT OF DISSOLUTION OR TERMINATION OF THE COMMITTEE:

- ☐ RETURN TO CONTRIBUTORS IN AMOUNTS NOT TO EXCEED THEIR INDIVIDUAL CONTRIBUTIONS.
- ☐ TRANSFER TO ANOTHER POLITICAL COMMITTEE: _____
- ☐ TRANSFER TO A CHARITABLE ORGANIZATION: _____

IF MORE SPACE FOR INFORMATION IS REQUIRED, PLEASE ATTACH ADDITIONAL SHEETS.

VERIFICATION- BALLOT INITIATIVE COMMITTEES ONLY

I DECLARE THAT THIS BALLOT INITIATIVE COMMITTEE IS FORMED FOR THE PURPOSE OF SUPPORTING OR OPPOSING A QUESTION OF PUBLIC POLICY. ALL CONTRIBUTIONS AND EXPENDITURES OF THE COMMITTEE WILL BE USED FOR THE PURPOSE DESCRIBED IN THIS STATEMENT OF ORGANIZATION. THE COMMITTEE MAY ACCEPT UNLIMITED CONTRIBUTIONS FROM ANY SOURCE, PROVIDED THAT THIS BALLOT INITIATIVE COMMITTEE DOES NOT MAKE CONTRIBUTIONS OR EXPENDITURES IN SUPPORT OF OR OPPOSITION TO A CANDIDATE OR CANDIDATES FOR NOMINATION FOR ELECTION, ELECTION, OR RETENTION, AND FAILURE TO ABIDE BY THESE REQUIREMENTS SHALL DEEM THIS COMMITTEE IN VIOLATION OF THIS ARTICLE. (10 ILCS 5/9)

PRINTED AND WRITTEN SIGNATURE OF COMMITTEE CHAIRPERSON

DATE

VERIFICATION: ALL POLITICAL COMMITTEES INCLUDING BALLOT INITIATIVE COMMITTEES

I DECLARE THAT THIS STATEMENT OF ORGANIZATION (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND, TO THE BEST OF MY KNOWLEDGE AND BELIEF, IS A TRUE, CORRECT, AND COMPLETE STATEMENT OF ORGANIZATION AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT WILLFULLY FILING A FALSE OR INCOMPLETE STATEMENT IS SUBJECT TO A CIVIL PENALTY OF AT LEAST \$1001 AND UP TO \$5000.

PRINTED AND WRITTEN SIGNATURE OF TREASURER OR CANDIDATE

DATE

THE ILLINOIS STATE BOARD OF ELECTIONS REQUIRES THE DISCLOSURE OF INFORMATION THAT IS NECESSARY IF YOU QUALIFY AS A POLITICAL COMMITTEE AS OUTLINED UNDER PUBLIC ACT 78-1183. WILLFUL FAILURE TO FILE OR WILLFUL FILING OF FALSE OR INCOMPLETE INFORMATION REQUIRED BY THIS ARTICLE SHALL CONSTITUTE A BUSINESS OFFENSE SUBJECT TO A FINE OF UP TO \$5000. THIS FORM IS IN COMPLIANCE WITH THE FORMS MANAGEMENT PROGRAM ACT.

ALL POLITICAL COMMITTEES RETURN TO:

STATE BOARD OF ELECTIONS
2329 S MACARTHUR BLVD
SPRINGFIELD, IL 62704-4503
fax: 217-557-5630

e-mail: D1@ELECTIONS.IL.GOV (D-1s ONLY)

STATE BOARD OF ELECTIONS
JAMES R. THOMPSON CENTER
100 W RANDOLPH ST, STE 14-100
CHICAGO, IL 60601-3232
fax: 312-814-6485

e-mail: D1@ELECTIONS.IL.GOV (D-1s ONLY)www.elections.il.gov

PAGE 2 OF 2

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter of:

Illness State Board

Complainant(s),

OF Elections

Vs.

Case No. 12 AM026

Friends of Norrick

Respondent(s)

Smith

APPEARANCE

The undersigned enters the appearance of (check one):

☐ COMPLAINANT(S) or ☒ RESPONDENT(S)

Norrick Smith, Friends of
(Insert name)

Check one:

☒ Attorney ☐ Pro Se

Name:

VICTOR A. Henderson

Address:

330 South Wells Street

City/State/ZIP

Chicago 60606

Telephone:

312. 262. 2900

FAX No.:

312. 262. 2901

EMAIL:

Will you accept service of documents via FAX transmissions?

+ EMAIL

Check one: ☒ Yes ☐ No

Victor A. Henderson
Signature

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

Vs.

11 SQ 183

New Progress Party (ID 23190)
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing the 3rd Quarterly Report of 2011

The report was received on November 13, 2011, 13 days late, resulting in a \$650 civil penalty. In addition, the Committee was assessed a \$400 civil penalty (not appealed, stayed) for delinquently filing the 2nd Quarterly Report of 2011. The total assessment is \$1,050.

Francine Anderson, Chairman, filed a Request for Hearing and submitted an Appeal Affidavit. The hearing was held on February 14, 2012.

Anderson indicated situations beyond her control, contributed to the late filing. Anderson testified in September of 2011, she lost her job and during the filing period, two of her cousins passed away. Anderson tended to family responsibilities in Alabama during the month of October. She presented a copy of the funeral programs to corroborate her statements. Anderson stated the committee was inactive after the slate lost the 2011 Consolidated Election. The committee closed and filed a Final Report on 1/4/12.

I am sympathetic to Ms. Anderson's circumstances and understand how loss of employment and loved ones impacts life's responsibilities. Anderson was the Chairman of the Committee and not ultimately responsible for the Committee's filings. It is unfortunate the Treasurer or other members of the organization were unable to accept the filing responsibilities during this time. I recommend the appeal be denied for lack of an adequate defense. If the Board accepts this recommendation, \$1,050 will be due. Since this Committee has filed a Final Report, I recommend the assessment will be abated two years following the effective date of the Final Board Order if this Committee remains dissolved until that time without forming a successor committee pursuant to Rules and Regulations 100.110(b) (The Final Report filed on 1/4/12 reported a funds available balance of \$ \$0.00)



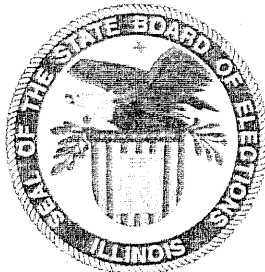
Tara Molnar – Hearing Officer

March 22, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
December 5, 2011

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

New Progress Party ID# 23190
Darrick Thompson
2151 217th Place
1606 216th St
Sauk Village, IL 60411

Dear New Progress Party:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

| | |
|----------------|--|
| Report Type: | Quarterly Report of Campaign Contribution and Expenditures |
| Report Period: | July 1, 2011 through September 30, 2011 |
| Filing Period: | October 3, 2011 through October 17, 2011 |

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on November 3, 2011, 13 days late. As such, this committee has been assessed a fine of \$650.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by January 4, you forfeit the right to contest this assessment.**

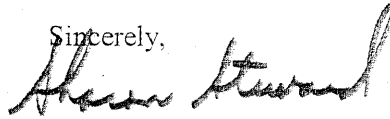
If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order (January 4). You will be notified of this payment due date in the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 1020 S Spring Street, Springfield, IL 62704.

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days:

| <u>Reporting Period</u> | <u>Report Type</u> | <u>Previous Fine Amount</u> |
|------------------------------------|--------------------|-----------------------------|
| April 1 through June 30, 2011 | Quarterly | \$400 |
| <i>TOTAL AMOUNT NOW DUE</i> | | \$1050 |

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,



Sharon Steward
Director, Campaign Disclosure Division

SS: jr

Enclosure(s): appeal packet

CHICAGO

State of Illinois)
County of Cook)

12 JAN -4 PM 1:57
STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)

Vs.)
New Progress Party)
Respondent(s).)

Case No. 11SQ 183

23190

APPEAL AFFIDAVIT

I, Francine Anderson, the Chairman of the
(Name) (Chairman/Treasurer)
New Progress Party ID # 23190
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

① The Committee collected no additional funds from the previous quarterly report. ② The Committee only have seven dollars + 44 cents at the end of previous quarterly ③ Because of personal issues + life events this chairman forgot to ensure the completion of the report such as 2 deaths within 30 days of close family members, unexpected job lost, emergency hospitalization of sibling.

Signed and Sworn to by:

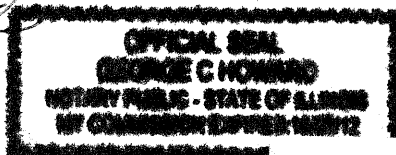
Francine Anderson
before me this 4th Day of

January, 2012

George C. Howard
Notary Public

Francine Anderson

(Signature of Chairman/Treasurer)



STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

12 JQ 119

Committee to Elect Judge James L Kaplan
Respondent

ID# 23680

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
the June 2012 Quarterly Report

The Quarterly Report was received by the Board on July 26, 2012, 8 days late, resulting in a civil penalty assessment of \$400. Additionally, the Committee had previously been assessed a civil penalty of \$50 (not appealed, stayed) for delinquently filing a Schedule A-1 in the 4th Quarter of 2011. The total assessment is \$450.

Diana Sheffer, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Sheffer only addresses the Committee's previous assessment which already has a Final Board Order. She states nothing about the current civil penalty for the June 2012 Quarter report.

I contacted the Committee to find out if there was any additional information they could provide for the late filing of the current assessment and she responded that they just completely forgot. Therefore I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay would be lifted from the previous \$50 assessment and the \$450 civil penalty will be due and owing. (As of 6/30/12, this Committee reported a funds available balance of \$16,409.83.)



Kim Patrick – Hearing Officer
August 30, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Committee to Elect Judge James L Kaplan ID# 23680
Diana Sheffer
6100 N Kirkwood
Chicago, IL 60646

Dear Committee to Elect Judge James L Kaplan:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

| | |
|----------------|---|
| Report Type: | June Quarterly Report of Campaign Contribution and Expenditures |
| Report Period: | April 1, 2012 through June 30, 2012 |
| Filing Period: | July 1, 2012 through July 16, 2012 |

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/26/2012, 8 day(s) late. As such, this committee has been assessed a fine of \$400.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 4 you forfeit the right to contest this assessment.**


If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

| Reporting Period | Report Type | Previous Fine Amount |
|------------------------------------|--------------|----------------------|
| October 1 through December 31 2011 | Schedule A-1 | \$50 |
| TOTAL AMOUNT NOW DUE | | \$450 |

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,


Sharon Steward, Director,
Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
County of: COOK)

STATE BOARD OF ELECTIONS

12 SEP 10 PM 2:18

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)

Vs.)
Committee to Elect Judge)
Respondent(s). James L. Kaplan)

Case No. 12JQ119

APPEAL AFFIDAVIT

I, Diana Sheffer, the Treasurer of the
(Name) (Chairman/Treasurer)
Committee to Elect Judge James L. Kaplan
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

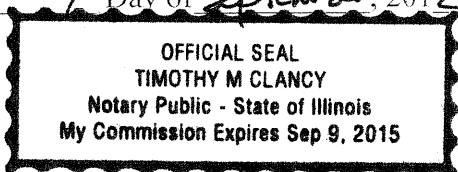
Previous infraction was due to a typographical
error on the AI report of 12/1/2012. (See attached)
Date received was typed as 11/20/12 rather than 11/30/2011
Committee was cited for late filing. A subsequent
amendment was sent. Consequently, this is
the committee's first violation.

Diana B Sheffer
Signature of Chairman/Treasurer

Signed and Sworn to by: [Signature]

before me this 7 Day of September, 2012

Notary Public
(seal)



Chase Online

Friday, September 07, 2012

Check Details for Check Number 10564

| Post Date | Amount | Account number | Routing number |
|------------|------------|----------------|----------------|
| 12/07/2011 | \$1,000.00 | 830004337 | 07100340 |

Check Images (Front and Back)

THOMAS E. HOFFMAN
MARGARITA T. KULYS
1881 STUART LN.
INVERNESS, IL 60067

10564

DATE 11-30-11 2-340/710

PAY TO THE ORDER OF Committee to Elect Judges from L. Kaplan \$1,000.00

One thousand 00/100 DOLLARS

F. MARGAMATED
Bank of Chicago

FOR [Signature]

JPMorgan Chase Bank, N.A. 12/07/12 11:00 AM EST 830004337

This information is provided for your convenience and does not replace your monthly account statement(s), which are the official records of your accounts and does not replace any other notice we send you.

JPMorgan Chase Bank, N.A. Member FDIC

© 2012 JPMorgan Chase & Co.

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

Vs.

12 AM 060

Friends of Pat Mahon (ID 23982)
Respondent

REPORT OF HEARING OFFICER


Appeal of Civil Penalty Assessment for Delinquently Filing a Schedule A-1 Report

On March 9, 2012, the Committee received a \$1,000 contribution from Ronald and Marylynn Colosimo and reported it on a Schedule A-1 Report filed on March 15, 2012, two days late, resulting in a \$500 civil penalty assessment. As a first violation, the fine is reduced to \$50 or 10% of the above-referenced amount.

Lawrence Van Drunen, Treasurer, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on September 5, 2012.

Mr. Van Drunen testified that he received the check on Friday, March 9, 2012 and deposited it in the bank account held at Providence Bank on Monday, March 12, 2012. It is Mr. Van Drunen's position that he reported the contribution using the date he received the check rather than the date of deposit. He believes that the Schedule A-1 Report was timely filed. In support of his claim, Mr. Van Drunen submitted a copy of the check and deposit slip.

It appears the check was technically received on March 12, 2012, however the Schedule A-1 filed on March 15, 2012 is considered late. Friends of Pat Mahon is organized as a candidate political committee supporting Pat Mahon's 2012 campaign for State Senate. Mr. Mahon appeared on the 2012 General Primary Ballot on March 20, 2012. Therefore, per section 10 ILCS 5/9-10(c), any contribution of \$1,000 or more received by the Committee between February 19, 2012 and March 19, 2012 should have been reported on a Schedule A-1 Report within two business days. The Schedule A-1 Report filed on March 15, 2012 is three business days from the date of receipt and consequently one day late. I recommend the appeal be denied and a \$50 civil penalty assessed. As a first time violation, the civil penalty is stayed. (As of 6/30/12, this Committee reported a funds available balance of \$3,829.34).

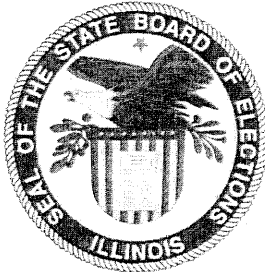

Tara Molnar – Hearing Officer

September 7, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2011

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Friends of Pat Mahon ID# 23982

Dear Friends of Pat Mahon:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

| <u>Contributed By</u> | <u>Date of Contribution</u> | <u>Amount of Contribution</u> | <u>Date A-1 Received</u> | <u>Days Late</u> | <u>Fine Assessed</u> |
|------------------------------|-----------------------------|-------------------------------|--------------------------|------------------|----------------------|
| Ronald A and Maylyn Colosimo | 3/9/2012 | \$1000 | 3/15/2012 | 2 | \$500 |

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$500 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$50, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be **stayed** as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5, you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosures: appeal packet

* This contribution was reported on the March Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

RECEIVED

JUL 9 2012

RECEIVED

State of Illinois)
County of: Sangamon)

State Board of Elections

State Board of Elections

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Friends of Pat Mahon)
Respondent(s).)

Case No. 12AM060

APPEAL AFFIDAVIT

I, Lawrence J. Van Drunen, the Treasurer of the
(Name) (Chairman/Treasurer)
Friends of Pat Mahon ID # 23982
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Ronald A. and Maylyn Colosimo wrote a contribution check, number 3740, for \$1,000.00,
and the check was dated March 8, 2012. The check was received in the office of Friends
of Pat Mahon on March 9, 2012. The check was given to the treasurer on March 12, 2012
to deposit into the committee's bank account. The check was deposited on March 12, 2012.
The Schedule A-1 was timely filed on March 12, 2012. The Schedule A-1 shows the receipt
of the check on March 9, 2012. But it was not received by the treasurer and deposited
until March 12, 2012. The civil penalty should not be assessed.

Lawrence J. Van Drunen
Signature of Chairman/Treasurer

Signed and Sworn to by:
Cynthia K. Meyer
before me this 12th Day of July, 2012

Notary Public
(seal)
OFFICIAL SEAL
CYNTHIA K MEYER
NOTARY PUBLIC - STATE OF ILLINOIS
MY COMMISSION EXPIRES: 09/04/14

Friends of Pat Mahon ID# 23982
Notice of Appeal

We are requesting a hearing to appeal the assessment of the civil penalty on the Friends of Pat Mahon Committee, ID #23982, dated June 4, 2012 for the failure to timely file a Schedule A-1 to report a contribution received from Ronald A. and Maylyn Colosimo of \$1,000.00. The Schedule A-1 was timely filed and the civil penalty should not be assessed.

Facts: Ronald A. and Maylyn Colosimo wrote a contribution check (check 3740) for \$1,000.00, and the check was dated March 8, 2012.

The check was received by the Friends for Pat Mahon Committee on March 9, 2012. The check was given to the treasurer on March 12, 2012 to deposit into the committee's bank account. the check was deposited in the committee's bank account on March 12, 2012 – See bank deposit receipt.

The Schedule A-1 was filed with the Illinois Board of Elections on March 13, 2012

The Schedule A-1 was timely filed and the civil penalty should not have been assessed.

At the hearing, we are requesting that the penalty be abated.



Lawrence J. Van Drunen
Treasurer



24 Hour Telephone Banking
888-407 BANK (2265)
www.providencebank.com
Member FDIC

Transaction Receipt

DEPOSIT SUBJECT TO VERIFICATION AND THE PROVISIONS
OF UNIFORM COMMERCIAL CODE. PLEASE BE SURE TO
ENTER THIS TRANSACTION IN YOUR RECORDS.

#107 03/12/12 05:59:15 PM CR03/13/12!
17 PROVIDENCE BANK 1 LCRU
DDA ACCOUNT NUMBER: ****6411
DEPOSIT AMT: \$2,150.00
Sign up for e-statements today!

I.F.S. 4-930-01

RONALD A. COLOSIMO
MARYLYNN COLOSIMO
13132 S 80TH COURT
PALOS PARK, IL 60464

70-2390/719

3740

March 8, 2012
Date

Pay to the
Order of

Friends of Pat Mahon \$ 1,000⁰⁰/₁₀₀ ✓

One thousand and no/100

Dollars



Security Features
Included.
Details on Back



FIFTH THIRD BANK

For

RA Colosimo

MP

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

12 AM 114

Citizens for Burkart

Cmte ID: 24172

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment

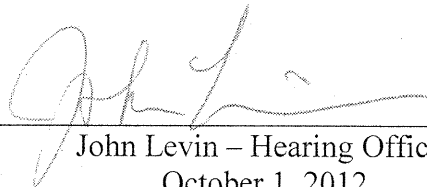
For Delinquently Filing Two (2) Schedule A-1 Reports

The Committee reported two \$1,000 donations from John Gerdes on April 16, 2012. The donations were dated February 13, 2012. The A-1s were received 38 days late, resulting in a total fine of \$1,000. These are the Committee's first violations.

Brett Bruhn, the Treasurer of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Mr. Bruhn stated the delinquent nature of the filings was inadvertent and said Mr. Burkart is a first-time Candidate who apologizes for his negligence. Mr. Bruhn also stated the donations in question were part of the Committee's first \$3,000 and therefore did not need to be reported. However, Mr. Burkart clarified in a follow-up phone conversation that he had earlier loaned the Committee \$2,500 and acknowledged both donations from Mr. Gerdes needed to be reported on A-1s within five business days.

I recommend the appeal be denied for lack of an adequate defense. If the Board accepts this recommendation, the assessment would automatically be reduced to \$100 (10% of the above-referenced fine amount) pursuant to Board policy. As of June 30, 2012, the Committee reported cash on hand of \$714.57.



John Levin – Hearing Officer
October 1, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Committee to Elect Jesse G Reyes
PO Box 64927
Chicago, IL 60664-0927

ID# 20812

7011 2970 0003 6439 3625

Dear Committee to Elect Jesse G Reyes:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

| <u>Contributed By</u> | <u>Date of Contribution</u> | <u>Amount of Contribution</u> | <u>Date A-1 Received</u> | <u>Days Late</u> | <u>Fine Assessed</u> |
|-----------------------------|-----------------------------|-------------------------------|--------------------------------|------------------|----------------------|
| Thomas Q Keef Jr PC | 4/27/2012 | \$1000 | 5/21/2012 | 11 | \$500 |
| Katz Law Office | 4/18/2012 | \$2000 | 4/18/2012 4/19/2012 | 1 | \$1000 |
| Salvi, Schostok & Pritchard | 4/18/2012 | \$1000 | 4/26/2012 | 1 | \$500 |

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$2000 for delinquent filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$200, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 4, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

State of Illinois)
)
County of : Cook)

CHICAGO

2012 SEP 20 PM 2:50

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF:)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
Committee to Elect Jesse Reyes)
Respondent(s).)

Case No. 12A5040

APPEAL AFFIDAVIT

I, AMELIA GARCIA, the Treasurer of the
(Name) (Chairman/Treasurer)
Committee to Elect Jesse Reyes
(Name of the Committee)

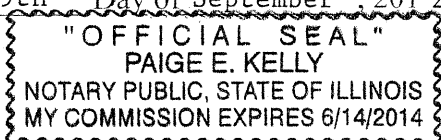
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Contributions were deposited and recorded within the required time frame of the Board of
Elections as evidenced in the documents attached hereto. I erroneously recorded the date on
each check rather than the date we actually received and deposited the checks.

Amelia Garcia
Signature of Chairman/Treasurer
773/847 5971

Signed and Sworn to by: Paige Kelly
before me this 19th Day of September, 2012

Notary Public
(seal)



Committee to Elect Jesse Reys

A1 Salvi, Schostok

pg 9
9/19/12

Salvi check dated 4/18/12
518171

received 4/25/12

deposited 4/25/12 3:53 p.m.

A-1 Recorded 4/26/12 4:31 p.m.

Katz Law Office

Katz check dated 4/18/12
11004

received 4/25/12

deposited 4/25/12 3:53 p.m.

A-1 Recorded 4/26/12 4:31 p.m.

Thomas Q. Keefe Jr P.C.

Keefe ck dated 4/27/12 # 7582

Received 5/21/12

Deposited 5/21/12 1:04 p.m.

A-1 Recorded 5/21/12 1:36 p.m.

94

CHASE

CHASE

CHASE

CHASE

R

Special benefits for Chase checking customers! Take advantage of exclusive offers on many Chase products. To learn more visit chase.com/exclusives or talk to a banker today!

My Transaction Summary

Transaction #166
Account Number Ending In: 4867
Checking Deposit \$6,150.00

Further review may result in delayed availability of this deposit

JPMorgan Chase Bank, N.A.
Chicago Main, Branch 000900
1-800-935-9935
Member FDIC, Equal Housing Lender
Please keep your receipt
04/25/2012 15:53

Business Date 04/25/2012
Session #80

Thank you - Kudinat
Cashbox #14

3:53 PM

COMMITTEE TO ELECT JESSE G. REYES 10-11

P.O. BOX 64927
CHICAGO, IL 60664-0927

CURRENCY

COIN

CHECKS

DATE May 21, 2012
DEPOSITS MAY NOT BE AVAILABLE FOR IMMEDIATE WITHDRAWAL
PLEASE ENTER AMOUNT OF CASH AND CHECKS
ATTACH ADDING MACHINE TICKET TO DEPOSIT SLIP

DEPOSIT TICKET

CHASE

JPMorgan Chase Bank, N.A.
www.Chase.com

2-1900
710

00

1,000.

00

1,000.

Salvi CK # 7582

Thomas
Keefe
JPC

1,000.00

A-1 ✓ recorded
at B.O.E.

5/21/12
1:36
pm

check # 7582 dated 4/27/12

not received until
Monday, May 21, 2012.

Deposited Mon. May 21, 2012.

1:04
p.m.

CHASE

CHASE

Special benefits for Chase checking customers! Take advantage of exclusive offers on many Chase products. To learn more visit chase.com/exclusives or talk to a banker today!

My Transaction Summary

CHASE

Transaction #41
Account Number Ending In: 4867
Checking Deposit \$1,000.00

Further review may result in delayed availability of this deposit

CHASE

JPMorgan Chase Bank, N.A.
Chicago Main, Branch 000900
1-800-935-9935

Member FDIC, Equal Housing Lender
Please keep your receipt
05/21/2012 13:04

Business Date 05/21/2012
Session #20

Thank you - Rudinat
Cashbox #14

1:04
pm

CHASE

SCHEDULE A-1

REPORT OF CAMPAIGN CONTRIBUTIONS OF \$1000 or more

FILED

5/21/2012 1:38:01 PM

FOR OFFICE USE ONLY
IDENTIFICATION NO.

Candidate 20812

Committee to Elect Jesse Reyes
P. O. Box 64927
Chicago, IL 60664

| ITEMIZED RECEIPTS | RECEIPT TYPE | DATE RECEIVED | AMOUNT OF EACH RECEIPT |
|--|-----------------|------------------|---------------------------|
| FULL NAME, MAILING ADDRESS, AND ZIP CODE | | | |
| Individual Contribution | | | |
| Thomas Q. Keefe Jr., P.C. 6 Executive Woods Court Belleville, IL 62226 | | 4/27/2012 | \$1,000.00 |

Name and address of person submitting this report if other
than the committee's candidate or treasurer:

ck 7582 dated 4/27
received 5/21/12
— deposited 5/21/12 1:04 pm
recorded 5/21/12 1:36 pm
at
B.O.E.

SCHEDULE A-1**REPORT OF CAMPAIGN CONTRIBUTIONS
OF
\$1000 or more**

FILED

4/26/2012 4:31:28 PM
FOR OFFICE USE ONLY
IDENTIFICATION NO.
Candidate 20812Committee to Elect Jesse Reyes
P. O. Box 64927
Chicago, IL 60664

| ITEMIZED RECEIPTS | RECEIPT TYPE | DATE RECEIVED | AMOUNT OF EACH RECEIPT |
|--|-----------------|------------------|---------------------------|
| FULL NAME, MAILING ADDRESS, AND ZIP CODE | | | |
| Individual Contribution | | | |
| Allen N. Schwartz, Ltd. 60 W. Randolph Chicago, IL 60601 | | 4/25/2012 | \$1,000.00 |
| Individual Contribution | | | |
| Cooney and Conway 120 N LaSalle Chicago, IL 60602 | | 4/25/2012 | \$2,000.00 |
| Individual Contribution | | | |
| Cooney, Jr., Robert J. 931 Ashland Ave. River Forest, IL 60305 | | 4/25/2012 | \$1,000.00 |
| Individual Contribution | | | |
| Demetrio, T. A. 66 East Cedar Chicago, IL 60611 | | 4/25/2012 | \$1,000.00 |
| Individual Contribution | | | |
| Fahey, William R. 120 N. LaSalle, 30th Flr. Chicago, IL 60602 | | 4/25/2012 | \$1,000.00 |
| Individual Contribution | | | |
| Katz Law Office, Ltd 2408 W. Cermak Rd. Chicago, IL 60608 | | 4/18/2012 | \$2,000.00 |
| Individual Contribution | | | |
| Menchaca, Alejandro 1731 Bluestem Glenview, IL 60026 | | 4/20/2012 | \$1,000.00 |
| Individual Contribution | | | |
| Power, Susan V. 344 W. Wellington Ave. Chicago, IL 60657 | | 4/25/2012 | \$1,000.00 |

received 4/25
3⁵³ Bank dep 4/25
recorded 4:31 p.m.
@ B.O.E.

SCHEDULE A-1

REPORT OF CAMPAIGN CONTRIBUTIONS
OF
\$1000 or more

FILED

4/26/2012 4:31:28 PM
FOR OFFICE USE ONLY
IDENTIFICATION NO.
Candidate 20812

Committee to Elect Jesse Reyes
P. O. Box 64927
Chicago, IL 60664

| ITEMIZED RECEIPTS | RECEIPT TYPE | DATE RECEIVED | AMOUNT OF EACH RECEIPT |
|--|--|---|---------------------------|
| FULL NAME, MAILING ADDRESS, AND ZIP CODE | | | |
| Individual Contribution | | | |
| Salvi, Schostok & Pritchard 218 N. Martin King Jr. Drive Waukegan, IL 60085 | <i>received 4/25</i> <i>bank - deposited 4/25 3⁵³ pm</i> | <i>date of</i> <i>ck</i> 4/25/12 4/18/2012 | \$1,000.00 |
| <i>recorded at B.O.E. 4/26/12 4:31 pm</i> | | | |
| Name and address of person submitting this report if other than the committee's candidate or treasurer: | | | |
| <hr/> <hr/> <hr/> | | | |

STATE OF ILLINOIS
COUNTY OF COOK

)
) SS
)

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

In the Matter Of:

State Board of Elections

Complainant

Vs.

12 MA 074

IBEW Local 9 PAC Fund

24351

Respondent

Supplement to the Hearing Officer Report

On July 16, 2012 Michael Kasper requested the Board send this matter back to a hearing officer at the Board meeting. The Board referred the matter back to a hearing officer and a hearing was scheduled for August 28, 2012. The Respondent was represented by attorney Michael Kasper at the appeal hearing.

The Respondent was represented by Michael Kasper at the August 28th appeal hearing. Phillip Dote, the Financial Secretary, and Joe Pierson the person responsible for reviewing the IRS filings were in attendance, and Bob Pierson, the chairman and Business Manager was made available via telephone.

Michael Kasper indicated that the committee filed with the State Board of Elections in error. A few members of the Union attended a Union Conference and they heard a discussion about filing with the State Board of Elections. They thought they should have been filing and without consulting an attorney in the matter they went ahead and filed. Mr. Kasper indicated that they do not meet the legal definition of a committee and they should never have registered. He believes the fines should be removed and the filings should be terminated. The Bylaws of Local Union 9 (Exhibit 1) indicate that the revenues of the Local Union are derived from payment of initiation fees, dues and assessments by members. The revenues are then prorated at 88% to the General Fund Account, 10% to the Business Manager's Fund Account, and 2% to the Convention Fund Account. Bob Pierson indicated that the Business Manager's Fund has two accounts to accommodate for their IRS filings in relation to political contributions. The first account is called the business manager's fund (this fund gives to charities, retirement clubs, hosts Union picnics and things along that line) the second is called PAC fund (this fund only makes political contributions), however Mr. Kasper indicated that it is only called PAC fund in name for it is not a political action committee as defined by the Illinois Election Code. The Business Manager manages the affairs of the Union and is the sole bargaining representative of the Union. As the Business Manager Bob Pierson has the discretion to put in as little or as much into the PAC Fund as he sees fit. Therefore, the amount the PAC Fund receives varies. Mr. Kasper introduced some of the Union's IRS filings, Form 8872, Political Organization Report of Contributions and Expenditures (Exhibit 2). Mr. Kasper also introduced a copy of the PAC Fund's ledger for 2011 and 2012 (Exhibit 3) to show that the funds are only used to make direct contributions to committees and to pay the occasional bank fee. Mr. Kasper explained that the Union does not except contributions, the business manger has an option to fund the account, the funds are from the Union treasury, only direct contributions are made, no contributions are earmarked, and since contributions are not expenditures this all shows that the Union should not have registered.

Mr. Kasper may be correct in that the Union would not have been required to file but the Board has in the past has viewed the voluntary filing of the D-1, Statement of Organization, as establishing an obligation to file all required reports regardless of whether the "committee" qualified as a political committee. In order to be consistent with previous Board decisions in relation to the voluntary filing of a Statement of Organization I recommend the appeal be denied for lack of an adequate defense. However, I also recommend seven late filings be considered together as a single offense, and the \$31,400 penalty be stayed as a first violation. The union formed the PAC on its own accord to abide by the law and in effect was automatically penalized. Such recommendation is consistent with similar rulings in SBE v. Chicagoland Apartment Association PAC (06 MA 001), SBE v. Black Illinois Legislative Lobby (06 MA 002), SBE v. Indian Prairie Education Assn PAC for Education (08 MA 009), SBE v. Local Union 792 Political Action Fund (11 MA 087), SBE v. Illinois Federation of Public Employees PAC (11 MA 085 & 12 MA 030), and SBE v. Northwestern Illinois Building & Construction Trades Council (11 MA 130). (As of 6/30/12, this Committee reported a funds available balance of \$1,552.31.)



Andy Nauman – Hearing Officer
September 6, 2012

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

12 MA 074

IBEW Local 9 PAC Fund Cmte ID: 24351
Respondent

REPORT OF HEARING OFFICER


Appeal of Civil Penalty Assessment For Delinquently Filing the June 2010 Semi-Annual Report, the December 2010 Semi-Annual Report, the March 2011 Quarterly Report, the June 2011 Quarterly Report, the September 2011 Quarterly Report, the December 2011 Quarterly Report, and the March 2012 Quarterly Report of Receipts and Expenditures

The Reports were received, respectively, 442, 317, 258, 195, 132, 69, and 7 days late. The total assessment is \$31,400. The Committee had not been previously assessed.

Robert Pierson, the Chairman and Treasurer of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Mr. Pierson stated the Committee first learned of its delinquent Reports in meeting with a newly-hired accountant in March, 2012. He said the late filings were "entirely inadvertent as the committee was not aware of the State Board of Elections' filing requirements."

I recommend the appeal be denied for lack of an adequate defense. However, I also recommend the seven (7) late filings be considered together as a single offense, and the \$31,400 penalty be stayed as a first violation. This would prevent the Committee from being excessively penalized for attempting to comply with the law. Such consideration is consistent with similar rulings in *SBE v. Chicagoland Apartment Association PAC (06 MA 001)*, *SBE v. Black Illinois Legislative Lobby (06 MA 002)*, *SBE v. Indian Prairie Education Association PAC for Education (08 MA 009)*, *SBE v. Local Union 792 Political Action Fund (11 MA 087)*, and *SBE v. Illinois Federation of Public Employees PAC (11 MA 085 & 12 MA 030)*. As of March 31, 2012, the Committee's cash balance was reported as \$2,627.31.



John Levin – Hearing Officer
June 28, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

IBEW Local 9 PAC Fund

ID# 24351

Dear IBEW Local 9 PAC Fund:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

| | |
|----------------|---|
| Report Type: | 2010 June Semi-Annual Report of Campaign Contributions and Expenditures |
| Report Period: | January 1, 2010 through June 30, 2010 |
| Filing Period: | July 1, 2010 through July 20, 2010 |

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on April 25, 2012, 442 day(s) late. As such, this committee has been assessed a fine of \$5000.

In addition, this committee failed to file the following documents during the requisite filing period:

| | |
|----------------|--|
| Report Type: | 2010 December Semi-Annual Report of Campaign Contribution and Expenditures |
| Report Period: | July 1, 2010 through December 31, 2010 |
| Filing Period: | January 1, 2011 through January 20, 2011 |

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on April 25, 2012, 317 day(s) late. As such, this committee has been assessed a fine of \$5000.

| | |
|----------------|---|
| Report Type: | 2011 March Quarterly Report of Campaign Contribution and Expenditures |
| Report Period: | January 1, 2011 through March 31, 2011 |
| Filing Period: | April 1, 2011 through April 15, 2011 |

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on April 25, 2012, 258 day(s) late. As such, this committee has been assessed a fine of \$5000.

| | |
|----------------|--|
| Report Type: | 2011 June Quarterly Report of Campaign Contribution and Expenditures |
| Report Period: | April 1, 2011 through June 30, 2011 |
| Filing Period: | July 1, 2011 through July 15, 2011 |

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on April 25, 2012, 195 day(s) late. As such, this committee has been assessed a fine of \$5000.

Report Type: 2011 September Quarterly Report of Campaign Contribution and Expenditures
Report Period: July 1, 2011 through September 30, 2011
Filing Period: October 1, 2011 through October 15, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on April 25, 2012, 132 day(s) late. As such, this committee has been assessed a fine of \$5000.

Report Type: 2011 December Quarterly Report of Campaign Contribution and Expenditures
Report Period: October 1, 2011 through December 31, 2011
Filing Period: January 1, 2012 through January 17, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on April 25, 2012, 69 day(s) late. As such, this committee has been assessed a fine of \$5000.

Report Type: 2012 March Quarterly Report of Campaign Contribution and Expenditures
Report Period: January 1, 2012 through March 31, 2012
Filing Period: April 1, 2012 through April 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on April 25, 2012, 7 day(s) late. As such, this committee has been assessed a fine of \$1400.

The total for all new assessments is \$31,400.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by American Express, MasterCard, or Discover.

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,



Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
County of: COOK)

STATE BOARD OF ELECTIONS

12 JUN 25 AM 8:26

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
IBEW Local 9 PAC Fund)
Respondent(s).)

Case No. 12 MA 074

APPEAL AFFIDAVIT

I, Robert W. Pierson, the Chairman of the
(Name) (Chairman/Treasurer)
IBEW Local 9 PAC Fund
(Name of the Committee)

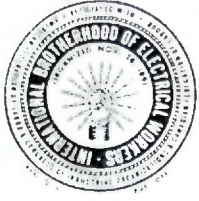
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:


Signature of Chairman/Treasurer

Signed and Sworn to by: Mary Beth Kaczmarek
before me this 21st Day of June, 2012

Notary Public
(seal)

"OFFICIAL SEAL"
Mary Beth Kaczmarek
Notary Public, State of Illinois
My Commission Expires 12-03-2014



LOCAL UNION No. 9

INTERNATIONAL BROTHERHOOD OF ELECTRICAL WORKERS
AFL-CIO

4415 W. HARRISON ST.
SUITE 330
HILLSIDE, IL 60162-1902
(708) 449-9000

FAX (708) 449-9001



STATE BOARD OF ELECTIONS
12 JUN 25 AM 8:26

June 21, 2012

Sharon Steward
Director, Campaign Disclosure Division
State Board of Elections
State of Illinois
P O Box 4187
2329 S. MacArthur Blvd
Springfield, IL 62708-4187

Dear Ms. Steward:

The late filing of these reports was entirely inadvertent as the committee was not aware of the State Board of Elections filing requirements. The filing requirements came to our attention in March, 2012 during the audit of Local 9 Union's financial statements when we met with our new accountant to discuss these filings. Immediately after that meeting we filed the subject returns. The committee did not knowingly or intentionally neglect to file the reports.

The committee has made all federal filings on a timely basis and since the discovery of this omission the committee has complied with all federal and state filings on a timely basis.

At this time we respectfully request the abatement of these penalties.

Sincerely yours,

Robert W. Pierson
Chairman
IBEW Local 9 PAC Fund

RWP/mbk
#9,ibew
afl-cio

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

Vs.

12 AM 006

Friends of Monique Davis 1059
Respondent

REPORT OF HEARING OFFICER


Appeal of Civil Penalty Assessment for Delinquently Filing
A Schedule A-1 in the 1st Quarter of 2012

This committee received five \$1,000 contributions on 1/7/12, 1/21/12, 1/26/12, and two on 1/30/12, plus a \$2,000 contribution on 1/26/12, and reported all of these contributions on a Schedule A-1 received by the Board 2/14/12, between 5-20 days late, resulting in a civil penalty assessment of \$3,500. Additionally, the Committee had previously been assessed four \$500 civil penalties (appealed, reduced, paid) for delinquently filing a Schedule A-1 in the 3rd Quarter of 2011, and two \$1,000 civil penalties (appealed, reduced, paid) for delinquently filing a Schedule A-1 in the 3rd Quarter of 2011. The total assessment is \$3,500.

The Respondent was represented by attorney Michael Kasper at the August 28th appeal hearing.

Michael Kasper indicated that the Committee did not list the deposit date for the receipt date for the IL State Medical Society, IL Black Bus PAC, Illinois Insurance Association, and the Independent Insurance Agents (1/26/12) contributions. Exhibits 1, 2, 3, and 4 show that the contributions were deposited on either 2/13/12 or 2/14/12 and the contributions would therefore have been reported on a Schedule A-1 in a timely manner. The second reported alleged Schedule A-1 violation from the Independent Insurance Agents (1/30/12 contribution) was actually from the Illinois Insurance Political Committee and as per Exhibit 5 the contribution was deposited on 2/13/12. Therefore, a Schedule A-1 was filed for this contribution in a timely manner as well. Mr. Kasper indicated that the Committee inadvertently and unintentionally failed to timely file a Schedule A-1 for the Comed PAC contribution.

In relation to the IL State Medical Society, IL Black Bus PAC, Illinois Insurance Association, and the Independent Insurance Agents (1/26/12) contributions I recommend the appeal be granted in relation to these contributions. The deposit dates on Exhibits 1 – 4 in accordance with the Schedule A-1 filed on 2/14/12 shows that the Committee would have met the 5 business day requirement to report the contribution in a timely manner. In relation to the Independent Insurance Agents (1/30/12 contribution) I also recommend the appeal be granted. The deposit date shown on Exhibit 5 in accordance with the Schedule A-1 filed on 2/14/12 shows that the Committee would have also met the 5 business day requirement to report this contribution as well. However, considering the contribution should have actually been reported as being received from the Illinois Insurance Political Committee I also recommend the Committee to amend their March 2012 Quarterly Report to reflect the actual contributor (Illinois Insurance Political Committee) rather than the Independent Insurance Agents and to reflect the actual receipt date for the IL State Medical Society, IL Black Bus PAC, Illinois Insurance Association, and Illinois Insurance Political Committee contributions within 30 days of the Board's Final Order. Failure to comply with the said Order could subject the Committee to a penalty not to exceed \$5,000. In relation to the Comed PAC contribution I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication that these violations were anything other than inadvertent and unintentional, I also recommend that the penalty be reduced to 50% of the original assessment, or \$500. If this recommendation is accepted by the Board, the amount of \$500 will be due and owing. (As of 6/30/12, this Committee reported a funds available balance of \$66,482.24.)


Andy Nauman – Hearing Officer
Septeml

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Friends for Monique Davis

ID# 1059

Dear Friends for Monique Davis:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

| <u>Contributed By</u> | <u>Date of Contribution</u> | <u>Amount of Contribution</u> | <u>Date A-1 Received</u> | <u>Days Late</u> | <u>Fine Assessed</u> |
|--------------------------------|-----------------------------|-------------------------------|--------------------------|------------------|----------------------|
| Comed PAC | 1/26/2012 | \$2000 | 2/14/2012 | 7 | \$1000 |
| IL State Medical Society | 1/7/2012 | \$1000 | 2/14/2012 | 20 | \$500 |
| IL Black Bus PAC | 1/21/2012 | \$1000 | 2/14/2012 | 11 | \$500 |
| Illinois Insurance Association | 1/30/2012 | \$1000 | 2/14/2012 | 5 | \$500 |
| Independent Insurance Agents | 1/26/2012 | \$1000 | 2/14/2012 | 7 | \$500 |
| Independent Insurance Agents | 1/30/2012 | \$1000 | 2/14/2012 | 5 | \$500 |

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$3500 for delinquently filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$1750, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

| <u>Reporting Period</u> | <u>Report Type</u> | <u>Previous Fine Amount</u> |
|----------------------------------|--------------------|-----------------------------|
| July 1 through September 30 2011 | Schedule A-1 | \$850 |
| TOTAL AMOUNT NOW DUE | | \$2600 |

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,



Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosures: appeal packet

* This contribution was reported on the March Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

State of Illinois)
)
 County of Cook)

STATE BOARD OF ELECTIONS

12 JUN 29 PM 3:50

BEFORE THE STATE BOARD OF ELECTIONS
 OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
 ILLINOIS STATE BOARD OF ELECTIONS,)
)
 Complainant)
)
 Vs.)
)
 Friends for Monique Davis)
 Respondent(s).)

Case No. 12 AM006

APPEAL AFFIDAVIT

I, Michael J. Kasper, the Attorney of the
 (Name) (Chairman/Treasurer)

Friends for Monique Davis

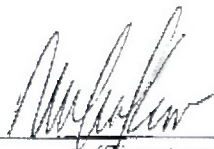
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Signed and Sworn to by:

before me this _____ Day of
 _____, 2012

Notary Public



(Signature of Chairman/Treasurer)

12 AM 1006

12943

ILLINOIS STATE MEDICAL SOCIETY
POLITICAL ACTION COMMITTEE
20 N. MICHIGAN AVENUE, SUITE 700
CHICAGO, ILLINOIS 60602

BANK OF SPRINGFIELD
SPRINGFIELD, ILLINOIS
70-855/711

2/7/2012

Friends for Monique Davis

**1,000.00

DATE

AMOUNT

One Thousand and 00/100

PAY
TO THE
ORDER
OF

Friends for Monique Davis
2147 West 107th Street
Chicago, IL 60643-3143

VOID AFTER 90 DAYS

JE Fardheim
AUTHORIZED SIGNATURE

Transit - 2/24/2012 - ABA: 11105555 - \$1,000.00

BOFD 071001122
04 - Ashland Ave
2012-02-14
122941221

PAY TO THE ORDER OF
AUSTIN BANK
FOR DEPOSIT ONLY
FRIENDS FOR MONIQUE DAVIS

Transit - 2/14/2012 - ABA: 11105555 - \$1,000.00

121

ILLINOIS BLACK BUSINESS POLITICAL
ACTION COMMITTEE

P.O. BOX 542
WAUKEGAN, IL 60079

1029

2 12 710

DATE 1/10/2012

PAY TO THE ORDER OF Friends of Monique D. Davis

\$ 1000.00

One thousand and no/100

DOLLARS



Seaway
Chicago, IL 60610

FOR

Campaign Contribution

Arthur J. Lass

Transit - 2/13/2012 - ABA: 71001216 - \$1,000.00

BOFD 071001122
04 - Ashland Ave
2012-02-13
122013618

ORDER OF
BANK
BY ONLY
ENDORSE DATE
BY

PAY TO ORDER OF
A BANK
FOR U
BY ONLY
ENDORSE DATE
BY

2012-02-13

voidance on back of check
VOID BROOKLYN ADVANCE GROUPS NEW CO

results
0 600 200 616

Transit - 2/13/2012 - ABA: 71001216 - \$1,000.00

ILLINOIS INSURANCE
POLITICAL COMMITTEE
217 E. MONROE STREET, SUITE 110
SPRINGFIELD, IL 62701

ILLINOIS NATIONAL BANK
SPRINGFIELD, IL 62701
70-933711

2693

1/30/2012

PAY TO THE
ORDER OF Friends for Monique D. Davis

\$ 1,000.00

One Thousand and 00/100

DOLLARS

Friends for Monique D. Davis
2147 W. 107th Street
Chicago, IL 60643

MEMO

[Handwritten Signature]

Transit - 2/13/2012 - ABA: 70105558 - \$1,000.00

01220413624 2012-02-13

BOFD 071001122
04 - Ashland Ave
2012-02-13
122013624

PAY TO THE ORDER OF
AUSTIN BANK
FOR DEPOSIT ONLY
JE DAVIS

Transit - 2/13/2012 - ABA: 70105558 - \$1,000.00

3361

PROFESSIONAL INDEPENDENT INSURANCE
AGENTS POLITICAL ACTION COMMITTEE

4980 WALASH AVE
SPRINGFIELD, IL 62711

WILSON'S NATIONAL BANK
SPRINGFIELD, IL 62701
70-953 711

One Thousand 00

DATE
1/26/2012

AMOUNT
\$1,000.00

PAY TO THE ORDER OF
FRIENDS OF MONIQUE D. DAVIS
2147 WEST 107TH ST
CHICAGO IL 60643

PRAI POLITICAL ACTION COMMITTEE

[Signature]

TRANSA - 2/7/12/2012 - AMT: 71109308 - \$1,000.00

TRANSA - 2/7/12/2012 - AMT: 71109308 - \$1,000.00

BOFD C71001122
04 - Ashland Ave
2012-02-13
122013626

PAY TO THE ORDER OF
FRIENDS OF
MONIQUE D. DAVIS

TRANSA - 2/7/12/2012 - AMT: 71109308 - \$1,000.00

ILLINOIS REPUBLICAN PARTY
 100 N. Dearborn Street, Suite 1000
 Chicago, IL 60610-4000

000488

Two Hundred Dollars And Zero Cents/100ths

Payable to: Friends for Monica Davis
 P.O. Box 204803
 Chicago, IL 60620-0803

Christine D. Grant

HARRIS
 Financial Advisors

Transit - 2/13/2012 - ABA: 71000288 - \$200.00

BOF0 071001122
 04 - Ashland Ave
 2011-02-13
 122013621

Transit - 2/13/2012 - ABA: 71000288 - \$200.00

NEIL L. FLYNN ASSOCIATES, P.C.
 600 North Dearborn Street, Suite 1000
 Chicago, IL 60610-4000

15855

Five Hundred And No/100ths

Payable to: Friends for Monica Davis
 P.O. Box 204803
 Chicago, IL 60620-0803

Neil L. Flynn

Transit - 2/13/2012 - ABA: 81900013 - \$500.00

BOF0 071001122
 04 - Ashland Ave
 2011-02-13
 122013621

Transit - 2/13/2012 - ABA: 81900013 - \$500.00

DENTIL-PAC
 100 N. Dearborn Street, Suite 1000
 Chicago, IL 60610-4000

usbank

1000112

Five Hundred And No/100ths

Payable to: Friends for Monica Davis
 P.O. Box 204803
 Chicago, IL 60620-0803

Karl R.

Transit - 2/13/2012 - ABA: 81900159 - \$500.00

BOF0 071001122
 04 - Ashland Ave
 2011-02-13
 122013621

Transit - 2/13/2012 - ABA: 81900159 - \$500.00

ILLINOIS REPUBLICAN PARTY
 100 N. Dearborn Street, Suite 1000
 Chicago, IL 60610-4000

2883

Five Thousand And No/100ths

Payable to: Friends for Monica Davis
 P.O. Box 204803
 Chicago, IL 60620-0803

[Signature]

Transit - 2/13/2012 - ABA: 71109338 - \$5,000.00

BOF0 071001122
 04 - Ashland Ave
 2011-02-13
 122013621

Transit - 2/13/2012 - ABA: 71109338 - \$5,000.00

EXS

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter of:

SBE

Complainant(s),

Vs.

Case No. 12 AM 0000

Friends of Mung's Dues

Respondent(s)

APPEARANCE

The undersigned enters the appearance of (check one):

☐ COMPLAINANT(S) or ☒ RESPONDENT(S)

(Insert name)

Check one: ☒ Attorney ☐ Pro Se

Name:

MICHAEL KASPER

Address:

222 N. LA SALLE, #300

City/State/ZIP

CHICAGO, IL 60601

Telephone:

312 704 3252

FAX No.:

312 368-4944

EMAIL:

Will you accept service of documents via FAX transmissions?

Check one: ☒ Yes ☐ No

Michael Kasper
Signature

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

12 AM 036

Citizens to Elect Judge Lorna Propes 23627

Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment for Delinquently Filing
A Schedule A-1 for the 1st Quarter of 2012

This committee received one \$4,000 contribution on 1/11/12 and filed it on a Schedule A-1 on 2/11/12; the committee also received an in-kind contribution of \$3,000 on 3/31/12 and filed it on a Schedule A-1 on 4/15/12, resulting in a civil penalty assessment of \$3,500.

The Respondent was represented by Michael Kasper at the August 28th appeal hearing. Judge Lorna Propes was also in attendance.

Mr. Kasper indicated that the \$4,000 contribution from Fred Bartlit, Jr. should have been deemed to have been received on 2/13/2012 the date the bank processed the contribution. Therefore, when the committee filed the Schedule A-1 for this contribution on 2/11/12 they would have actually reported it prior to the date of receipt. The contribution was therefore filed timely and the committee listed the wrong receipt date. The respondents indicated that the wrong receipt date was listed for the \$3,000 in-kind contribution for rent from Jackson Green LLC as well. Judge Lorna Propes was provided the use of empty office space until a tenant could be found to rent the space. In the past the committee had received invoices for this office space after they made several requests for the information. The committee has received 3 invoices and they have reported these contributions on their quarterly reports and on Schedule A-1s. However, the building that the office was in went into receivership and the committee did not receive an invoice covering the months of January, February, and March of 2012. Attempts were made to obtain an invoice for the office space and nothing was received. The committee therefore estimated the value of the rent based off of the prior invoices and listed the contribution receipt date as of the last day of March. This date was used for it was the last day they occupied the office space and that way it also reflected they had office space during that reporting period. Judge Propes did not know if the in-kind contribution was either entered into the IDIS system on or around March 31st and the program did not generate a Schedule A-1 or whether the committee had not entered the contribution for they never received an invoice. Judge Propes thought it was more likely to be that it was not entered for they did not receive the invoice but she could not say for certain. Mr. Kasper indicated that since the committee never received an invoice for the office space the proper date to use would be April 15th. He indicated that the committee would amend their reports to show the contribution was received on April 15th.

In relation to the \$4,000 contribution I recommend the appeal be granted. The printout from the bank shows the contribution was processed on 2/13/12 and the committee filed a Schedule A-1 for this contribution on 2/11/12. Therefore, the contribution would have been reported prior to the deemed receipt date. I also recommend that the Committee amend the March 2012 Quarterly Report to reflect a receipt date of 2/13/12 for the Fred Bartlit contribution within 30 days of the Boards Final Order. Failure to comply with the said Order could subject the Committee to a penalty not to exceed \$5,000. In relation to the in-kind contribution for the rent I recommend the appeal be denied for lack of an adequate defense. The Respondents offered two potential hypothetical defenses

in relation to this contribution. The first is that the committee may have entered the contribution into the system and the program did not trigger a Schedule A-1 to be filed. I find this highly unlikely for the program is specifically designed to notify the data entry person when a contribution of \$1,000 or more is entered into the program. The second is more likely to have happened as per the Respondent and Section 100.120(d) indicates *A contribution of goods or services (in-kind contributions) possession of which is not actually obtained by the recipient committee is deemed received on the date the public official, candidate or political committee received the notification of contribution of goods or services as required under Section 9-6(b) of the Election Code and subsection (a) of this Section. If no notification has been received, the in-kind contribution is deemed received on the date the candidate, chairman or treasurer of the recipient committee, or the public official, obtains knowledge of the in-kind contribution. A contribution of goods actually received by the committee is deemed to be made on the date the goods are transferred to the possession of the recipient. A contribution of services is deemed to be made on the date the services are actually performed.* Therefore, I believe the committee should have reported this in-kind contribution at the very least at the end of the reporting period for they did not receive an invoice or an in-kind notification. The committee has filed a Schedule A-1 for the first three in-kind contributions that they received from Jackson Green LLC. Those in-kind contributions were for office space and they also accounted for the 3 prior months of rent which is similar to the contribution that was assessed. Therefore, the committee has a history of reporting these contributions on the last day of the period for the 3 prior months use and I believe they obviously would have been aware that they still had the office space available to them in that reporting period. Therefore, I believe the receipt date the committee reported on the March 2012 Quarterly Report would have been correct and the proposed date Mr. Kasper has suggested would not be appropriate. Furthermore, I also recommend that the civil penalty be reduced to 10% of the original amount, or \$150 for there is no indication that this violation was anything other than inadvertent and unintentional. If these recommendations are accepted by the Board, the \$150 civil penalty will be stayed as a first violation. (As of 6/30/12, this committee reported a funds available balance of \$10,497.08.)



Andy Nauman – Hearing Officer
August 31, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Citizens to Elect Judge Lorna Propes

ID# 23627

Dear Citizens to Elect Judge Lorna Propes:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

| <u>Contributed By</u> | <u>Date of Contribution</u> | <u>Amount of Contribution</u> | <u>Date A-1 Received</u> | <u>Days Late</u> | <u>Fine Assessed</u> |
|-----------------------|-----------------------------|-------------------------------|--------------------------|------------------|----------------------|
| Fred H Bartlit Jr | 1/11/2012 | \$4000 | 2/11/2012 | 17 | \$2000 |
| Jackson Green | 3/31/2012 | \$3000 | 4/15/2012 | 6 | \$1500 |

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$3500 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$350, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

State of Illinois)
County of: COOK)

STATE BOARD OF ELECTIONS

12 JUN 26 AM 11:26

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.) Case No. _____)
CITIZENS TO ELECT JUDGE LORNA)
Respondent(s). PROPE)

APPEAL AFFIDAVIT

I, LORNA PROPE, the CANDIDATE of the
(Name) (Chairman/Treasurer)

CITIZENS TO ELECT JUDGE LORNA PROPE
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

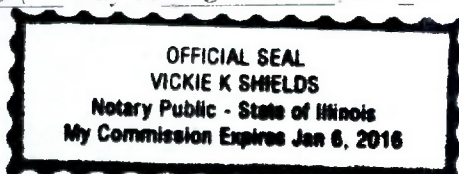
The in kind contribution from JACKSON GREEN ~~was~~ for office space
reflects the wrong date of contribution, which should be
APRIL 15, 2012.

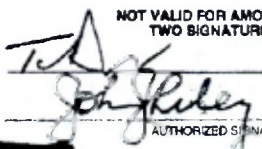
Lorna Prope
Signature of Chairman/Treasurer
Candidate


Signed and Sworn to by: VICKIE K. SHIELDS

before me this 21 Day of June, 2012

Notary Public
(seal)



| | | | |
|---|------------------------|--|----------------------------|
| FRED H. BARTLIT, JR., LLC 1899 WYNKOOP STREET 8TH FL DENVER, CO 80202 | | WELLS FARGO BANK, N.A. www.wellsfargo.com 23-7/1 020 | 4970 |
| | | 2/7/2012 | Details on Back |
| PAY TO THE ORDER OF <u>Lorna Propes for Judge</u> | | \$ **4,000.00 | |
| Four Thousand and 00/100***** | | DOLLARS | |
| Lorna Propes for Judge c/o Steve Arnold, Treasurer 1415 Sherman Evanston, IL 60201 | | NOT VALID FOR AMOUNT OVER 200,000 TWO SIGNATURES REQUIRED | |
| MEMO | political contribution |  AUTHORIZED SIGNATURE | Security Features Included |

| | | | |
|-----------|--|---------------|------------------|
| Account |  | Serial | 0000004970 |
| Amount | 4000.00 | Trans | 000000 |
| Proc Date | 20120213 | DepSeqNum | 0000000000000000 |
| Sequence | 462700986 | Decision Flag | 000000 |
| Routing | 102000076 | Return Reason | 0000 |
| OF6 | 0 | BOFD_ABA | 000000000 |

CREDIT TO THE ACCOUNT OF
 THE VENDOR NAMED PAYER.
 THE BANK WILL NOT CREDITED

Business Checking Deposit



Northern Trust

All items received for deposit are subject to detailed verification
and the terms and conditions governing the account.

Account Title CITIZEN'S to elect
Judge Lorna Propp

Sign Here for Cash Received

Account Number

[REDACTED]

Date February 11, 2012

☒ CASH

AMOUNT FROM OTHER DEPOSITS

2

SUB TOTAL

LESS CASH
RECEIVED

\$

250000
400000

6,50000

BS #10042

| | | | |
|-----------|------------|---------------|------------------|
| Account | [REDACTED] | Serial | 0000000000 |
| Amount | 6500.00 | Trans | 000757 |
| Proc Date | 20120213 | DepSeqNum | 0000000000000000 |
| Sequence | 462700985 | Decision Flag | 000000 |
| Routing | 071000152 | Return Reason | 0000 |
| OF6 | 0 | BOFD_ABA | 0000000000 |

| | |
|-------|--|
| 1 | |
| 2 | |
| 3 | |
| 4 | |
| 5 | |
| 6 | |
| 7 | |
| 8 | |
| 9 | |
| 10 | |
| 11 | |
| 12 | |
| 13 | |
| 14 | |
| 15 | |
| 16 | |
| 17 | |
| TOTAL | |

DOLLARS

1000 TOTAL CHECK LISTING SPACE

| CURRENCY COUNT | | OR FINANCIAL INSTITUTION USE ONLY | |
|----------------|--|-----------------------------------|--|
| 100 | | 100 | |
| 50 | | 50 | |
| 20 | | 20 | |
| 10 | | 10 | |
| 5 | | 5 | |
| 2 | | 2 | |
| 1 | | 1 | |
| TOTAL | | \$ | |

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

12 MA 060

Citizens to Elect Judge Anthony C. Kyriakopoulos 23699

Respondent

REPORT OF HEARING EXAMINER

For Delinquently Filing the December 2011 Quarterly Report
and Failing to File a Schedule A-1

The December 2011 Quarterly Report was received on 3/19/12, 42 days late, resulting in an assessment of \$2,100. This Committee received a \$1,941.70 contribution on 10/28/11 and a \$1,000 contribution on 12/8/11 and reported these contributions to the Board 87 days late and 61 days late respectfully, resulting in assessments of \$970.85 and \$500. The total assessment is \$3,570.85.

The Respondent was represented by James P Nally at the August 1 appeal hearing. Angelo Bert the treasurer of the committee participated via telephone.

Angelo Bert the treasurer of the committee was hospitalized on January 9, 2012 and he was then unable to return to work until January 14, 2012. A statement from his hospital stay (Exhibit 1) and a note from his doctor (Exhibit 2) were submitted as evidence to verify this information. Mr. Bert indicated that he was unable to do much in the way of work for the remainder of the month. However, he began imputing data into the IDIS program and near the end of January his computer crashed. The newly entered data was lost but his IT guys from his previous employment were able to recover a backup file from October of 2011 in mid February of 2012 (Exhibit 3). Mr. Bert indicated on a letter submitted to the hearing officer (Exhibit 4) that he recreated the missing data and completed the December 2011 Quarterly Report from the paper records during off hours when he was not seeking employment. The report was filed on March 19, 2012.

Mr. Nally indicated that the two alleged Schedule A-1 contributions were contributions that were received via PayPal. The committee reported the contributions as of the date the contributions were received by PayPal rather than when the funds were transferred out of their PayPal account and into their bank account. The committee supplied the hearing officer with a transaction log from PayPal (Exhibit 5) to verify this information. The Respondents indicated that they did not know how to remove the funds from PayPal and when they attempted to move the funds to their financial institution they had difficulty. The funds were not removed from PayPal and deposited into the committee's bank account until 3/18/12. The committee filed a Schedule A-1 for these contributions on 3/19/12.

The treasurer was hospitalized on 1/9/12 and was unable to return to work until 1/14/12 and the last day to file this report in a timely matter would have been 1/16/12. It is unfortunate and I feel for Mr. Bert being hospitalized but the committee has a responsibility to file the report and if he was not able to file the report I would have hoped he would have directed someone else to prepare the report. I recommend the appeal be denied for lack of an adequate defense. However, the treasurer's laptop did crash at the end of January after the report would have already been required to have been filed. In order to be consistent with previous Board decisions when an electronic filing issue is used as a defense in regards to a delinquent filing, I recommend that the committee be assessed for being 11 business days late for the laptop did not crash until the end of the month. I am troubled that it took Mr. Bert more than a month to complete the report after he obtained the backup file but he did present an electronic filing defense. Therefore, my recommendation is deny the appeal in relation to the hospitalization and to grant the appeal

from the point in time when the laptop crashed. The assessment for being 11 business days late would be \$550.

In relation to the two contributions that were received via PayPal I recommend that the appeal be denied for lack of an adequate defense. Section 5/9-10(d) indicates *a contribution is considered received on the date a committee receives notice a monetary contribution was deposited by an entity used to process financial transactions by credit card or other entity used for processing a monetary contribution that was deposited in a bank, financial institution, or other repository of funds for the committee.* Mr. Nally indicated that the PayPal account was initially set up by an outside vendor and an email address was not provided to PayPal at the time of set up. The committee was therefore not notified that they had received any funds until sometime in January or February of 2012 around the time the computer crashed.

Therefore, I believe the committee would have had knowledge that they received a \$2,000 (reported amount \$1,941.70) contribution on 10/28/11 and a \$1,030 (reported amount \$1,000) contribution on 12/8/11 sometime in January or February and failed to file a Schedule A-1 within 5 business days or 2 business days depending on when they actually supplied an email address to PayPal. The computer the committee was using crashed around that same period of time however the committee did not file a Schedule A-1 for these contributions until March 19, 2012. The hearing officer believes the committee would have had an opportunity to file the Schedule A-1 prior to when the Schedule A-1 was actually filed for they received the backup file on February 13, 2012 and Schedule A-1 was not filed until more than a month later. Additionally, I believe the committee believed the funds that were available to them in their PayPal account was not considered to be received until they transferred the funds into their bank account and I believe this would not be the case for I believe PayPal would be a repository of funds in these circumstances. As per PayPal's website funds can be spent directly from a PayPal account anywhere PayPal is accepted. Therefore, I believe the funds were available to the committee to use in the PayPal account and they would have received notice that the funds were available to them and they failed to file a Schedule A-1 in a timely manner as the law requires. Furthermore, I also recommend the Board order the Committee to amend its December 2011 and March 2012 Quarterly Reports to reflect the receipt date of the PayPal contributions that were received prior to the date an email address was supplied to PayPal to the date they supplied that email address to PayPal within 30 days of the Final Order. Failure to comply with the said Order could subject the Committee to a penalty not to exceed \$5,000. The committee should be able to obtain this date from PayPal for I believe PayPal should have a record of when an email address was added to the account. Furthermore, it appears the committee has not been reporting the PayPal contributions accurately. The committee should have reported the entire contribution amount that was received from the contributors rather than a rounded amount or the amount they received after PayPal took their cut. The committee will also have to report expenditures to PayPal to account for the percentage that they take as their cut. I also recommend the Board to advise the committee to amend their reports to reflect the actual amount of the contributions and to reflect expenses made to PayPal to account for the percentage of the contribution that must be paid to PayPal for their fee. Furthermore, since there is no indication that these violations were anything other than inadvertent and unintentional, I also recommend that the penalty be adjusted to account for the actual contribution amounts (\$2,000 & \$1,030) that were given by the contributors and then reduced to 10% of the adjusted assessment amount (\$1,000 & \$515), or \$152. If these recommendations are accepted by the Board, the amount of \$702 will be due and owing. (As of 6/31/12, this Committee reported a funds available balance of \$28,258.06.)



Andy Nauman – Hearing Officer

September 25, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Citizens to Elect Judge Anthony C Kyriakopoulos ID# 23699

Dear Citizens to Elect Judge Anthony C Kyriakopoulos:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

| | |
|----------------|--|
| Report Type: | December Quarterly Report of Campaign Contributions and Expenditures |
| Report Period: | October 1, 2011 through December 31, 2011 |
| Filing Period: | January 1, 2012 through January 17, 2012 |

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on March 19, 2012, 42 day(s) late. As such, this committee has been assessed a fine of \$2100.

In addition, this committee failed to timely file the Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

| <u>Contributed By</u> | <u>Date of Contribution</u> | <u>Amount of Contribution</u> | <u>Date A-1 Received</u> | <u>Days Late</u> | <u>Fine Assessed</u> |
|-----------------------|-----------------------------|-------------------------------|--------------------------|------------------|----------------------|
| Constantine Kanellos | 12/8/2011 | \$1000 | 3/19/2012 | 61 | \$500 |
| Christine Skalkotas | 10/28/2011 | \$1941.70 | 3/19/2012 | 87 | \$970.85 |

The committee is fined a **total** of \$1470.85 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$147, (10% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

The total for all assessments in this letter is \$2247.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed April 4. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

State of Illinois)
County of : Cook)

STATE BOARD OF ELECTIONS

12 JUL -5 AM 10:49

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Citizens to Elect Judge)
Anthony C. Kyriakopoulos)
Respondent(s).)

Case No. 12 MA060

APPEAL AFFIDAVIT

I, Angelo Bent, the Treasurer of the
(Name) (Chairman/Treasurer)

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Mitigating Circumstances

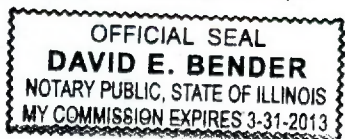
[Signature]

Signature of Chairman/Treasurer

Signed and Sworn to by:
David G. Bender

before me this 2 Day of July, 2012

Notary Public
(seal)



**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

12MQ-CL013

Citizens to Elect Judge Lorna Propes 23627

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for a Violation of Contribution Limits


Received during the 1st Quarter of 2012

This Committee received contributions from Patricia Bobb on 1/16/12 for \$1,000, and on 3/16/12 for \$500, and \$15,000 which exceeds contribution limits during an election cycle and the Committee failed to return the portion of this contribution that exceeded \$5,000 to the contributor or donate the same amount to a charity within 15 days as required by statute, resulting in a required escheatment of \$11,500 and a civil penalty assessment of \$17,250.

The Respondent was represented by Michael Kasper at the August 28th appeal hearing. Judge Lorna Propes was also in attendance.

Mr. Kasper indicated that the State Board of Elections mailed out an assessment notice on 5/31/12 and the committee wrote a check to refund/pay back the \$15,000 loan to Patricia Bobb on 6/19/12. Mr. Kasper indicated that the committee therefore returned the contribution within 30 days of being notified by the State Board of Elections and would be in compliance. A copy of the check (Exhibit 1) and a print out from the bank (Exhibit 2) were submitted as evidence.

This assessment and violation took place prior to Public Act 097-0766 being signed by the governor on 7/6/12. The statute at the time of this violation required that the committee must return the portion of this contribution that exceeded \$5,000 to the contributor or donate the same amount to a charity within 15 days of receipt. The committee did not return the contribution within the 15 days as required by the Act as it was written when the violation took place therefore the committee was assessed. As of 7/6/12 the statute now indicates that a contribution or transfer received in violation that is not disposed of within 30 days after the Board sends notification to the political committee of the excess contribution by certified mail shall be deemed in violation of contribution limits. The committee returned the contribution to the contributor within 30 days of when they received the appeal notice for this violation. Therefore, considering how the statute now reads the committee would be deemed to not have had a contribution limits violation if the violation would have taken place after 7/6/12. Taking into consideration the committee took the necessary action to comply with the current language of the statute I believe the Board could deem the committee as not have violating contribution limits. I recommend the appeal be granted. If the Board accepts this recommendation the escheatment to the General Revenue Fund would not be required. (As of 6/30/12, this committee reported a funds available balance of \$10,497.08.)


Andy Nauman – Hearing Officer
August 31, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
PO Box 4187
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph Street, Ste 14-100
Chicago Illinois 60601-3232
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
May 31, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest C. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Citizens to Elect Judge Lorna Propes
1549 W Jackson Blvd
Chicago, IL 60607

ID# 23627

Dear Committee;

This committee has accepted the following contributions in violation of the contribution limits provided in 10 ILCS 5/9-8.5 during the 1/1/11 - 3/20/12 election cycle as defined in Section 5/9-1.9(1):

| <u>Contributed By</u> | <u>Date of Contribution</u> | <u>Amount of Contribution</u> | <u>Max Contribution Allowed</u> | <u>Amount in Violation of Limits</u> |
|-----------------------|-----------------------------|-------------------------------|---------------------------------|--------------------------------------|
| Patricia Bobb | 1/16/12 | \$1000 | | |
| Patricia Bobb | 3/16/12 | \$500 | | |
| Patricia Bobb | 3/16/12 | \$15000 | \$5000 | \$11500 |
| | TOTAL | \$16500 | \$5000 | \$11500 |

The committee did not return the portion of the contribution exceeding \$5000.00 to the contributor or donate the same amount to charity within 15 days as required by 10 ILCS 5/9-8.5(j) of the Election Code. Thus, an equal amount must escheat to the General Revenue Fund via the State Board of Elections, 2329 S MacArthur Blvd., Springfield IL 62704.

Additionally, based upon this committee's failure to comply with the provision of 10 ILCS 5/9-8.5 of the Election Code, the Board may impose a fine of up to \$17250.00, (150% of the overage amount). However, since this is the first violation of the campaign contribution limits by this committee, the civil penalty will be automatically reduced to \$1725.00, (10% of the amount in violation of the campaign contribution limit), regardless of whether you choose to file an appeal under the Board's procedures. As a first violation, this penalty will be stayed.

The total amount of \$13225.00 (fine amount plus the escheated amount) will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. However, **only** the escheated amount of \$11500.00 must be paid within 30 days of the issuance of the Order.

Enclosed please find Section 10 of ILCS 5/9-8.5(j) of the Act, Section 100.75(d-g) of the Rules and Regulations, and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. Appeal forms may also be found on our website, www.elections.il.gov. Notice of Appeal must be filed within 30 days of the date of this assessment letter for it to be considered.

If you have any questions regarding the appeal procedure, please call Sue Klos at 217/782-0608. If you have questions regarding the Act or the contribution limits themselves, please call the Campaign Disclosure Division at 217/782-4141.

Sincerely,



Sharon Steward
Director, Campaign Disclosure Division

SS: sk

Enclosures: appeal packet

State of Illinois)
County of COOK)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 12 MQ-CL 013

CITIZENS TO ELECT JUDGE LORNA PROPPES
Respondent(s).

STATE BOARD OF ELECTIONS
12 JUN 25 PM 12:02

APPEAL AFFIDAVIT

I, LORNA PROPPES, the Candidate of the
(Name) (Chairman/Treasurer)

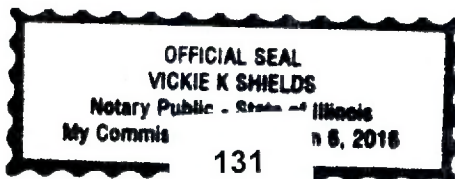
CITIZENS TO ELECT JUDGE LORNA PROPPES
(Name of the Committee)





Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The Committee erroneously believed that a loan was not subject to the same campaign contribution limits as contributions. The \$15,000.00 was to a loan. The money has been returned to Patricia Bobb once we received their notice.

Signed and Sworn to by:
VICKIE K SHIELDS
before me this 21 Day of
June, 2011
Vickie K Shields
Notary Public

Lorna Propp, Candidate
Signature of Chairman/Treasurer



CITIZENS TO ELECT JUDGE LORNA PROPER
1415 Sherrin
Evanston, Ill.
DATE 6-19-2012 ²¹/₁₀ 01
PAY TO THE ORDER OF Patricia C. Bobb \$ 15,000.⁰⁰
Fifteen thousand 00/100
THE NORTHERN TRUST COMPANY DOLLARS  
 Northern Trust
FOR Repayment of loan 

BE HERE
Patricia
for day
Credited To The
The Within Name
Enforcement Guy
BANK OF AMERICA



Northern Trust | PRIVATE PASSPORT

Print | X Close

Report : Activity

Account / Consolidation : CITIZENS TO ELECT JUDGE - [REDACTED]

Date Range : 01/03/12 - 08/27/12

| Date | Account | Type | Description | Amount | Running Balance |
|----------|--------------------------------------|---------------|---|---------------|-----------------|
| 08/24/12 | CITIZENS TO ELECT JUDGE - 3801030806 | Fees | SERVICE CHARGES | (\$20.00) | \$265.50 |
| 08/20/12 | CITIZENS TO ELECT JUDGE - 3801030806 | Check | CHECK 1169 | (\$200.00) | \$285.50 |
| 08/03/12 | CITIZENS TO ELECT JUDGE - 3801030806 | Point of Sale | MERCHANT PURCHASE TERMINAL 443105 PARKING METER ZONE 4 CHICAGO IL | (\$5.00) | \$485.50 |
| 08/03/12 | CITIZENS TO ELECT JUDGE - 3801030806 | EFT | ACH DEBIT FDMS-SETTLEMENT FEE 376243171992 08/02 1140517 CCD | (\$24.90) | \$490.50 |
| 07/24/12 | CITIZENS TO ELECT JUDGE - 3801030806 | Fees | SERVICE CHARGES | (\$20.00) | \$515.40 |
| 07/17/12 | CITIZENS TO ELECT JUDGE - 3801030806 | Check | CHECK | (\$1,000.00) | \$535.40 |
| 07/05/12 | CITIZENS TO ELECT JUDGE - 3801030806 | Check | CHECK | (\$112.50) | \$1,535.40 |
| 07/03/12 | CITIZENS TO ELECT JUDGE - 3801030806 | EFT | ACH DEBIT FDMS-SETTLEMENT FEE 376243171992 07/02 4171571 CCD | (\$24.90) | \$1,647.90 |
| 07/02/12 | CITIZENS TO ELECT JUDGE - 3801030806 | Deposit | DEPOSIT | \$16,000.00 | \$1,672.80 |
| 06/29/12 | CITIZENS TO ELECT JUDGE - 3801030806 | Check | CHECK | (\$15,000.00) | (\$1,327.20) |
| 06/22/12 | CITIZENS TO ELECT JUDGE - 3801030806 | Fees | SERVICE CHARGES | (\$10.00) | \$672.80 |
| 06/05/12 | CITIZENS TO ELECT JUDGE - 3801030806 | EFT | ACH DEBIT FDMS-SETTLEMENT FEE 376243171992 06/02 3042071 CCD | (\$24.90) | \$692.80 |
| 05/24/12 | CITIZENS TO ELECT JUDGE - 3801030806 | Fees | SERVICE CHARGES | (\$20.00) | \$717.70 |
| 05/18/12 | CITIZENS TO ELECT JUDGE - 3801030806 | Deposit | CORPORATE DEPOSIT | \$650.00 | \$737.70 |
| 05/07/12 | CITIZENS TO ELECT JUDGE - 3801030806 | Check | CHECK | (\$80.00) | \$87.70 |
| 05/04/12 | CITIZENS TO ELECT JUDGE - 3801030806 | EFT | FUND TRF#270359 INTERN | \$500.00 | \$587.70 |
| 05/03/12 | CITIZENS TO ELECT JUDGE - 3801030806 | EFT | ACH DEBIT FDMS-SETTLEMENT FEE 376243171992 05/02 8158476 CCD | (\$24.90) | \$87.70 |
| 04/30/12 | CITIZENS TO ELECT JUDGE - 3801030806 | Check | CHECK 1167 | (\$250.00) | \$112.60 |
| 04/25/12 | CITIZENS TO ELECT JUDGE - 3801030806 | Check | CHECK 1166 | (\$250.00) | \$362.60 |
| 04/25/12 | CITIZENS TO ELECT JUDGE - 3801030806 | EFT | FUND TRF#263258 INTERN | \$200.00 | \$612.60 |
| 04/24/12 | CITIZENS TO ELECT JUDGE - 3801030806 | EFT | FUND TRF#248202 INTERN | \$500.00 | \$412.60 |
| 04/24/12 | CITIZENS TO ELECT JUDGE - 3801030806 | Fees | SERVICE CHARGES | (\$20.00) | (\$87.40) |
| 04/23/12 | CITIZENS TO ELECT JUDGE - 3801030806 | Check | CHECK | (\$500.00) | (\$67.40) |
| 04/19/12 | CITIZENS TO ELECT JUDGE - 3801030806 | Check | CHECK 1164 | (\$500.00) | \$32.60 |
| 04/19/12 | CITIZENS TO ELECT JUDGE - 3801030806 | Check | CHECK 1165 | (\$2,500.00) | \$532.60 |

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

12 MQ-CL 016

Neil Anderson for IL 72nd District
Respondent ID# 23973

REPORT OF HEARING OFFICER


Appeal of Civil Penalty Assessment for Violation of Contribution Limits

The Committee received a \$5,500 contribution from Tom Passmore on 11/11/11 and a \$700 contribution from Tom Passmore on 3/15/12. The total contribution was therefore \$6,200, exceeding the \$5,000 contribution limit from an individual and resulting in a required escheatment of \$1,200 and a civil penalty assessment of \$1,800.

Ruth Bloom, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Bloom states that the first contribution was actually an in-kind contribution from a corporation, Office Machine Consultants, Inc., but was reported as coming from the business owner, Tom Passmore.

After contacting the Committee for additional information and evidence, Mr. Passmore provided a signed statement confirming that the original contribution was an in-kind donation of a copier/printer from his business and was not a personal donation from him. As a result, no violation of contribution limits occurred. I recommend the appeal be granted. (As of 6/30/12, this Committee reported a funds available balance of \$48,600.47.)



Tom Newman – Hearing Officer
September 25, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
PO Box 4187
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph Street, Ste 14-100
Chicago Illinois 60601-3232
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
May 31, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest C. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Neil Anderson for IL 72nd District
4343 16th St, PMB 106
Moline, IL 61265

ID# 23973

Dear Committee:

This committee has accepted the following contributions in violation of the contribution limits provided in 10 ILCS 5/9-8.5 during the 1/1/11 - 3/20/12 election cycle as defined in Section 5/9-1.9(1):

| <u>Contributed By</u> | <u>Date of Contribution</u> | <u>Amount of Contribution</u> | <u>Max Contribution Allowed</u> | <u>Amount in Violation of Limits</u> |
|-----------------------|-----------------------------|-------------------------------|---------------------------------|--------------------------------------|
| Tom Passmore | 11/11/11 | \$5500 | | |
| Tom Passmore | 3/15/12 | \$700 | \$5000 | \$1200 |
| | TOTAL | \$6200 | \$5000 | \$1200 |

The committee did not return the portion of the contribution exceeding \$5000.00 to the contributor or donate the same amount to charity within 15 days as required by 10 ILCS 5/9-8.5(j) of the Election Code. Thus, an equal amount must escheat to the General Revenue Fund via the State Board of Elections, 2329 S MacArthur Blvd., Springfield IL 62704.

Additionally, based upon this committee's failure to comply with the provision of 10 ILCS 5/9-8.5 of the Election Code, the Board may impose a fine of up to \$1800.00, (150% of the overage amount). However, since this is the first violation of the campaign contribution limits by this committee, the civil penalty will be automatically reduced to \$180.00, (10% of the amount in violation of the campaign contribution limit), regardless of whether you choose to file an appeal under the Board's procedures. As a first violation, this penalty will be stayed.

The total amount of \$1380.00 (fine amount plus the escheated amount) will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. However, **only** the escheated amount of \$1200.00 must be paid within 30 days of the issuance of the Order.

STATE BOARD OF ELECTIONS

12 JUL - 1 - AM 10:32

State of Illinois)
)
 County of _____)

BEFORE THE STATE BOARD OF ELECTIONS
 OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS.)

Complainant)

Vs. Ruth Bloom, Treasurer for)

Neil Anderson for IL 7th District)
 Respondent(s).)

Case No. 12 MQ-CLO/4

23973

APPEAL AFFIDAVIT

I. Ruth Bloom, the Treasurer of the
 (Name) (Chairman/Treasurer)

Neil Anderson for IL 7th District
 (Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I did not indicate that the \$500 in kind donation was received from
a corporation (Office Machine Consultants Inc.) instead of
from the individual listed as owner of the business
(Tom Pasmore).

Signed and Sworn to by:

Ruth Bloom
 before me this 20 Day of
June, 2011
Valerie Loy
 Notary Public

Ruth Bloom
 Signature of Chairman/Treasurer



September 12, 2012

STATE BOARD OF ELECTIONS

SEP 12 AM 8:42

To whom it may concern:

Please note that the printer/copier I donated to the Neil Anderson for Illinois 72nd District campaign was through my business, Office Machine Consultants, and not a personal donation. This donation was valued at \$ 5,500 and took place on November 10, 2011.

Ruth Bloom, who is Neil's treasurer, notified me that you'd requested clarification of this.

Please call me if you have further questions.

Regards,

A handwritten signature in cursive script that reads "Tom Pasmore". The signature is written in dark ink and is positioned above the printed name.

Tom Pasmore

309-786-5534

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

Vs.

12MQ-CL017

Citizens to Elect Mary Margaret Burke ID# 23978
Respondent

REPORT OF HEARING EXAMINER


Appeal of Civil Penalty Assessment for a Violation of Contribution Limits
Received during the 1st Quarter of 2012

This committee received contributions from Jerome Hannigan on 2/27/12 for \$10,000 and on 3/12/12 for \$2,000 which exceeds contribution limits during an election cycle and failed to return the portion of the contribution that exceeded \$5,000 to the contributor or donate the same amount to a charity within 15 days as required by statute, resulting in a required escheatment of \$7,000 and a civil penalty assessment of \$10,500.

Mary Margaret Burke, the candidate of the Committee, appeared on August 16, 2012 for the appeal hearing.

The candidate, Mary Margaret Burke, indicated that Jerome Hannigan was her spouse. As an immediate family member the \$5,000 limit does not apply. Ms. Burke provided the hearing officer with a copy of her Certificate of Marriage. Ms. Burke and her husband's voter registration cards were also shown to the hearing officer as evidence of their marriage and current address.

I recommend the appeal be granted. A candidate/public official or their immediate family members (spouse, parent or child) can make unlimited contributions to that public official or candidate's political committee. Therefore, Jerome Hannigan being the candidate's husband can give more than \$5,000 during an election cycle. Ms. Burke was aware of the Notification of Self-funding and she indicated that it was her belief that the threshold would not be met to trigger such a filing. If the Board accepts this recommendation the escheatment to the General Revenue Fund would not be required.



Andy Nauman – Hearing Officer
September 10, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
PO Box 4187
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph Street, Ste 14-100
Chicago Illinois 60601-3232
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
May 31, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest C. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Citizens to Elect Mary Margaret Burke
Mary Margaret Burke
10100 S Washtenaw Ave
Chicago, IL 60655

ID# 23978

Dear Committee:

This committee has accepted the following contributions in violation of the contribution limits provided in 10 ILCS 5/9-8.5 during the 1/1/11 - 3/20/12 election cycle as defined in Section 5/9-1.9(1):

| <u>Contributed By</u> | <u>Date of Contribution</u> | <u>Amount of Contribution</u> | <u>Max Contribution Allowed</u> | <u>Amount in Violation of Limits</u> |
|-----------------------|-----------------------------|-------------------------------|---------------------------------|--------------------------------------|
| Jerome Hannigan | 2/27/12 | \$10000 | | |
| Jerome Hannigan | 3/12/12 | \$2000 | \$5000 | \$7000 |
| | TOTAL | \$12000 | \$5000 | \$7000 |

The committee did not return the portion of the contribution exceeding \$5000.00 to the contributor or donate the same amount to charity within 15 days as required by 10 ILCS 5/9-8.5(j) of the Election Code. Thus, an equal amount must escheat to the General Revenue Fund via the State Board of Elections, 2329 S MacArthur Blvd., Springfield IL 62704.

Additionally, based upon this committee's failure to comply with the provision of 10 ILCS 5/9-8.5 of the Election Code, the Board may impose a fine of up to \$10500.00, (150% of the overage amount). However, since this is the first violation of the campaign contribution limits by this committee, the civil penalty will be automatically reduced to \$1050.00, (10% of the amount in violation of the campaign contribution limit), regardless of whether you choose to file an appeal under the Board's procedures. As a first violation, this penalty will be stayed.

The total amount of \$8050.00 (fine amount plus the escheated amount) will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. However, **only** the escheated amount of \$7000.00 must be paid within 30 days of the issuance of the Order.

State of Illinois)
County of _____)

CHICAGO
2012 JUN 26 PM 2:33
STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Vs.

Case No.

12 MQ-CL 017

Citizens to Elect Mary Margaret
Respondent(s). Burke

ID # 23978

APPEAL AFFIDAVIT

I Mrs. Mary M. Burke, the _____ of the
(Name) (Chairman/Treasurer)

Citizens to Elect Mary Margaret Burke
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Pursuant to P. 7 of Guide to Campaign Disclosure
the limit of \$5000 from individuals excludes immediate
family members. Immediate family members is defined
as spouse, parent or child of candidate. In this case
"Jerome Hannigan" is the candidate's spouse, having
been married May 8, 1993, in the city of Chicago.

Signed and Sworn to by:

MARY M. BURKE
before me this 25 Day of
June, 2012
Donna Quarello
Notary Public

Mrs. Mary M. Burke
Signature of Chairman/Treasurer



CERTIFICATION OF MARRIAGE

LICENSE NUMBER: 9309569-0

GROOM'S NAME: JEROME JAMES HANNIGAN
AGE: 42
BRIDE'S NAME: MARY MARGARET BURKE
AGE: 37

ON
DATE OF MARRIAGE: MAY 08, 1993

WERE UNITED IN MARRIAGE IN THE COUNTY OF COOK, AND STATE OF ILLINOIS

IN A
RELIGIOUS CEREMONY
BY

NAME: TIMOTHY ANDRES
OFFICIATE TITLE: PRIEST

AT
PLACE OF MARRIAGE: CHICAGO, ILLINOIS

DATE RECORDED: MAY 13, 1993
APPLICATION DATE: APRIL 17, 1993

This is to certify that this is a true and correct abstract from the official record
filed with the office of the Cook County Clerk.

08/14/2012 11:41

227638:



County of Cook
State of Illinois

COUNTY BUILDING
CHICAGO, ILLINOIS 60602-1304

Office of County Clerk
David Orr

David Orr
DAVID ORR COUNTY CLERK



This copy is not valid unless displaying embossed seals of Cook County and County Clerk signature

STATE BOARD OF ELECTIONS
2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141

To: Rupert T. Borgsmiller, Executive Director, Members of the Board
 Re: Payment of Civil Penalties - Informational
 Date: 10/4/2012

The following committees have made payment of outstanding civil penalties for the period of 8/13/2012 - 10/4/2012

| Cmte ID | Cmte Name | Amt of Check |
|----------------|--|---------------------|
| 19748 | Hispanic Majority PAC | \$950.00 |
| 20282 | Cook County Republican Party | \$625.00 |
| 24215 | Citizens for a Better Evanston to Support D65 Capital Referendum | \$225.00 |
| 16029 | Friends of Montelongo | \$6,600.00 |
| 21022 | Friends of Urbana Parks | \$425.00 |
| 20798 | Re-Elect Phillip a Moss Clinton County Coroner | \$125.00 |
| 321 | Adams County Democratic Central Committee | \$150.00 |
| 14305 | Democratic Organization Of Lyons Township | \$1,250.00 |
| 23687 | Friends of Steve Newton | \$100.00 |
| 21361 | Friends of Emil Jones III | \$150.00 |
| 749 | Boone County Republican Central Cmte | \$100.00 |
| 18404 | Citizens for May | \$198.00 |
| 19738 | Citizens to Elect Jill Tracy | \$250.00 |
| 23114 | Citizens to Elect Richard Wooten | \$750.00 |
| 4250 | Illinois Interior Design Coalition | \$500.00 |
| 20016 | Friends of Michelle A Harris | \$250.00 |
| 19797 | Committee to Elect Jennifer Bertino Tarrant | \$115.00 |
| 8419 | Citizens for Maureen Josh | \$150.00 |
| 17646 | Citizens for Kurt | \$578.79 |
| 15056 | Friends of Barb Brown | \$200.00 |
| 468 | 44th Ward Regular Democratic Org | \$450.00 |
| 19358 | Contractors for Free Enterprise | \$100.00 |
| 19263 | Citizens for Reilly | \$625.00 |
| 342 | Barrington Township Republican Org | \$125.00 |
| 19240 | Citizens for Donald E Puchalski | \$150.00 |
| 22789 | Communications Committee of Mercer County Democrats | \$125.00 |
| 23828 | Committee to Elect Allen P Walker Circuit Court Judge | \$850.00 |
| 16912 | Thornton Twp Regular Democratic Org | \$611.00 |
| 163 | Cook County Democratic Party | \$850.00 |
| 763 | Communication Workers of America Dist 4 PEC | \$1,500.00 |
| 19787 | Friends of Marion | \$2,175.00 |
| 23715 | Committee to Elect Nathaniel R Howse | \$250.00 |
| 15364 | Mexican American PAC | \$500.00 |
| 18968 | State Farm Agents & Associates PAC | \$570.00 |
| 23011 | Citizens to Elect Bellar | \$250.00 |
| 23617 | Friends of Dick Breckenridge | \$75.00 |
| 1212 | Taxpayers for Quinn | \$125.00 |
| 21016 | Republican Renaissance PAC | \$50.00 |
| 14153 | Citizens for Lyle | \$250.00 |
| 303 | Jackson County Democratic Central Cmte | \$700.00 |
| 21208 | Unity Party of Bloom Township | \$1,250.00 |
| 1420 | Indo-American Democratic Org | \$353.00 |
| 1152 | Independent Finance PAC | \$1,117.00 |

| | | |
|-------|--|------------|
| 23867 | Committee to Re-Elect Andre' B Ashmore | \$260.00 |
| 22767 | Friends of Camille Y Lilly | \$500.00 |
| 22683 | Citizens for Carole Cheney | \$150.00 |
| 20139 | Friends of Robert Steele | \$2,175.00 |
| 17651 | Barsanti for Judge | \$325.00 |
| 159 | McLean County Democratic Central Comm | \$100.00 |
| 15668 | Volunteers for Vinnedge | \$100.00 |
| 1029 | DuPage County Democratic Central Committee | \$75.00 |
| 298 | Fulton County Democratic Central Cmte | \$75.00 |
| 22783 | For the Good of Illinois | \$950.00 |
| 18102 | Friends of Terry Marketti | \$287.00 |
| 20579 | Maine Teachers Assn PACE | \$50.00 |

Civil Penalties Paid for this Period: \$31,789.79

Year to Date Totals:

12/30/11 to 2/1/12: \$69,380.71
 2/2/2012 to 3/7/2012: 12,444.50
 3/8/12 to 4/9/12: \$11,077.00
 4/10/12 to 5/8/12: \$52,537.67
 5/18/12 to 6/7/2012: \$5,743
 6/8/12 to 7/2/12: \$48,968.79
 7/3/12 to 8/13/12: 35876.82
 8/13/12 to 9/6/12: \$27,168.01
 9/6/12 to 10/4/12: \$31789.79

Payment Plans:

| | |
|-------|------------------------------|
| 945 | Cook County Democratic Women |
| 14153 | Citizens for Lyle |
| 20809 | Friends for Proco Joe Moreno |
| 21676 | Citizens for Deyon Dean |

State Board of Elections

From the desk of....Jane Gasperin, Director of Election Information

Phone: 217-782-1555

Email: jgasperin@elections.il.gov



To: Rupert Borgsmiller, Executive Director
Re: Election Day Assignments - November 6, 2012
Date: October 3, 2012

SPRINGFIELD OFFICE (5:30 a.m. - Midnight)

| STAFF | HOURS WORKING | BREAK TIMES |
|-----------------------|-------------------------|-------------------------|
| Jane Gasperin | 5:30 a.m. – 7:00 p.m. | 11:00 a.m. – Noon |
| Kay Walker | 5:30 a.m. – 5:30 p.m. | Noon – 1:00 p.m. |
| Kyle Thomas | 6:00 a.m. – 3:00 p.m. | 11:00 a.m. – Noon |
| Steve Sandvoss | 6:00 a.m. – 3:00 p.m. | 11:30 a.m. – 12:30 p.m. |
| Bruce Brown | 6:00 a.m. – 2:30 p.m. | 10:00 a.m. – 11:00 a.m. |
| Gary Nerone | 7:30 a.m. – 7:30 p.m. | 1:30 p.m. – 2:30 p.m. |
| Amy Kelly | 8:00 a.m. – 4:30 p.m. | 1:00 p.m. – 2:00 p.m. |
| Jamye Sims | 8:00 a.m. – 4:30 p.m. | 1:00 p.m. – 2:00 p.m. |
| Dustin Schultz | 8:00 a.m. – 4:30 p.m. | Noon – 1:00 p.m. |
| Amy Evans | 10:00 a.m. – 10:00 p.m. | 3:30 p.m. – 4:30 p.m. |
| Mike Montney | 11:00 a.m. – 7:30 p.m. | 1:30 p.m. – 2:30 p.m. |
| Cheryl Hobson | 11:30 a.m. – 8:00 p.m. | 3:30 p.m. – 4:30 p.m. |
| Brent Davis | 12:00 p.m. – Midnight | 5:00 p.m. – 6:00 p.m. |
| Bernadette Harrington | 3:00 p.m. – Midnight | 6:00 p.m. – 7:00 p.m. |
| Dianne Felts | 3:00 p.m. – Midnight | 6:00 p.m. – 7:00 p.m. |
| Front Desk | 8:00 a.m. – 5:00 p.m. | |

CHICAGO OFFICE (6:00 a.m. - 11:00 p.m.)

| STAFF | HOURS WORKING | BREAK TIMES |
|--------------------|-----------------------|------------------------|
| Jim Tenuto | 6:00 a.m. – 4:00 p.m. | Noon – 1:00 p.m. |
| Marc Petrone | 6:00 a.m. – 2:30 p.m. | 11:00 p.m. – Noon |
| Rose Rodriguez | 6:00 a.m. – 4:00 p.m. | 12:30 p.m. – 1:30 p.m. |
| Ken Menzel | Noon – 11:00 p.m. | 3:00 p.m. – 4:00 p.m. |
| Darcell McAllister | Noon – 8:30 p.m. | 2:30 p.m. – 3:30 p.m. |
| Rick Fulle | Noon – 11:00 p.m. | 4:00 p.m. – 5:00 p.m. |

FIELD PROGRAM

Eric Donnewald (request)
Jeff Berry (request)

East St. Louis
Alexander County

Memorandum

From the desk of....Cristina Cray, Director of Legislation

Phone: 217-782-1577

Email address: ccray@elections.il.gov

To: Executive Director Borgsmiller
Chairman McGuffage
Vice Chairman Smart
Members of the Board
Subject: Military Ballot Update
Date: October 2, 2012

Please be advised that our 45 Day Reports were sent to the Department of Justice on Monday, September 24. All Illinois UOCAVA ballots were sent prior to the federal deadline of Saturday, September 22nd. A total of 11,063 ballots were sent.

Currently, all election jurisdictions are working on their third and final report for the DOJ; the 30 Day Report. This Report will be due into the Department on Wednesday, October 10th.

Once we have satisfactorily completed the 30 Day Report, no other issues are raised and we reach the date of December 31, we will then be out from under the federal consent decree.

The Election Authorities have worked extremely hard (and continue to do so) to ensure that these ballots are transmitted in a prompt and efficient manner and in the voter's requested method of delivery. Many times, the EA must go above and beyond to complete the paperwork and walk the voter through the process.

In addition, Kyle Thomas and his staff have also worked tirelessly to help the election authorities and individual voters with the State MOVE site. (As a reminder, the Illinois State Board of Elections' MOVE Site is an online system where Military and Overseas voters can set up an account, make requests for absentee ballots (to be received by mail, fax, e-mail or through their MOVE account), receive notifications of the status of their requests, and download their ballots. This site has been in place for over two years and was developed in response to the MOVE Act of 2009.)

We will continue to keep you posted on our progress. If you have any questions, please do not hesitate to contact me.

Thank you.

INTEROFFICE MEMORANDUM

To: Jane Gasperin, Director of Election Information
From: Brent Davis
Subject: September Monthly eCanvass Report
Date: 10/3/2012

September updates for the eCanvass:

- Final programming was completed to allow the system to add a city jurisdiction's results to the home county in order for the county to certify the results.
- Through August and September IT staff (Kim and Chris) have continued to make changes and fix bugs as necessary during testing.
- Demonstrations/Training have been provided at all zone meetings, as well as my on-site locations. In addition to this, phone support has been provided to help jurisdiction staff prepare for the submission of the electronic canvass.
- Additional training sessions are scheduled through the month of October.
- At this time I am very confident in the system that we have prepared, and anticipate that any problems we might encounter when it is time to certify the canvass in November will be insignificant in nature. In the event that a jurisdiction is unable to transmit their canvass electronically, or prepare their export file from their tabulation system, we can still enter their data manually. This will only be necessary if we are unable to resolve any issues while providing technical support over the phone.
- We anticipate moving the system off the test server and onto the production server during the week of October 15, 2012. After that is complete it will be accessible through IVRS, using their existing login credentials.

Judges Schools GE 2012

| Date | Jurisdiction | No. of Schools | Attendance | Zone |
|-------------------------------|--------------|----------------|------------|-------|
| Wednesday, August 15, 2012 | McLean | 3 | 67 | Three |
| Thursday, August 16, 2012 | McLean | 3 | 39 | Three |
| Thursday, September 06, 2012 | Woodford | 2 | 226 | Three |
| Wednesday, September 12, 2012 | Christian | 2 | 103 | Three |
| Thursday, September 13, 2012 | Christian | 2 | 50 | Three |
| Monday, September 17, 2012 | Perry | 2 | 89 | One |
| Tuesday, September 18, 2012 | Clay | 3 | 131 | One |
| Wednesday, September 19, 2012 | Edwards | 2 | 45 | One |
| Thursday, September 20, 2012 | Coles | 3 | 189 | Three |
| Thursday, September 20, 2012 | Saline | 3 | 153 | One |
| Friday, September 21, 2012 | Perry | 2 | 67 | One |
| Monday, September 24, 2012 | Pulaski | 2 | 42 | One |
| Tuesday, September 25, 2012 | Boone | 3 | 164 | Four |
| Tuesday, September 25, 2012 | Massac | 2 | 57 | One |
| Wednesday, September 26, 2012 | Calhoun | 2 | 38 | Two |
| Wednesday, September 26, 2012 | Gallatin | 2 | 30 | One |
| Thursday, September 27, 2012 | Alexander | 1 | 46 | One |
| Monday, October 01, 2012 | Clinton | 2 | 124 | One |
| Monday, October 01, 2012 | Wayne | 1 | 82 | One |
| Tuesday, October 02, 2012 | Bureau | 2 | 165 | Four |
| Tuesday, October 02, 2012 | Jackson | 2 | 100 | One |
| Wednesday, October 03, 2012 | Jackson | 2 | 100 | One |
| Wednesday, October 03, 2012 | JoDaviess | 2 | 114 | Four |
| Thursday, October 04, 2012 | Hardin | 1 | 36 | One |
| Thursday, October 04, 2012 | Pope | 1 | 26 | One |
| Tuesday, October 09, 2012 | Jefferson | 3 | 0 | One |

Friday, October 05, 2012

Page 1 of 3

| Date | Jurisdiction | No. of Schools | Attendance | Zone |
|-----------------------------|---------------------|-----------------------|-------------------|-------------|
| Tuesday, October 09, 2012 | Wabash | 2 | 0 | One |
| Tuesday, October 09, 2012 | Whiteside | 2 | 0 | Four |
| Wednesday, October 10, 2012 | Jefferson | 3 | 0 | One |
| Wednesday, October 10, 2012 | Mercer | 2 | 0 | Four |
| Wednesday, October 10, 2012 | Richland | 3 | 0 | One |
| Thursday, October 11, 2012 | Lawrence | 2 | 0 | One |
| Thursday, October 11, 2012 | Menard | 2 | 0 | One |
| Thursday, October 11, 2012 | Washington | 2 | 0 | One |
| Monday, October 15, 2012 | Christian | 1 | 0 | Three |
| Monday, October 15, 2012 | Macoupin | 2 | 0 | Two |
| Monday, October 15, 2012 | Randolph | 2 | 0 | One |
| Tuesday, October 16, 2012 | Greene | 2 | 0 | Two |
| Tuesday, October 16, 2012 | Monroe | 4 | 0 | One |
| Tuesday, October 16, 2012 | Morgan | 3 | 0 | Two |
| Wednesday, October 17, 2012 | Galesburg | 3 | 0 | Three |
| Wednesday, October 17, 2012 | Monroe | 3 | 0 | One |
| Wednesday, October 17, 2012 | Morgan | 1 | 0 | Two |
| Wednesday, October 17, 2012 | Scott | 1 | 0 | Two |
| Thursday, October 18, 2012 | Fayette | 2 | 0 | One |
| Thursday, October 18, 2012 | Marshall | 2 | 0 | Three |
| Thursday, October 18, 2012 | Shelby | 3 | 0 | Three |
| Monday, October 22, 2012 | Cass | 2 | 0 | Two |
| Monday, October 22, 2012 | Cumberland | 2 | 0 | Three |
| Monday, October 22, 2012 | Marion | 3 | 0 | One |
| Tuesday, October 23, 2012 | Effingham | 3 | 0 | One |
| Tuesday, October 23, 2012 | Hamilton | 2 | 0 | One |
| Tuesday, October 23, 2012 | Henderson | 2 | 0 | Two |
| Wednesday, October 24, 2012 | Effingham | 3 | 0 | One |
| Wednesday, October 24, 2012 | Franklin | 2 | 0 | One |

Friday, October 05, 2012

Page 2 of 3

| Date | Jurisdiction | No. of Schools | Attendance Zone | |
|-----------------------------|---------------------|-----------------------|------------------------|-------|
| Wednesday, October 24, 2012 | Pike | 2 | 0 | Two |
| Thursday, October 25, 2012 | Brown | 2 | 0 | Two |
| Thursday, October 25, 2012 | Franklin | 1 | 0 | One |
| Thursday, October 25, 2012 | Moultrie | 2 | 0 | Three |
| Thursday, October 25, 2012 | Union | 2 | 0 | One |
| Saturday, October 27, 2012 | E St Louis | 1 | 0 | One |
| Tuesday, October 30, 2012 | Dewitt | 1 | 0 | Three |
| Tuesday, October 30, 2012 | Putnam | 2 | 0 | Four |
| Tuesday, October 30, 2012 | Williamson | 3 | 0 | One |

Memorandum

From the desk of....Cristina Cray, Director of Legislation

Phone: 217-782-1577

Email address: ccray@elections.il.gov

To: Executive Director Borgsmiller
Chairman McGuffage
Vice Chairman Smart
Subject: Amendment for Public Act 97-847
Date: October 3, 2012

Public Act 97-847 creates the Judicial Privacy Act. It prohibits a person, business, association or government agency from publicly posting or displaying the personal information of a judicial officer, after the officer has made a written request to not post or display the personal information.

We have received our first request to redact the personal home address of a judicial officer from their petitions and our campaign disclosure website. While the bill was being debated over the course of the last few years, the redaction of addresses on petitions was always discussed; redaction of addresses for campaign disclosure was never discussed.

We would like to propose an amendment for the Fall Veto Session to ascertain that this legislation is limited, for purposes of the Election Code, to petition filings only. Attached to this memo are two summaries of some, certainly not all, of the problems this agency would encounter if trying to enforce this Act. The money and manpower that would be needed would far outweigh our staff and budget at this time. In addition, the transparency that campaign disclosure provides to the public now would be greatly hindered. We are also unsure of the domino effect this might encourage.

In addition, should this Act also require us to suppress addresses from a voter registration record that responsibility would need to be passed along to the local election jurisdictions. It would also require many programming changes to the IVRS site to suppress the address from view on Disseminations and the public voter searches.

We very much would like to aggressively promote this amendment and we would very much like the assistance of the Board in doing so.

Thank you.

Attachments

Difficulties posed by PA 097-0847

Applying Public Act 097-0847 to Campaign Disclosure produces a number of problems, including but not limited to the following:

- The only disclosure document to which it will be easy to apply this is the Statement of Organization (Form D-1). This document must be filed on paper, so redacting the personal information is just a matter of blacking out the information on the form before it is scanned and posted to the website (or replacing one already posted with a newly scanned, redacted form. Altering candidate information for a judicial officer on the disclosure website is also not a problem.
- Finding each instance of a judicial officer's personal information appearing within the tens of thousands of disclosure documents filed each year may simply be impossible. We do have the capability to search receipts or expenditures from or to a judicial officer, but only for reports that were filed electronically, and even then the search is only as good as the data available. Political committees are the ones who provide the data that we search, and if a name or address is misspelled or even entered in a slightly different format (such as a street address being listed as N. Main instead of North Main) it may be missed in a search – and we can only redact information from those entries we can find. Additionally, in order to guarantee all instances of personal information are removed from public access, searches would have to be performed in multiple databases – in addition to receipts and expenditures, committee officers, past officers, candidates, past candidates and raffles would be among those databases that would have to be searched (raffle reports, which are only paper-filed would also have to be reviewed.)
- Along those lines, if personal information is included on a report filed on paper, finding it could make looking for a needle in a haystack seem easy. The only way to find information on paper-filed reports is to actually look at those reports, either in scanned form on our website (not searchable), on the actual paper document or on microfiche for older documents. Searching such reports for a candidate's own committee is time-consuming but doable; finding instances where that candidate's personal information is reported by other candidates or committees making contributions to the judicial officer's committee would involve ridiculous amounts of work with no guarantee of success. The problem is magnified when you consider how many years back some searches would have to go – additionally, any searches reviewing data prior to the start of electronic filing in 1999 would all involve paper-filed reports. And remember, the only way to guarantee that a judicial officer's personal information is removed would be to look through every one of those reports every time such a request is filed. Any other documents filed on paper, including written correspondence, would also have to be searched.
- Once the personal information is found, redacting it is relatively easy – but only for paper-filed reports. For electronically-filed reports, there is currently no way to redact specific information from a report. Doing so would involve a major undertaking,

according to our IT department, possibly involving an entirely new system for handling the data, new software, reprogramming, etc.

So to summarize, the difficulty we face is that in most cases, personal information for a judicial officer is either a) possible to find but impossible to remove or b) impossible to find but possible to remove. Realistically, the only possible way to meet the requirements of the public act in regards to disclosure would be to require requests filed by judicial officers under the act to include a listing of all specific instances where personal information already reported to the SBE must be removed (in other words, each receipt or expenditure containing that personal information) and to then require the judicial officer to notify the Board each time an additional instance requiring redaction occurs. Even then, because of the difficulty previously mentioned in changing electronically-filed data, it may still not be feasible to remove the information. Keep in mind also, that the information will almost certainly appear on the website for at least a little while – name and address information for receipts or expenditures totaling more than \$150 is required to be reported under the Campaign Finance Act. There is no possible way to block an address from appearing on the SBE website – it can only be removed (in theory) at some point after it has been filed. So even the best good faith effort we can make could leave the information viewable on the website for hours or days after it has been filed.

One additional item that should be mentioned is that this public act could also affect BEREP (Business Entity Registration for Procurement) registrations handled by the SBE. This registration requires personal information to be listed for affiliated persons, which includes spouses of company owners or executive employees and could therefore include judicial officers. The BEREP registration system is an online electronic system, so finding instances of judicial officers may be relatively easy (but again subject to the quality of data provided by those companies registering) but may also be difficult to remove or redact the personal information without a significant overhaul or reworking of the system.

CDS

- Requires changes to multiple tables, committees, receipts, expenditures, candidates, officers, etc. to allow marking of record for redaction.
- Requires changes to numerous stored procedures to handle table changes.
- Requires changes to CDS application to handle these changes in tables and stored procedures.
- Requires additional coding that would pull together the affected records.
- Requires coding that would suppress the address when creating the itemized data files.
- How do we determine WHICH records should be changed? Do we match on address or every possible variation of the address?? Do we match on name or every possible variation of name?? Input into our database comes from numerous entities in the form of campaign disclosure reporting. There is no consistency surrounding how data is entered and no way to standardize this.
- In the case of contributions and expenditures, how do we determine it is a judge?
- In the case of documents filed on paper, there is NO programmatic way to evaluate the entries.
- Ultimately, we must program our public website and other public facing portals to recognize the records that are marked for redaction and suppress the display of the address.

Voters Guide

- Any judges that are included in the Voters Guide will require the same changes to tables, stored procedures, and the application. As in CDS, we must then program the website to recognize the records that are marked for redaction and suppress the display of the address.

BEREP

- Is it feasible to suppress the addresses in this application? Address is one of the criteria used to match between contributions and businesses. Since there is no way to achieve a perfect match, a list is displayed to the user and the user must then determine if the entries in the two different systems match. How can this be accomplished if some of the addresses are suppressed?

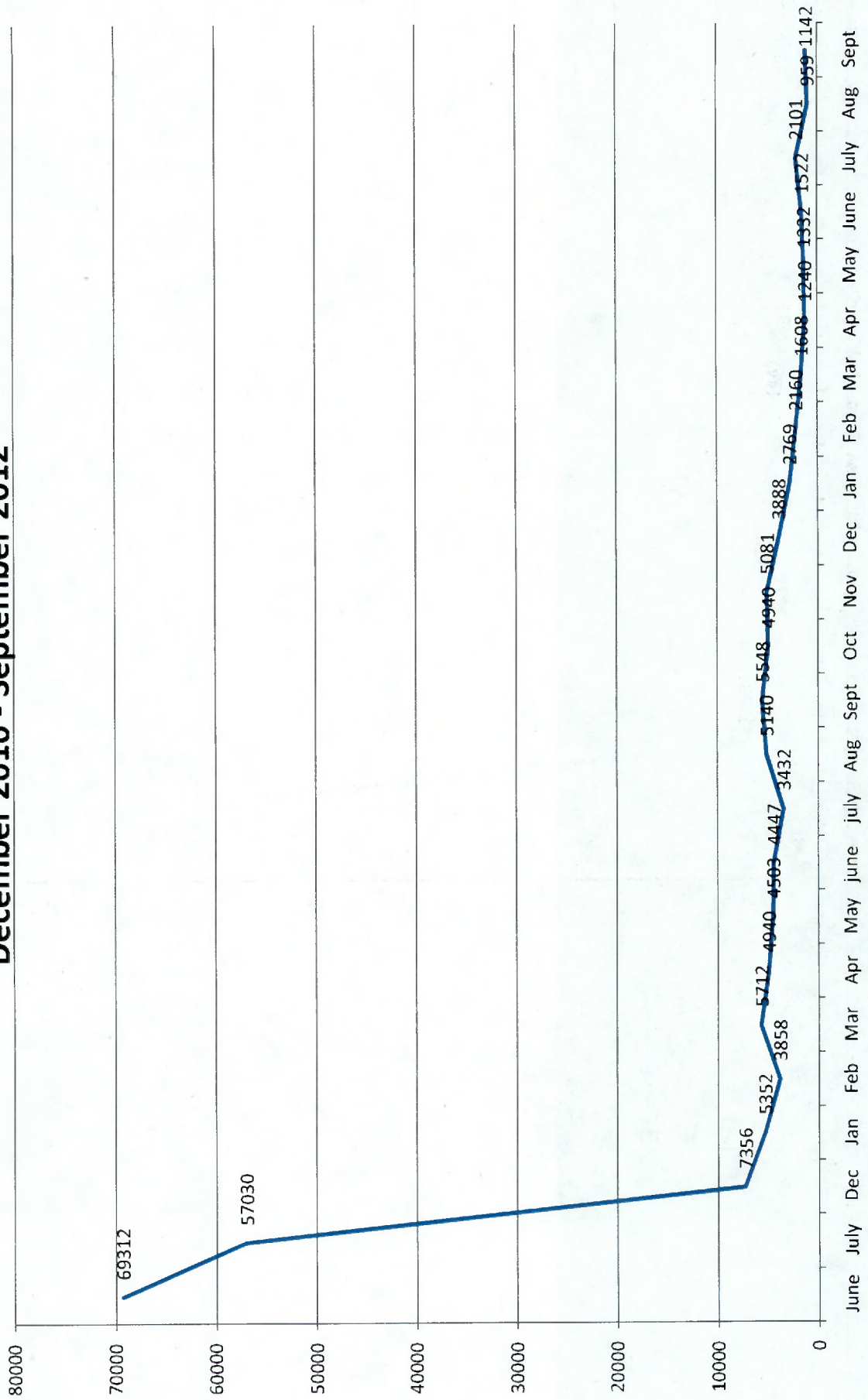
- What if a judge is a spouse of a business owner? Or owns a business himself? Do we suppress his/her address?
- The problems with matching in BEREPP are similar if not identical to the problems with matching in CDS. The contribution records are from numerous entities with no consistency regarding data entry.

| Jurisdiction | Feb | Mar | Apr | May | June | July | Aug | Sept | Oct | Nov | Dec | Jan | Feb | Mar | Apr | May | June | July | Aug | Sept | Oldest Match Date |
|-------------------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-----|-----|-----|-----|-----|------|------|-----|------|-------------------|
| Adams County | 5 | 8 | 7 | 8 | 6 | 4 | 16 | 20 | 19 | 22 | 5 | 1 | 6 | 6 | 5 | 6 | 9 | 1 | 14 | 20 | 8/3/2009 |
| Alexander County | 4 | 7 | 5 | 5 | 6 | 5 | 5 | 5 | 3 | 2 | 1 | 1 | 2 | 2 | 4 | 5 | 3 | 3 | 2 | 4 | 2/6/2009 |
| Bond County | 1 | 2 | 8 | 9 | 8 | 12 | 1 | 4 | 2 | 3 | 4 | 8 | 9 | 8 | 7 | 11 | 13 | 15 | 15 | 19 | 8/3/2009 |
| Boone County | 4 | 5 | 3 | 8 | 6 | 5 | 10 | 11 | 12 | 10 | 11 | 13 | 3 | 5 | 8 | 5 | 6 | 7 | 7 | 6 | 2/6/2009 |
| Brown County | 0 | 0 | 0 | 0 | 1 | 2 | 4 | 3 | 0 | 0 | 0 | 0 | 2 | 2 | 1 | 1 | 1 | 3 | 0 | 1 | 9/6/2012 |
| Bureau County | 0 | 0 | 5 | 0 | 0 | 4 | 2 | 3 | 0 | 1 | 5 | 2 | 2 | 1 | 4 | 2 | 1 | 3 | 1 | 0 | n/a |
| Calhoun County | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | n/a |
| Carroll County | 0 | 5 | 1 | 1 | 1 | 0 | 1 | 2 | 0 | 0 | 0 | 0 | 1 | 2 | 3 | 4 | 3 | 4 | 4 | 3 | 2/29/2012 |
| Cass County | 0 | 3 | 1 | 1 | 0 | 0 | 2 | 0 | 0 | 1 | 0 | 4 | 2 | 2 | 3 | 3 | 2 | 8 | 5 | 4 | 5/11/2012 |
| Champaign County | 10 | 88 | 110 | 16 | 17 | 10 | 195 | 132 | 68 | 74 | 64 | 72 | 78 | 83 | 16 | 26 | 28 | 54 | 26 | 81 | 5/26/2009 |
| Christian County | 3 | 3 | 2 | 2 | 3 | 2 | 1 | 1 | 1 | 3 | 2 | 4 | 5 | 2 | 3 | 4 | 3 | 3 | 2 | 2 | 2/29/2012 |
| Clark County | 5 | 6 | 6 | 5 | 5 | 4 | 4 | 5 | 3 | 3 | 4 | 3 | 4 | 4 | 3 | 1 | 1 | 1 | 1 | 1 | 9/3/2010 |
| Clay County | 52 | 58 | 65 | 62 | 67 | 57 | 46 | 45 | 28 | 27 | 26 | 27 | 39 | 33 | 36 | 39 | 39 | 39 | 37 | 44 | 2/6/2009 |
| Clinton County | 16 | 17 | 16 | 21 | 11 | 3 | 6 | 14 | 7 | 8 | 1 | 3 | 10 | 6 | 9 | 15 | 14 | 13 | 8 | 11 | 1/23/2012 |
| Coles County | 14 | 14 | 5 | 4 | 4 | 2 | 6 | 6 | 7 | 5 | 5 | 2 | 3 | 0 | 2 | 2 | 3 | 6 | 3 | 2 | 4/9/2012 |
| Cook County | 1,393 | 1,988 | 1,773 | 1,679 | 1,717 | 1,270 | 1,943 | 2,085 | 1,874 | 1,879 | 1,391 | 793 | 535 | 329 | 168 | 106 | 191 | 247 | 40 | 51 | 2/6/2009 |
| Crawford County | 18 | 11 | 11 | 11 | 13 | 7 | 21 | 21 | 18 | 20 | 19 | 18 | 7 | 8 | 4 | 5 | 5 | 8 | 4 | 6 | 2/6/2009 |
| Cumberland County | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 1 | 0 | n/a |
| DeKalb County | 19 | 23 | 4 | 4 | 4 | 4 | 12 | 14 | 8 | 12 | 9 | 7 | 24 | 4 | 5 | 2 | 10 | 12 | 10 | 39 | 6/22/2012 |
| DeWitt County | 2 | 2 | 2 | 2 | 6 | 3 | 2 | 5 | 3 | 4 | 6 | 2 | 9 | 2 | 3 | 7 | 4 | 12 | 10 | 2 | 2/6/2009 |
| Douglas County | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 3 | 1 | 1 | 1 | 2 | 0 | 0 | 1 | 0 | 0 | n/a |
| DuPage County | 34 | 51 | 25 | 47 | 29 | 27 | 60 | 27 | 42 | 79 | 35 | 26 | 40 | 46 | 15 | 10 | 15 | 44 | 6 | 47 | 2/6/2009 |
| Edgar County | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 2 | 2 | 2 | 2 | 3 | 3 | 5 | 8 | 1 | 1 | 3/28/2012 |
| Edwards County | 2 | 2 | 4 | 4 | 4 | 5 | 4 | 3 | 2 | 3 | 3 | 2 | 1 | 1 | 1 | 2 | 2 | 2 | 2 | 1 | 2/6/2009 |
| Effingham County | 1 | 0 | 0 | 0 | 1 | 0 | 2 | 1 | 0 | 1 | 1 | 1 | 4 | 3 | 1 | 3 | 2 | 4 | 0 | 1 | 9/6/2012 |
| Fayette County | 10 | 11 | 11 | 9 | 14 | 12 | 17 | 12 | 3 | 2 | 1 | 2 | 3 | 6 | 6 | 3 | 3 | 2 | 1 | 3 | 1/23/2012 |
| Ford County | 1 | 1 | 2 | 1 | 1 | 2 | 0 | 0 | 0 | 0 | 0 | 1 | 2 | 0 | 0 | 2 | 5 | 12 | 4 | 3 | 6/22/2012 |
| Franklin County | 59 | 62 | 60 | 58 | 60 | 61 | 60 | 59 | 43 | 12 | 10 | 0 | 3 | 5 | 4 | 5 | 7 | 14 | 14 | 20 | 2/29/2012 |
| Fulton County | 4 | 7 | 7 | 4 | 4 | 5 | 6 | 6 | 9 | 6 | 8 | 6 | 16 | 17 | 19 | 5 | 4 | 10 | 9 | 10 | 2/6/2009 |
| Gallatin County | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 2 | 0 | 0 | 0 | 1 | 1 | 2 | 0 | 0 | 0 | 0 | 0 | n/a |
| Greene County | 4 | 1 | 1 | 4 | 3 | 7 | 1 | 3 | 2 | 2 | 0 | 0 | 0 | 0 | 2 | 2 | 2 | 2 | 1 | 3 | 4/9/2012 |
| Grundy County | 27 | 52 | 0 | 2 | 3 | 9 | 10 | 4 | 0 | 1 | 1 | 10 | 17 | 8 | 2 | 2 | 3 | 7 | 5 | 8 | 4/27/2012 |
| Hamilton County | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 1 | 0 | 1 | 1 | 1 | 0 | 0 | 1 | 1 | 1 | 2 | 2 | 0 | n/a |
| Hancock County | 1 | 1 | 2 | 2 | 1 | 0 | 2 | 2 | 2 | 5 | 3 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | n/a |
| Hardin County | 0 | 0 | 1 | 2 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 2 | 0 | 3 | 0 | 0 | 0 | n/a |
| Henderson County | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 2 | 1 | 0 | n/a |
| Henry County | 17 | 19 | 17 | 13 | 5 | 6 | 5 | 7 | 5 | 3 | 2 | 0 | 8 | 7 | 8 | 9 | 10 | 15 | 14 | 18 | 6/22/2012 |
| Iroquois County | 5 | 1 | 2 | 1 | 2 | 1 | 5 | 8 | 3 | 1 | 1 | 3 | 1 | 1 | 2 | 1 | 2 | 5 | 2 | 6 | 2/6/2009 |
| Jackson County | 14 | 20 | 22 | 17 | 20 | 15 | 14 | 14 | 12 | 8 | 6 | 5 | 12 | 5 | 5 | 10 | 11 | 5 | 3 | 13 | 8/27/2009 |

| Jurisdiction | Feb | Mar | Apr | May | June | July | Aug | Sept | Oct | Nov | Dec | Jan | Feb | Mar | Apr | May | June | July | Aug | Sept | Oldest Match Date |
|-------------------|-----|-----|-----|-----|------|------|-----|------|-----|-----|-----|-----|-----|-----|-----|-----|------|------|-----|------|-------------------|
| Jasper County | 0 | 0 | 0 | 1 | 2 | 2 | 4 | 4 | 5 | 5 | 6 | 5 | 1 | 1 | 1 | 2 | 1 | 2 | 0 | 1 | 9/6/2012 |
| Jefferson County | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 1 | 4 | 5 | 2 | 4 | 3 | 7 | 1/7/2011 |
| Jersey County | 12 | 15 | 15 | 17 | 17 | 17 | 19 | 20 | 20 | 20 | 22 | 22 | 22 | 22 | 23 | 27 | 26 | 28 | 27 | 29 | 2/6/2009 |
| JoDavies County | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | n/a |
| Johnson County | 1 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | n/a |
| Kane County | 19 | 35 | 17 | 21 | 16 | 5 | 27 | 35 | 34 | 42 | 28 | 33 | 24 | 26 | 17 | 8 | 10 | 52 | 10 | 12 | 3/25/2011 |
| Kankakee County | 5 | 21 | 8 | 12 | 18 | 7 | 90 | 79 | 64 | 21 | 7 | 16 | 10 | 8 | 12 | 17 | 16 | 14 | 12 | 24 | 2/6/2009 |
| Kendall County | 4 | 5 | 0 | 4 | 2 | 5 | 6 | 13 | 7 | 10 | 6 | 15 | 13 | 2 | 1 | 9 | 8 | 21 | 4 | 8 | 5/11/2012 |
| Knox County | 7 | 0 | 1 | 1 | 5 | 9 | 9 | 11 | 14 | 15 | 15 | 18 | 0 | 1 | 2 | 5 | 5 | 8 | 7 | 12 | 3/28/2012 |
| Lake County | 18 | 51 | 23 | 54 | 32 | 16 | 36 | 46 | 41 | 62 | 76 | 80 | 27 | 34 | 19 | 70 | 98 | 165 | 11 | 16 | 2/6/2009 |
| LaSalle County | 6 | 22 | 11 | 16 | 9 | 4 | 14 | 15 | 15 | 13 | 12 | 17 | 8 | 4 | 5 | 16 | 20 | 25 | 22 | 38 | 4/9/2012 |
| Lawrence County | 0 | 1 | 0 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 3 | 5/18/2012 |
| Lee County | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 1 | 0 | 1 | 0 | 0 | 1 | 2 | 1 | 0 | 0 | n/a |
| Livingston County | 1 | 3 | 5 | 4 | 1 | 1 | 3 | 2 | 4 | 2 | 1 | 2 | 2 | 3 | 0 | 4 | 1 | 8 | 5 | 4 | 5/18/2012 |
| Logan County | 5 | 12 | 5 | 5 | 4 | 4 | 4 | 3 | 2 | 6 | 6 | 7 | 1 | 1 | 2 | 4 | 2 | 8 | 3 | 3 | 3/18/2011 |
| Macon County | 4 | 8 | 3 | 3 | 1 | 2 | 45 | 19 | 15 | 18 | 26 | 33 | 51 | 12 | 6 | 23 | 12 | 15 | 12 | 41 | 10/1/2010 |
| Macoupin County | 6 | 8 | 3 | 1 | 0 | 1 | 5 | 7 | 5 | 5 | 3 | 1 | 2 | 2 | 2 | 0 | 0 | 4 | 1 | 5 | 8/7/2012 |
| Madison County | 17 | 34 | 16 | 27 | 20 | 13 | 18 | 30 | 25 | 27 | 15 | 16 | 15 | 19 | 17 | 21 | 23 | 31 | 26 | 28 | 2/6/2009 |
| Marion County | 18 | 29 | 24 | 29 | 22 | 20 | 33 | 23 | 13 | 14 | 8 | 9 | 20 | 15 | 16 | 16 | 14 | 15 | 12 | 14 | 2/6/2009 |
| Marshall County | 2 | 0 | 1 | 2 | 6 | 0 | 2 | 3 | 0 | 5 | 2 | 2 | 0 | 1 | 3 | 2 | 0 | 0 | 0 | 3 | 9/17/2012 |
| Masson County | 3 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 2 | 1 | 2 | 0 | 1 | 1 | 1 | 1 | 7/27/2012 |
| Massac County | 3 | 5 | 3 | 3 | 3 | 3 | 3 | 4 | 3 | 3 | 2 | 4 | 4 | 4 | 1 | 1 | 1 | 2 | 2 | 2 | 2/6/2009 |
| McDonough County | 2 | 5 | 2 | 2 | 2 | 3 | 5 | 4 | 4 | 4 | 4 | 2 | 0 | 0 | 1 | 1 | 0 | 3 | 1 | 0 | n/a |
| McHenry County | 4 | 7 | 34 | 69 | 74 | 4 | 10 | 22 | 18 | 59 | 74 | 30 | 7 | 22 | 35 | 57 | 73 | 30 | 16 | 30 | 10/8/2010 |
| McLean County | 4 | 20 | 21 | 9 | 13 | 5 | 32 | 18 | 10 | 10 | 9 | 10 | 32 | 31 | 32 | 48 | 51 | 72 | 38 | 18 | 2/6/2009 |
| Menard County | 2 | 2 | 1 | 1 | 1 | 1 | 1 | 1 | 3 | 3 | 4 | 0 | 4 | 3 | 4 | 2 | 4 | 3 | 3 | 3 | 2/6/2009 |
| Mercer County | 148 | 149 | 131 | 113 | 12 | 12 | 10 | 12 | 10 | 13 | 11 | 5 | 9 | 9 | 10 | 5 | 4 | 7 | 7 | 10 | 2/6/2009 |
| Monroe County | 2 | 2 | 2 | 6 | 7 | 0 | 2 | 0 | 2 | 0 | 0 | 4 | 4 | 3 | 3 | 4 | 6 | 8 | 5 | 5 | 2/29/2012 |
| Montgomery County | 3 | 9 | 10 | 13 | 7 | 10 | 1 | 0 | 0 | 0 | 0 | 2 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 3/5/2010 |
| Morgan County | 3 | 6 | 3 | 2 | 0 | 9 | 12 | 6 | 5 | 6 | 2 | 0 | 0 | 0 | 1 | 0 | 0 | 3 | 3 | 4 | 7/27/2012 |
| Moultrie County | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 2 | 2 | 0 | 0 | 0 | 1 | 0 | 1 | 9/6/2012 |
| Ogle County | 0 | 1 | 0 | 1 | 1 | 3 | 3 | 5 | 2 | 3 | 2 | 4 | 1 | 1 | 1 | 1 | 0 | 0 | 0 | 2 | 10/8/2012 |
| Peoria County | 2 | 2 | 2 | 4 | 0 | 3 | 5 | 4 | 9 | 12 | 8 | 5 | 6 | 3 | 9 | 9 | 3 | 7 | 8 | 6 | 2/6/2009 |
| Perry County | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 0 | 0 | 1 | 0 | 1 | 9/17/2012 |
| Piatt County | 2 | 1 | 2 | 2 | 2 | 1 | 0 | 1 | 0 | 0 | 0 | 1 | 1 | 1 | 2 | 2 | 3 | 5 | 4 | 4 | 2/29/2012 |
| Pike County | 10 | 9 | 11 | 12 | 13 | 3 | 0 | 5 | 7 | 10 | 2 | 0 | 1 | 1 | 3 | 3 | 3 | 5 | 5 | 8 | 2/29/2012 |
| Pope County | 0 | 1 | 2 | 1 | 1 | 2 | 1 | 1 | 0 | 0 | 0 | 2 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | n/a |
| Pulaski County | 0 | 2 | 2 | 1 | 1 | 2 | 2 | 4 | 3 | 4 | 5 | 2 | 3 | 3 | 3 | 3 | 2 | 3 | 3 | 5 | 2/6/2009 |
| Putnam County | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 1 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | n/a |

| Jurisdiction | Feb | Mar | Apr | May | June | July | Aug | Sept | Oct | Nov | Dec | Jan | Feb | Mar | Apr | May | June | July | Aug | Sept | Oldest Match Date |
|------------------------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-----|-------|-------------------|
| Randolph County | 0 | 3 | 0 | 1 | 3 | 0 | 0 | 2 | 2 | 3 | 3 | 6 | 8 | 7 | 10 | 7 | 6 | 9 | 9 | 10 | 1/23/2012 |
| Richland County | 6 | 5 | 6 | 5 | 6 | 6 | 7 | 7 | 6 | 5 | 5 | 5 | 5 | 6 | 4 | 3 | 2 | 2 | 2 | 2 | 2/6/2009 |
| Rock Island County | 188 | 124 | 182 | 177 | 75 | 70 | 63 | 66 | 62 | 59 | 33 | 32 | 47 | 44 | 50 | 52 | 54 | 53 | 52 | 66 | 2/6/2009 |
| Saline County | 4 | 2 | 1 | 7 | 8 | 8 | 2 | 5 | 3 | 3 | 1 | 0 | 0 | 2 | 6 | 0 | 2 | 0 | 0 | 0 | n/a |
| Sangamon County | 20 | 42 | 16 | 15 | 21 | 14 | 16 | 17 | 21 | 26 | 26 | 23 | 19 | 18 | 12 | 12 | 16 | 14 | 8 | 22 | 2/6/2009 |
| Schuyler County | 0 | 4 | 1 | 2 | 0 | 0 | 0 | 1 | 1 | 1 | 0 | 2 | 3 | 0 | 0 | 1 | 1 | 2 | 2 | 0 | n/a |
| Scott County | 2 | 3 | 3 | 2 | 3 | 8 | 3 | 2 | 6 | 8 | 2 | 0 | 0 | 0 | 0 | 3 | 3 | 4 | 3 | 6 | 5/18/2012 |
| Shelby County | 0 | 0 | 1 | 1 | 1 | 0 | 3 | 1 | 0 | 1 | 0 | 1 | 0 | 3 | 1 | 2 | 3 | 1 | 5 | 1 | 2/29/2012 |
| Stark County | 0 | 0 | 0 | 1 | 2 | 2 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | n/a |
| St. Clair County | 28 | 43 | 29 | 46 | 38 | 16 | 16 | 13 | 16 | 13 | 6 | 17 | 22 | 23 | 26 | 36 | 35 | 47 | 44 | 47 | 9/3/2010 |
| Stephenson County | 2 | 12 | 2 | 3 | 2 | 4 | 7 | 11 | 7 | 7 | 7 | 15 | 16 | 16 | 20 | 24 | 25 | 28 | 28 | 34 | 10/22/2010 |
| Tazewell County | 24 | 16 | 29 | 39 | 29 | 21 | 27 | 21 | 24 | 41 | 52 | 38 | 100 | 58 | 35 | 13 | 15 | 28 | 23 | 21 | 2/6/2009 |
| Union County | 17 | 28 | 29 | 24 | 22 | 20 | 25 | 23 | 23 | 22 | 15 | 8 | 11 | 13 | 12 | 13 | 13 | 14 | 11 | 12 | 2/6/2009 |
| Vermilion County | 3 | 4 | 3 | 4 | 3 | 2 | 3 | 7 | 9 | 8 | 10 | 13 | 5 | 6 | 8 | 5 | 13 | 4 | 2 | 10 | 8/27/2009 |
| Wabash County | 0 | 0 | 0 | 1 | 1 | 3 | 6 | 7 | 2 | 0 | 1 | 0 | 0 | 0 | 1 | 3 | 3 | 5 | 4 | 5 | 4/20/2012 |
| Warren County | 0 | 2 | 1 | 1 | 2 | 1 | 5 | 3 | 3 | 5 | 5 | 6 | 2 | 2 | 3 | 2 | 3 | 6 | 6 | 3 | 2/6/2009 |
| Washington County | 4 | 4 | 4 | 6 | 6 | 6 | 6 | 12 | 4 | 5 | 4 | 3 | 7 | 6 | 7 | 10 | 3 | 4 | 4 | 8 | 1/18/2011 |
| Wayne County | 28 | 31 | 33 | 34 | 37 | 34 | 16 | 15 | 1 | 0 | 0 | 3 | 8 | 8 | 10 | 12 | 11 | 12 | 12 | 16 | 1/23/2012 |
| White County | 2 | 1 | 3 | 3 | 3 | 4 | 5 | 4 | 2 | 1 | 1 | 0 | 2 | 2 | 3 | 5 | 5 | 4 | 4 | 2 | 2/29/2012 |
| Whiteside County | 6 | 9 | 5 | 6 | 2 | 2 | 2 | 3 | 3 | 1 | 1 | 1 | 2 | 2 | 3 | 3 | 4 | 3 | 2 | 4 | 9/3/2010 |
| Will County | 412 | 596 | 586 | 193 | 138 | 50 | 233 | 245 | 58 | 83 | 38 | 99 | 69 | 39 | 36 | 65 | 47 | 90 | 29 | 67 | 2/6/2009 |
| Williamson County | 42 | 45 | 43 | 45 | 46 | 45 | 48 | 49 | 32 | 15 | 15 | 2 | 5 | 6 | 5 | 13 | 15 | 21 | 18 | 19 | 2/6/2009 |
| Winnebago County | 8 | 10 | 7 | 10 | 5 | 4 | 6 | 5 | 13 | 20 | 5 | 18 | 6 | 6 | 14 | 7 | 10 | 9 | 8 | 8 | 2/6/2009 |
| Woodford County | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 2 | 2 | 6 | 4 | 2 | 7 | 7 | 17 | 15 | 9 | 12 | 4 | 4 | 12/29/2011 |
| City of Aurora | 14 | 3 | 14 | 2 | 3 | 4 | 20 | 23 | 28 | 40 | 33 | 43 | 5 | 5 | 5 | 2 | 19 | 22 | 0 | 25 | 9/6/2012 |
| City of Bloomington | 6 | 6 | 4 | 5 | 8 | 4 | 4 | 7 | 6 | 8 | 11 | 5 | 15 | 16 | 15 | 21 | 22 | 30 | 10 | 4 | 2/6/2009 |
| City of Chicago | 985 | 1,714 | 1,346 | 1,383 | 1,626 | 1,349 | 1,703 | 2,020 | 2,017 | 2,028 | 1,557 | 960 | 541 | 383 | 267 | 285 | 348 | 502 | 125 | 234 | 2/6/2009 |
| City of Danville | 2 | 6 | 3 | 8 | 3 | 6 | 4 | 5 | 7 | 9 | 4 | 3 | 2 | 6 | 10 | 3 | 5 | 3 | 3 | 3 | 2/29/2012 |
| City of East St. Louis | 5 | 10 | 8 | 6 | 11 | 4 | 3 | 2 | 3 | 6 | 5 | 7 | 2 | 2 | 2 | 8 | 2 | 1 | 0 | 0 | n/a |
| City of Galesburg | 4 | 3 | 3 | 3 | 3 | 5 | 6 | 7 | 9 | 6 | 8 | 7 | 3 | 2 | 2 | 2 | 2 | 2 | 3 | 8 | 9/3/2010 |
| City of Peoria | 2 | 23 | 35 | 20 | 9 | 14 | 19 | 22 | 30 | 34 | 35 | 40 | 101 | 61 | 27 | 25 | 8 | 22 | 19 | 11 | 2/6/2009 |
| City of Rockford | 4 | 19 | 5 | 10 | 10 | 10 | 12 | 15 | 20 | 24 | 12 | 34 | 17 | 17 | 25 | 9 | 13 | 16 | 11 | 11 | 2/6/2009 |
| | 3,858 | 5,712 | 4,940 | 4,503 | 4,447 | 3,432 | 5,140 | 5,548 | 4,940 | 5,081 | 3,888 | 2,769 | 2,160 | 1,608 | 1,240 | 1,332 | 1,522 | 2,101 | 959 | 1,442 | |

Statewide Duplicate Voter Registrations December 2010 - September 2012



| <u>County</u> | Total Voters Registered as of 10/3/2012 | Census Total Pop. | % Reg. Vs. Total Population | 18 years and over # | % Reg. vs. 18 and Over as of 9/5/2012 | % Reg. vs. 18 and Over as of 10/3/2012 |
|------------------------|---|----------------------|--------------------------------|------------------------|---|--|
| ALEXANDER | 5,239 | 8,238 | 63.60% | 6,353 | 80.10% | 82.46% |
| CLARK | 12,561 | 16,335 | 76.90% | 12,617 | 99.23% | 99.56% |
| CLINTON | 23,562 | 37,762 | 62.40% | 29,180 | 80.62% | 80.75% |
| HARDIN | 3,220 | 4,320 | 74.54% | 3,444 | 92.54% | 93.50% |
| JASPER | 6,755 | 9,698 | 69.65% | 7,494 | 89.66% | 90.14% |
| MASSAC | 10,643 | 15,429 | 68.98% | 11,911 | 88.80% | 89.35% |
| MENARD | 8,675 | 12,705 | 68.28% | 9,704 | 88.98% | 89.40% |
| MERCER | 11,880 | 16,434 | 72.29% | 12,714 | 93.07% | 93.44% |
| OGLE | 34,707 | 53,497 | 64.88% | 40,253 | 85.60% | 86.22% |
| PULASKI | 5,512 | 6,161 | 89.47% | 4,756 | 115.48% | 115.90% |
| RICHLAND | 11,582 | 16,233 | 71.35% | 12,625 | 91.50% | 91.74% |
| ROCK ISLAND | 84,484 | 147,546 | 57.26% | 114,359 | 73.19% | 73.88% |
| STARK | 4,097 | 5,994 | 68.35% | 4,583 | 89.00% | 89.40% |
| UNION | 11,855 | 17,808 | 66.57% | 13,980 | 84.25% | 84.80% |
| City of East St. Louis | 19,715 | 27,006 | 73.00% | 19,098 | 101.93% | 103.23% |

STATE BOARD OF ELECTIONS

STATE OF ILLINOIS



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

2329 S. MacArthur Blvd
Springfield, Illinois 62704-4503
217/782-4141 TTY: 217/782-1518
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph St, Ste 14-100
Chicago, Illinois 60601-3232
312/814-6440 TTY: 312/814-6431
Fax: 312/814-6485

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

MEMORANDUM

TO: Chairman McGuffage, Vice Chairman Smart, Members of the Board
Executive Director Rupert T. Borgsmiller

From: Steve Sandvoss, General Counsel

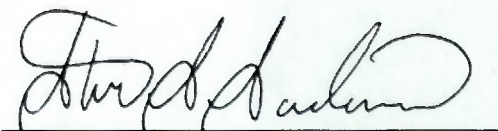
Re: Proposed Addition to SBE Policy Manual

Date: October 4, 2012

On the following page is a proposed addition to the State Board of Elections Policy Manual pertaining to comments from the general public. The Open Meetings Act provides that members of the public may speak at meetings of a public body (such as the SBE) as provided by rule. Currently, the SBE Policy Manual does not contain provisions regulating the manner in which persons may address the Board. The following proposal is intended to allow such persons an opportunity to convey their thoughts to the Board in a way that facilitates efficient, productive and orderly communication.

I would ask that the Board approve these suggested additions to the Policy Manual to be used at future meetings.

Respectfully Submitted,


Steven S. Sandvoss, General Counsel

10.05.4 All Board meetings are open to the public and are conducted in accordance with the provisions of the Illinois Open Meetings Act whether convened in person, or by telephonic or videoconferencing means (effective January 1, 2007). For Purposes of Section 120/7(b) of the Open Meetings Act, the Executive Director is the ex officio Recording Secretary of each meeting and required notice shall be provided to such named person.

10.05.5 Members of the general public may address the Board under the "comments from the general public" portion of a meeting, subject to the following restrictions:

- 1) Such persons shall sign in on the "request to address the Board" sheet available at the beginning of each meeting.
- 2) The comments shall be confined to the subjects or activities which fall within the Board's statutory authority.
- 3) Comments by any individual shall be not exceed 5 minutes in length, and the total time for all public comments at any meeting shall not exceed thirty minutes unless permission for extended comments is granted by the Chairman.

Members of the general public wishing to address the Board in a manner more extensive than permitted under the "Comments from the general public" portion of a meeting may do so subject to the following restrictions:

- 1) Members of the public desiring to speak to the Board must submit a written request to the Executive Director at least 30 days before the scheduled date of the meeting.
- 2) Such written request must specify the topic that the person wishes to speak about, provided that the topic must be germane to an issue on the agenda for the meeting at wish they want to speak or be a subject that is within the jurisdiction of the Board's adjudicatory or regulatory powers as set forth in Section 1A-8 of the Election Code and/or the Rules and Regulations promulgated by the Board.
- 3) Such written request shall include any materials to be submitted to the Board.
- 4) Generally, the Chairman will allow a speaker to speak for a period not to exceed 15 minutes; however such period may be extended at the discretion of the Chairman.
- 4) Only one person may speak to a given topic, unless the Chairman allows additional speakers to speak.
- 5) Repetitive, excessive, abusive, or off topic discourse will not be tolerated and disorderly conduct is cause for being removed from the meeting.

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
General Revenue Fund

| AGENCY TOTALS MONTH ENDING: Sept. 30, 2012 | FY12 APPROPRIATION | YEAR TO DATE EXPENDITURE | OBLIGATED MONEY | BALANCE | % OF EXPENDITURE |
|---|------------------------|-----------------------------|--------------------|-----------------------|---------------------|
| PERSONAL SERVICE | \$4,280,100.00 | \$3,768,816.34 | \$0.00 | \$511,283.66 | 88.05% |
| STATE PAID RETIREMENT | \$171,300.00 | \$147,795.13 | \$0.00 | \$23,504.87 | 86.28% |
| RETIREMENT (inc. supplemental) | \$0.00 | \$0.00 | \$0.00 | \$0.00 | 0.00% |
| SOCIAL SECURITY | \$327,600.00 | \$279,325.55 | \$0.00 | \$48,274.45 | 85.26% |
| CONTRACTUAL SERVICE | \$1,301,700.00 | \$837,799.69 | \$15,211.24 | \$448,689.07 | 64.36% |
| TRAVEL | \$130,000.00 | \$67,654.35 | \$0.00 | \$62,345.65 | 52.04% |
| PRINTING | \$50,800.00 | \$23,985.37 | \$0.00 | \$26,814.63 | 47.22% |
| COMMODITIES | \$39,500.00 | \$37,639.68 | \$0.00 | \$1,860.32 | 95.29% |
| EQUIPMENT | \$158,100.00 | \$93,284.62 | \$159.60 | \$64,655.78 | 59.00% |
| TELECOMMUNICATIONS | \$143,800.00 | \$120,396.07 | \$0.00 | \$23,403.93 | 83.72% |
| OPERATION OF AUTO EQUIPMENT | \$4,900.00 | \$3,434.24 | \$0.00 | \$1,465.76 | 70.09% |
| HAVA MAINTENANCE OF EFFORT | \$550,000.00 | \$462,655.56 | \$2,171.51 | \$85,172.93 | 84.12% |
| ELECTION CODE BOOKS | \$17,200.00 | \$17,110.00 | \$0.00 | \$90.00 | 99.48% |
| IVRS LUMP SUM - OPERATIONS | \$328,500.00 | \$307,443.42 | \$0.00 | \$21,056.58 | 93.59% |
| INTEREST PAYMENTS | \$10,200.00 | \$9,922.13 | \$0.00 | \$277.87 | 0.00% |
| SUB-TOTAL (OPERATIONS) | \$7,513,700.00 | \$6,177,262.15 | \$17,542.35 | \$1,318,895.50 | 82.21% |
| CO CLERK & RECORDER STIPENDS | \$806,000.00 | \$799,500.00 | \$0.00 | \$6,500.00 | 99.19% |
| ELECTION JUDGE REIMBURSEMENT | \$1,347,100.00 | \$973,000.00 | \$0.00 | \$374,100.00 | 72.23% |
| ELECTION JUDGES/EARLY VOTING | \$0.00 | \$0.00 | \$0.00 | \$0.00 | 0.00% |
| IVRS LUMP SUM - GRANTS | \$1,251,900.00 | \$1,242,743.82 | \$0.00 | \$9,156.18 | 99.27% |
| REDISTRICTING | \$90,000.00 | \$90,000.00 | \$0.00 | \$0.00 | 100.00% |
| ADDITIONAL STATE MATCH | \$2,700.00 | \$2,690.00 | \$0.00 | \$10.00 | 0.00% |
| VOTING SYSTEMS INTEGRITY CENTER | \$0.00 | \$0.00 | \$0.00 | \$0.00 | 0.00% |
| ELEC. CANVASSING IMPLEMENTATION | \$332,400.00 | \$332,338.90 | \$0.00 | \$61.10 | 99.98% |
| IDIS SYSTEM REPLACEMENT | \$0.00 | \$0.00 | \$0.00 | \$0.00 | 0.00% |
| SUPP. APPROP. - IVRS | \$1,000,000.00 | \$1,000,000.00 | \$0.00 | \$0.00 | 100.00% |
| SUPP. APPROP. - ELECTION DAY JUDGE | \$1,000,000.00 | \$999,990.00 | \$0.00 | \$10.00 | 100.00% |
| SUB-TOTAL (GRANTS) | \$5,830,100.00 | \$5,440,262.72 | \$0.00 | \$389,837.28 | 93.31% |
| TOTAL APPROPRIATION | \$13,343,800.00 | \$11,617,524.87 | \$17,542.35 | \$1,708,732.78 | 87.06% |

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
General Revenue Fund

| BOARD MONTH ENDING: Sept. 30, 2012 | FY12 APPROPRIATION | YEAR TO DATE EXPENDITURE | BALANCE | % OF EXPENDITURE |
|---|-------------------------------------|---|----------------|-----------------------------------|
| CONTRACTUAL SERVICE | | | | |
| 1205 Freight Express & Drayage | \$2,400.00 | \$797.94 | \$1,602.06 | 33.25% |
| 1221 Repair/Maint. Furn./Office Equipment | | | | |
| 1232 Rental Motor Vehicles | \$1,000.00 | \$1,000.00 | \$0.00 | 100.00% |
| 1239 Rental, NEC | \$100.00 | \$98.34 | \$1.66 | 98.34% |
| 1243 Book Binding Services | | | | |
| 1266 Court Reporting & Filing Services | \$17,100.00 | \$17,003.25 | \$96.75 | 99.43% |
| 1274 Reg. Fees & Conf. Expenses (Vendor) | \$1,000.00 | \$0.00 | \$1,000.00 | 0.00% |
| 1275 Subscriptions | | | | |
| 1276 Reg. Fees & Conf. Expenses (Employee) | \$100.00 | \$0.00 | \$100.00 | 0.00% |
| 1277 Association Dues | \$100.00 | \$0.00 | \$100.00 | 0.00% |
| 1279 Employee Tuition & Fees | | | | |
| 1280 Copying, Photographic & Printing Services | \$400.00 | \$321.75 | \$78.25 | 80.44% |
| 1286 Travel, Non-State Employee | | | | |
| 1289 Contractual Services, NEC | \$500.00 | \$441.05 | \$58.95 | 88.21% |
| TRAVEL | \$19,100.00 | \$19,069.41 | \$30.59 | 99.84% |
| EQUIPMENT | | | | |
| 1510 Office Furniture & Equipment | \$500.00 | \$0.00 | \$500.00 | 0.00% |
| LUMP SUMS & OTHER PURPOSES | | | | |
| 1991 Interest Payments | \$100.00 | \$78.29 | \$21.71 | 78.29% |

| BOARD GRAND TOTAL | FY12 APPROPRIATION | YEAR TO DATE EXPENDITURE | OBLIGATED MONEY | BALANCE |
|----------------------------|-------------------------------------|---|----------------------------------|-------------------|
| CONTRACTUAL SERVICE | \$22,700.00 | \$19,662.33 | | \$3,037.67 |
| TRAVEL | \$19,100.00 | \$19,069.41 | | \$30.59 |
| EQUIPMENT | \$500.00 | \$0.00 | | \$500.00 |
| INTEREST PAYMENTS | \$100.00 | \$78.29 | | \$21.71 |
| TOTAL | \$42,400.00 | \$38,810.03 | \$0.00 | \$3,589.97 |

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
General Revenue Fund

| ADMINISTRATION MONTH ENDING: Sept. 30, 2012 | FY12 APPROPRIATION | YEAR TO DATE EXPENDITURE | BALANCE | % OF EXPENDITURE |
|--|-----------------------|-----------------------------|--------------------|-----------------------------|
| PERSONAL SERVICE | \$749,900.00 | \$656,545.70 | \$93,354.30 | 87.55% |
| 1129 State Paid Retirement | \$30,000.00 | \$25,361.27 | \$4,638.73 | 84.54% |
| 1161 Retirement | \$0.00 | \$0.00 | \$0.00 | 0.00% |
| 1161-008 Compt. Supplemental Retirement | \$0.00 | \$0.00 | \$0.00 | 0.00% |
| 1170 Social Security | \$57,400.00 | \$48,764.23 | \$8,635.77 | 84.96% |
| CONTRACTUAL SERVICE | | | | |
| 1201 Petty Cash | \$500.00 | \$71.82 | \$428.18 | 14.36% |
| 1205 Freight Express & Drayage | \$700.00 | \$63.32 | \$636.68 | 9.05% |
| 1221 Repair/Maint. Furn./Office Equipment | \$10,100.00 | \$8,981.63 | \$1,118.37 | 88.93% |
| 1223 Repair/Maint. Real Property | \$5,400.00 | \$5,362.59 | \$37.41 | 99.31% |
| 1229 Repair & Maintenance NEC | \$100.00 | \$45.95 | \$54.05 | 45.95% |
| 1230 In-House Repair & Maintenance | | | | |
| 1231 Rental, Office Equipment | \$31,200.00 | \$2,960.38 | \$28,239.62 | 9.49% |
| 1232 Rental, Motor Vehicles | \$4,600.00 | \$1,000.00 | \$3,600.00 | 21.74% |
| 1233 Rental, Real Property | \$298,500.00 | \$298,453.39 | \$46.61 | 99.98% |
| 1234 Rental, Machinery & Mechanical Eqmt | | | | |
| 1239 Rental, NEC | \$1,000.00 | \$410.00 | \$590.00 | 41.00% |
| 1240 Statistical & Tabulation Services | \$12,800.00 | \$12,168.65 | \$631.35 | 95.07% |
| 1245 Professional & Artistic | | | | |
| 1248 Building & Grounds Maintenance | \$9,500.00 | \$4,654.95 | \$4,845.05 | 49.00% |
| 1251 Gas | \$10,400.00 | \$1,144.49 | \$9,255.51 | 11.00% |
| 1252 Electricity | \$64,400.00 | \$30,736.29 | \$33,663.71 | 47.73% |
| 1253 Water | \$1,400.00 | \$694.52 | \$705.48 | 49.61% |
| 1255 Utilities, NEC | \$900.00 | \$475.72 | \$424.28 | 52.86% |
| 1261 Postage | \$75,000.00 | \$74,462.32 | \$537.68 | 99.28% |
| 1266 Court Reporting | | | | |
| 1274 Reg Fees & Conf. Expenses (Vendor) | \$800.00 | \$530.00 | \$270.00 | 66.25% |
| 1275 Subscription & Information Services | \$2,600.00 | \$2,448.89 | \$151.11 | 94.19% |
| 1276 Reg. Fees & Conf. Expenses (Employee) | \$500.00 | \$0.00 | \$500.00 | 0.00% |
| 1277 Association Dues | \$2,000.00 | \$1,990.00 | \$10.00 | 99.50% |
| 1279 Tuition - Employee | | | | |
| 1280 Copying, Photographic & Printer Services | \$100.00 | \$10.72 | \$89.28 | 10.72% |
| 1281 Interviewee Expense - To Vendors | | | | |
| 1285 Taxes, Licenses & Fees | \$100.00 | \$0.00 | \$100.00 | 0.00% |
| 1289 Contractual Services, NEC | \$49,500.00 | \$49,409.94 | \$90.06 | 99.82% |
| TRAVEL | | | | |
| 1291 In-State | \$17,700.00 | \$5,113.33 | \$12,586.67 | 28.89% |
| 1292 Out-of-State | \$1,300.00 | \$1,213.58 | \$86.42 | 93.35% |
| PRINTING | \$9,200.00 | \$3,464.03 | \$5,735.97 | 37.65% |
| COMMODITIES | | | | |
| 1304 Office/Library Supplies | \$13,300.00 | \$13,287.71 | \$12.29 | 99.91% |
| 1306 Food for Human Consumption | \$100.00 | \$38.55 | \$61.45 | 38.55% |
| 1391 Household & Cleaning Supplies | \$1,600.00 | \$1,334.88 | \$265.12 | 83.43% |
| 1394 Office/Library Equip., Not exc. \$100 | \$1,500.00 | \$1,465.84 | \$34.16 | 97.72% |
| 1398 Equipment, NEC | \$400.00 | \$143.90 | \$256.10 | 35.98% |
| 1399 Commodities, NEC | \$600.00 | \$335.10 | \$264.90 | 55.85% |
| EQUIPMENT | | | | |
| 1510 Office Furniture & Equipment | \$3,600.00 | \$3,531.82 | \$68.18 | 98.11% |
| 1599 Equipment NEC | \$0.00 | \$0.00 | \$0.00 | 0.00% |
| TELECOMMUNICATIONS | | | | |
| 1710 Repair/Maintenance Telecom | | | | |
| 1721 Rental, Telephone Serv. & Equip. | \$51,000.00 | \$50,511.79 | \$488.21 | 99.04% |
| 1722 Rental, Data Comm. Serv. & Equip. | \$80,800.00 | \$63,112.08 | \$17,687.92 | 78.11% |
| 1728 Videoconferencing | \$6,800.00 | \$6,772.20 | \$27.80 | 99.59% |
| 1729 Rental, Other Comm. Serv. & Equip. | \$3,700.00 | \$0.00 | \$3,700.00 | 0.00% |
| 1730 Parts & Supplies for Telephone | \$1,500.00 | \$0.00 | \$1,500.00 | 0.00% |
| 1750 Telephone, Data, Radio & Other Equipment | | | | |
| OPERATION OF AUTO EQUIPMENT | | | | |
| 1893 Repair & Maint., Auto. Equipment | \$1,800.00 | \$1,289.22 | \$510.78 | 71.62% |
| 1894 Parts & Fittings, Auto Equipment | \$300.00 | \$274.86 | \$25.14 | 91.62% |
| 1896 Gasoline, Oil & Antifreeze | \$2,800.00 | \$1,870.16 | \$929.84 | 66.79% |
| 1899 Auto. Expenses, NEC | | | | |
| LUMP SUMS & OTHER PURPOSES | | | | |
| 1991 Interest Payments | \$0.00 | \$0.00 | \$0.00 | 0.00% |
| ADMINISTRATION GRAND TOTAL | FY12 APPROPRIATION | YEAR TO DATE EXPENDITURE | OBLIGATED MONEY | % OF BALANCE EXPENDITURE |
| PERSONAL SERVICE | \$749,900.00 | \$656,545.70 | \$93,354.30 | 87.55% |
| STATE PAID RETIREMENT | \$30,000.00 | \$25,361.27 | \$4,638.73 | 84.54% |
| RETIREMENT (incl. supplemental funding) | \$0.00 | \$0.00 | \$0.00 | 0.00% |
| SOCIAL SECURITY | \$57,400.00 | \$48,764.23 | \$8,635.77 | 84.96% |
| CONTRACTUAL SERVICE | \$582,100.00 | \$496,075.57 | \$86,024.43 | 85.22% |
| TRAVEL | \$19,000.00 | \$6,326.91 | \$12,673.09 | 33.30% |
| PRINTING | \$9,200.00 | \$3,464.03 | \$5,735.97 | 37.65% |
| COMMODITIES | \$17,500.00 | \$16,605.98 | \$894.02 | 94.89% |
| EQUIPMENT | \$3,600.00 | \$3,531.82 | \$68.18 | 98.11% |
| TELECOMMUNICATIONS | \$143,800.00 | \$120,396.07 | \$23,403.93 | 83.72% |
| OPERATION OF AUTO EQUIPMENT | \$4,900.00 | \$3,434.24 | \$1,465.76 | 70.09% |
| INTEREST PAYMENTS | \$0.00 | \$0.00 | \$0.00 | 0.00% |
| TOTAL | \$1,617,400.00 | \$1,380,505.82 | \$0.00 | \$236,894.18 85.35% |

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
General Revenue Fund

| ELECTIONS MONTH ENDING: Sept. 30, 2012 | FY12 APPROPRIATION | YEAR TO DATE EXPENDITURE | BALANCE | % OF EXPENDITURE |
|---|-------------------------------------|---|----------------|-----------------------------------|
| PERSONAL SERVICE | \$1,724,600.00 | \$1,467,712.81 | \$256,887.19 | 85.10% |
| 1129 State Paid Retirement | \$69,000.00 | \$58,002.55 | \$10,997.45 | 84.06% |
| 1161 Retirement | \$0.00 | \$0.00 | \$0.00 | 0.00% |
| 1161-008 Compt. Supplemental Retirement | \$0.00 | \$0.00 | \$0.00 | 0.00% |
| 1170 Social Security | \$132,000.00 | \$108,788.07 | \$23,211.93 | 82.42% |
| CONTRACTUAL SERVICE | | | | |
| 1145 Contractual Payroll | | | | |
| 1202 Contractual reimbursement To Employee | | | | |
| 1205 Freight Express & Drayage | \$3,600.00 | \$68.29 | \$3,531.71 | 1.90% |
| 1221 Repair/Maint. Furn./Office Equipment | \$200.00 | \$200.00 | \$0.00 | 100.00% |
| 1231 Rental, Office Equipment | | | | |
| 1232 Rental, Motor Vehicles | | | | |
| 1237 Rental, Film & Audio/Visual Aids | \$100.00 | \$0.00 | \$100.00 | 0.00% |
| 1239 Rental, NEC | \$4,100.00 | \$550.00 | \$3,550.00 | 13.41% |
| 1242 Auditing & Management Services | | | | |
| 1245 Professional & Artistic Services, NEC | | | | |
| 1266 Court Reporting & Filing Services | \$300.00 | \$290.00 | \$10.00 | 96.67% |
| 1273 Advertising | \$700.00 | \$648.00 | \$52.00 | 92.57% |
| 1274 Reg Fees & Conf. Expenses (Vendor) | \$4,000.00 | \$0.00 | \$4,000.00 | 0.00% |
| 1275 Subscription & Information Services | \$1,900.00 | \$0.00 | \$1,900.00 | 0.00% |
| 1276 Reg. Fees & Conf. Expenses (Employee) | \$1,300.00 | \$0.00 | \$1,300.00 | 0.00% |
| 1277 Association Dues | \$2,300.00 | \$944.00 | \$1,356.00 | 41.04% |
| 1279 Employee Tuition & Fees | \$2,900.00 | \$170.00 | \$2,730.00 | 5.86% |
| 1280 Copying, Photographic & Printing Services | \$1,000.00 | \$0.00 | \$1,000.00 | 0.00% |
| 1285 Operating Taxes, Licenses & Fees | | | | |
| 1286 Travel, Non-state Employees | \$300.00 | \$290.44 | \$9.56 | 96.81% |
| 1289 Contractual Services, NEC | \$23,700.00 | \$1,722.82 | \$21,977.18 | 7.27% |
| TRAVEL | \$58,500.00 | \$22,267.08 | \$36,232.92 | 38.06% |
| PRINTING | \$18,900.00 | \$7,580.40 | \$11,319.60 | 40.11% |
| EQUIPMENT | | | | |
| 1510 Office Furniture & Equipment | \$5,000.00 | \$1,482.49 | \$3,517.51 | 29.65% |
| LUMP SUMS & OTHER PURPOSES | | | | |
| 1991 Interest Payments | \$6,500.00 | \$6,493.41 | \$6.59 | 0.00% |
| HAVA - Maintenance of Effort | \$550,000.00 | \$462,655.56 | \$87,344.44 | 84.12% |
| ELECTION CODE BOOKS | \$17,200.00 | \$17,110.00 | \$90.00 | 99.48% |
| Redistricting | \$90,000.00 | \$90,000.00 | \$0.00 | 100.00% |
| Election Judge Reimbursements | \$1,347,100.00 | \$973,000.00 | \$374,100.00 | 72.23% |
| Stipends | \$806,000.00 | \$799,500.00 | \$6,500.00 | 99.19% |
| Early Voting Election Judges | \$0.00 | \$0.00 | \$0.00 | 0.00% |
| State HAVA Match | \$2,700.00 | \$2,690.00 | \$10.00 | 99.63% |
| Voting System Integrity Center | \$0.00 | \$0.00 | \$0.00 | 0.00% |
| Electronic Canvassing Implementation | \$332,400.00 | \$332,338.90 | \$61.10 | 99.98% |
| Electronic Canvassing Interest Payments | \$1,400.00 | \$1,334.81 | \$65.19 | 95.34% |
| IDIS Replacement System | \$0.00 | \$0.00 | \$0.00 | 0.00% |
| Supp. Approp. - Election Day Judge | \$1,000,000.00 | \$999,990.00 | \$10.00 | 100.00% |

| ELECTIONS GRAND TOTAL | FY12 APPROPRIATION | YEAR TO DATE EXPENDITURE | OBLIGATED MONEY | % OF BALANCE | EXPENDITURE |
|--|-------------------------------------|---|----------------------------------|-------------------------------|--------------------|
| PERSONAL SERVICE | \$1,724,600.00 | \$1,467,712.81 | | \$256,887.19 | 85.10% |
| STATE PAID RETIREMENT | \$69,000.00 | \$58,002.55 | | \$10,997.45 | 84.06% |
| RETIREMENT (incl. supplemental funding) | \$0.00 | \$0.00 | | \$0.00 | 0.00% |
| SOCIAL SECURITY | \$132,000.00 | \$108,788.07 | | \$23,211.93 | 82.42% |
| CONTRACTUAL SERVICE | \$46,400.00 | \$4,883.55 | | \$41,516.45 | 10.52% |
| TRAVEL | \$58,500.00 | \$22,267.08 | | \$36,232.92 | 38.06% |
| PRINTING | \$18,900.00 | \$7,580.40 | | \$11,319.60 | 40.11% |
| EQUIPMENT | \$5,000.00 | \$1,482.49 | | \$3,517.51 | 29.65% |
| HAVA - Maintenance of Effort | \$550,000.00 | \$462,655.56 | \$2,171.51 | \$85,172.93 | 84.12% |
| ELECTION CODE BOOKS | \$17,200.00 | \$17,110.00 | | \$90.00 | 99.48% |
| Redistricting | \$90,000.00 | \$90,000.00 | | \$0.00 | 100.00% |
| Election Judge Reimbursements | \$1,347,100.00 | \$973,000.00 | | \$374,100.00 | 72.23% |
| Stipends | \$806,000.00 | \$799,500.00 | | \$6,500.00 | 99.19% |
| Early Voting Election Judges | \$0.00 | \$0.00 | | \$0.00 | 0.00% |
| State HAVA Match | \$2,700.00 | \$2,690.00 | | \$10.00 | 0.00% |
| Voting System Integrity Center | \$0.00 | \$0.00 | | \$0.00 | 0.00% |
| Electronic Canvassing Implementation | \$332,400.00 | \$332,338.90 | | \$61.10 | 99.98% |
| Electronic Canvassing Interest Payments | \$1,400.00 | \$1,334.81 | | \$65.19 | 95.34% |
| IDIS Replacement System | \$0.00 | \$0.00 | | \$0.00 | 0.00% |
| Supp. Approp. - Election Day Judge | \$1,000,000.00 | \$999,990.00 | | \$10.00 | 100.00% |
| INTEREST PAYMENTS | \$6,500.00 | \$6,493.41 | | \$6.59 | 99.90% |
| TOTAL | \$6,207,700.00 | \$5,355,829.63 | \$2,171.51 | \$849,698.86 | 86.28% |

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
General Revenue Fund

| GENERAL COUNSEL MONTH ENDING: Sept. 30, 2012 | FY12 APPROPRIATION | YEAR TO DATE EXPENDITURE | BALANCE | % OF EXPENDITURE |
|---|-------------------------------------|---|----------------|-----------------------------------|
| PERSONAL SERVICE | \$289,700.00 | \$277,661.98 | \$12,038.02 | 95.84% |
| 1129 State Paid Retirement | \$11,600.00 | \$11,114.93 | \$485.07 | 95.82% |
| 1161 Retirement | \$0.00 | \$0.00 | \$0.00 | 0.00% |
| 1170 Social Security | \$22,200.00 | \$20,600.26 | \$1,599.74 | 92.79% |
| CONTRACTUAL SERVICE | | | | |
| 1145 Contractual Payroll | | | | |
| 1202 Reimbursement to Employee | \$0.00 | \$0.00 | \$0.00 | 0.00% |
| 1205 Freight Express & Drayage | \$500.00 | \$0.00 | \$500.00 | 0.00% |
| 1221 Repair/Maint. Furn./Office Equipment | | | | |
| 1244 Legal Fees | \$90,200.00 | \$80,375.57 | \$9,824.43 | 89.11% |
| 1245 Professional & Artistic Services, NEC | \$5,200.00 | \$5,115.00 | \$85.00 | 98.37% |
| 1266 Court Reporting & Filing Services | \$18,900.00 | \$18,821.44 | \$78.56 | 99.58% |
| 1273 Advertising | | | | |
| 1274 Reg Fees & Conf. Expenses (Vendor) | \$1,000.00 | \$0.00 | \$1,000.00 | 0.00% |
| 1275 Subscription & Information Services | \$3,000.00 | \$721.64 | \$2,278.36 | 24.05% |
| 1276 Reg. Fees & Conf. Expenses (Employee) | \$2,000.00 | \$289.00 | \$1,711.00 | 0.00% |
| 1277 Association Dues | \$1,500.00 | \$1,175.00 | \$325.00 | 78.33% |
| 1279 Employee Tuition & Fees | \$500.00 | \$428.00 | \$72.00 | 85.60% |
| 1280 Copying, Photographic & Printing Services | \$700.00 | \$0.00 | \$700.00 | 0.00% |
| 1284 Computer Software | | | | |
| 1289 Contractual Services, NEC | \$5,500.00 | \$5,451.00 | \$49.00 | 99.11% |
| TRAVEL | \$11,200.00 | \$11,132.97 | \$67.03 | 99.40% |
| EQUIPMENT | | | | |
| 1510 Office Furniture & Equipment | \$500.00 | \$0.00 | \$500.00 | 0.00% |
| LUMP SUMS & OTHER PURPOSES | | | | |
| 1991 Interest Payments | \$300.00 | \$214.70 | \$85.30 | 0.00% |

| GENERAL COUNSEL GRAND TOTAL | FY12 APPROPRIATION | YEAR TO DATE EXPENDITURE | OBLIGATED MONEY | BALANCE | % OF EXPENDITURE |
|------------------------------------|-------------------------------------|---|----------------------------------|--------------------|-----------------------------------|
| PERSONAL SERVICE | \$289,700.00 | \$277,661.98 | | \$12,038.02 | 95.84% |
| STATE PAID RETIREMENT | \$11,600.00 | \$11,114.93 | | \$485.07 | 95.82% |
| RETIREMENT | \$0.00 | \$0.00 | | \$0.00 | 0.00% |
| SOCIAL SECURITY | \$22,200.00 | \$20,600.26 | | \$1,599.74 | 92.79% |
| CONTRACTUAL SERVICE | \$129,000.00 | \$112,376.65 | 9,894.43 | \$6,728.92 | 87.11% |
| TRAVEL | \$11,200.00 | \$11,132.97 | | \$67.03 | 99.40% |
| EQUIPMENT | \$500.00 | \$0.00 | | \$500.00 | 0.00% |
| INTEREST PAYMENTS | \$300.00 | \$214.70 | | \$85.30 | 0.00% |
| TOTAL | \$464,500.00 | \$433,101.49 | \$9,894.43 | \$21,504.08 | 93.24% |

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
General Revenue Fund

| CAMPAIGN DISCLOSURE MONTH ENDING: Sept. 30, 2012 | FY12 APPROPRIATION | YEAR TO DATE EXPENDITURE | BALANCE | % OF EXPENDITURE |
|---|-------------------------------|-------------------------------------|----------------|-----------------------------|
| PERSONAL SERVICE | \$797,300.00 | \$682,070.43 | \$115,229.57 | 85.55% |
| 1129 State Paid Retirement | \$31,900.00 | \$27,177.89 | \$4,722.11 | 85.20% |
| 1161 Retirement | \$0.00 | \$0.00 | \$0.00 | 0.00% |
| 1161-0008 Compt. Supplemental Retirement | \$0.00 | \$0.00 | \$0.00 | 0.00% |
| 1170 Social Security | \$61,000.00 | \$50,053.17 | \$10,946.83 | 82.05% |
| CONTRACTUAL SERVICE | | | | |
| 1202 Employee Reimbursement | \$0.00 | \$0.00 | \$0.00 | 0.00% |
| 1205 Freight Express & Drayage | \$100.00 | \$5.83 | \$94.17 | 5.83% |
| 1221 Repair & Maint. Furn & Office Equipment | \$400.00 | \$365.00 | \$35.00 | 91.25% |
| 1225 Repair & Maint. EDP | | | | |
| 1229 Repair & Maint. NEC | | | | |
| 1232 Rental, Motor Vehicles | | | | |
| 1239 Rental, NEC | \$2,900.00 | \$0.00 | \$2,900.00 | 0.00% |
| 1245 Professional & Artistic Services, NEC | \$6,000.00 | \$0.00 | \$6,000.00 | 0.00% |
| 1248 Building & Ground Maintenance | | | | |
| 1266 Court Reporting & Filing Services | | | | |
| 1274 Reg Fees & Conf. Expenses (Vendor) | \$1,100.00 | \$1,060.00 | \$40.00 | 96.36% |
| 1275 Subscription & Information Services | | | | |
| 1276 Reg. Fees & Conf. Expenses (Employee) | | | | |
| 1277 Association Dues | | | | |
| 1279 Employee Tuition and Fees | \$400.00 | \$0.00 | \$400.00 | 0.00% |
| 1285 Operating Taxes, Licenses & Fees | | | | |
| 1286 Travel, Non-State Employee | | | | |
| 1289 Contractual Services, NEC | \$200.00 | \$165.00 | \$35.00 | 82.50% |
| TRAVEL | | | | |
| 1291 In-State | \$8,000.00 | \$1,213.74 | \$6,786.26 | 15.17% |
| 1292 Out-of-State | \$3,300.00 | \$2,814.90 | \$485.10 | 85.30% |
| PRINTING | \$22,000.00 | \$12,918.44 | \$9,081.56 | 58.72% |
| EQUIPMENT | | | | |
| 1510 Office Furniture & Equipment | \$9,200.00 | \$2,848.75 | \$6,351.25 | 30.96% |
| LUMP SUMS & OTHER PURPOSES | | | | |
| 1991 Interest Payments | \$100.00 | \$72.14 | \$27.86 | 0.00% |

| CAMPAIGN DISCLOSURE GRAND TOTAL | FY12 APPROPRIATION | YEAR TO DATE EXPENDITURE | OBLIGATED MONEY | BALANCE | % OF EXPENDITURE |
|--|-------------------------------|-------------------------------------|----------------------------|---------------------|-----------------------------|
| PERSONAL SERVICE | \$797,300.00 | \$682,070.43 | | \$115,229.57 | 85.55% |
| STATE PAID RETIREMENT | \$31,900.00 | \$27,177.89 | | \$4,722.11 | 85.20% |
| RETIREMENT (incl. supplemental funding) | \$0.00 | \$0.00 | | \$0.00 | 0.00% |
| SOCIAL SECURITY | \$61,000.00 | \$50,053.17 | | \$10,946.83 | 82.05% |
| CONTRACTUAL SERVICE | \$11,100.00 | \$1,595.83 | | \$9,504.17 | 14.38% |
| TRAVEL | \$11,300.00 | \$4,028.64 | | \$7,271.36 | 35.65% |
| PRINTING | \$22,000.00 | \$12,918.44 | | \$9,081.56 | 58.72% |
| EQUIPMENT | \$9,200.00 | \$2,848.75 | | \$6,351.25 | 30.96% |
| INTEREST PAYMENTS | \$100.00 | \$72.14 | | \$27.86 | 0.00% |
| TOTAL | \$943,900.00 | \$780,765.29 | \$0.00 | \$163,134.71 | 82.72% |

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
General Revenue Fund

INFORMATION TECHNOLOGY
MONTH ENDING: Sept. 30, 2012

| | <u>FY12</u> <u>APPROPRIATION</u> | <u>YEAR TO DATE</u> <u>EXPENDITURE</u> | <u>BALANCE</u> | <u>% OF</u> <u>EXPENDITURE</u> |
|--|-------------------------------------|---|----------------|-----------------------------------|
| PERSONAL SERVICE | \$718,600.00 | \$684,825.42 | \$33,774.58 | 95.30% |
| 1129 State Paid Retirement | \$28,800.00 | \$26,138.49 | \$2,661.51 | 90.76% |
| 1161 Retirement | \$0.00 | \$0.00 | \$0.00 | 0.00% |
| 1161-0008 Compt. Supplemental Retirement | \$0.00 | \$0.00 | \$0.00 | 0.00% |
| 1170 Social Security | \$55,000.00 | \$51,119.82 | \$3,880.18 | 92.95% |
| CONTRACTUAL SERVICE | | | | |
| 1145 Contractual Payroll | | | | |
| 1205 Freight Express & Drayage | \$100.00 | \$14.25 | \$85.75 | 14.25% |
| 1221 Repair/Maint. Furn./Office Equipment | \$12,800.00 | \$163.88 | \$12,636.12 | 1.28% |
| 1223 Repair/Maint. Real Property | \$5,800.00 | \$5,742.83 | \$57.17 | 99.01% |
| 1225 Repair/Maint. EDP Equipment | \$56,200.00 | \$18,326.20 | \$37,873.80 | 32.61% |
| 1230 In-House Repair of Equipment | | | | |
| 1234 Rental, Machinery and Mech. Equip | | | | |
| 1239 Rental, NEC | \$600.00 | \$564.60 | \$35.40 | 94.10% |
| 1240 Statistical & Tabulation | \$16,000.00 | \$15,983.19 | \$16.81 | 99.89% |
| 1242 Auditing & Management Services | \$0.00 | \$0.00 | \$0.00 | 0.00% |
| 1244 Legal Fees | | | | |
| 1245 Professional & Artistic Services, NEC | \$193,700.00 | \$0.00 | \$193,700.00 | 0.00% |
| 1271 Surety Bond & Ins. Prem. | \$700.00 | \$499.00 | \$201.00 | 71.29% |
| 1272 Travel & Expense Reimbursement (Vendor) | | | | |
| 1274 Reg Fees & Conf. Expenses (Vendor) | \$6,000.00 | \$4,485.00 | \$1,515.00 | 74.75% |
| 1275 Subscription & Information Services | \$2,800.00 | \$0.00 | \$2,800.00 | 0.00% |
| 1276 Reg. Fees & Conf. Expenses (Employee) | | | | |
| 1277 Association Dues | | | | |
| 1279 Employee Tuition and Fees | \$5,000.00 | \$2,085.00 | \$2,915.00 | 41.70% |
| 1284 Computer Software | \$118,100.00 | \$62,778.31 | \$55,321.69 | 53.16% |
| 1285 Operating Taxes, Licenses & Fees | | | | |
| 1286 Travel, Non-State Employee | \$200.00 | \$194.02 | \$5.98 | 97.01% |
| 1289 Contractual Services, NEC | \$92,400.00 | \$92,369.48 | \$30.52 | 99.97% |
| TRAVEL | | | | |
| 1291 In-State | \$5,500.00 | \$4,829.34 | \$670.66 | 87.81% |
| 1292 Out-of-State | \$5,400.00 | \$0.00 | \$5,400.00 | 0.00% |
| PRINTING | \$700.00 | \$22.50 | \$677.50 | 3.21% |
| COMMODITIES | | | | |
| 1304 Office/Library Supplies | \$20,400.00 | \$19,634.17 | \$765.83 | 96.25% |
| 1332 Industrial & Shop Materials | | | | |
| 1394 Office & Library Equipment Under \$100 | \$600.00 | \$568.36 | \$31.64 | 94.73% |
| 1395 Small Tools < \$100 | \$100.00 | \$82.35 | \$17.65 | 82.35% |
| 1398 Equipment, NEC | \$700.00 | \$635.01 | \$64.99 | 90.72% |
| 1399 Commodities, NEC | \$200.00 | \$113.81 | \$86.19 | 56.91% |
| EQUIPMENT | | | | |
| 1510 Office Furniture & Equipment | \$3,700.00 | \$3,694.23 | \$5.77 | 0.00% |
| 1515 EDP Equipment | \$135,600.00 | \$81,727.33 | \$53,872.67 | 60.27% |
| LUMP SUMS & OTHER PURPOSES | | | | |
| 1991 Interest Payments | \$1,800.00 | \$1,728.78 | \$71.22 | 96.04% |

| <u>INFORMATION TECHNOLOGY GRAND TOTAL</u> | <u>FY12</u> <u>APPROPRIATION</u> | <u>YEAR TO DATE</u> <u>EXPENDITURE</u> | <u>OBLIGATED</u> <u>MONEY</u> | <u>BALANCE</u> | <u>% OF</u> <u>EXPENDITURE</u> |
|---|-------------------------------------|---|----------------------------------|---------------------|-----------------------------------|
| PERSONAL SERVICE | \$718,600.00 | \$684,825.42 | | \$33,774.58 | 95.30% |
| STATE PAID RETIREMENT | \$28,800.00 | \$26,138.49 | | \$2,661.51 | 90.76% |
| RETIREMENT | \$0.00 | \$0.00 | | \$0.00 | 0.00% |
| SOCIAL SECURITY | \$55,000.00 | \$51,119.82 | | \$3,880.18 | 92.95% |
| CONTRACTUAL SERVICE | \$510,400.00 | \$203,205.76 | \$5,316.81 | \$301,877.43 | 39.81% |
| TRAVEL | \$10,900.00 | \$4,829.34 | | \$6,070.66 | 44.31% |
| PRINTING | \$700.00 | \$22.50 | | \$677.50 | 3.21% |
| COMMODITIES | \$22,000.00 | \$21,033.70 | | \$966.30 | 95.61% |
| EQUIPMENT | \$139,300.00 | \$85,421.56 | \$159.60 | \$53,718.84 | 61.32% |
| INTEREST PAYMENTS | \$1,800.00 | \$1,728.78 | | \$71.22 | 0.00% |
| TOTAL | \$1,487,500.00 | \$1,078,325.37 | \$5,476.41 | \$403,698.22 | 72.49% |

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
General Revenue Fund

| IVRS LUMP SUM MONTH ENDING: Sept. 30, 2012 | YEAR TO DATE EXPENDITURE |
|---|---|
| PERSONAL SERVICE | \$259,620.50 |
| 1129 State Paid Retirement | \$10,387.05 |
| 1161 Retirement | |
| 1170 Social Security | \$18,790.07 |
| CONTRACTUAL SERVICE | |
| 1205 Freight Express & Drayage | |
| 1221 Repair/Maint. Furn./Office Equipment | |
| 1232 Rental Motor Vehicles | |
| 1239 Rental, NEC | |
| 1242 Auditing & Management Services | |
| 1243 Book Binding Services | |
| 1261 Postage | \$7,474.86 |
| 1266 Court Reporting & Filing Services | |
| 1274 Reg. Fees & Conf. Expenses (Vendor) | |
| 1275 Subscriptions | |
| 1276 Reg. Fees & Conf. Expenses (Employee) | |
| 1277 Association Dues | |
| 1279 Employee Tuition & Fees | |
| 1280 Copying, Photographic & Printing Services | |
| 1284 Computer Software | \$9,091.20 |
| 1286 Travel, Non-State Employee | |
| 1289 Contractual Services, NEC | |
| TRAVEL | \$109.86 |
| PRINTING | \$459.00 |
| COMMODITIES | |
| 1304 Office Supplies | |
| 1398 Equipment Less than \$100 | \$1,510.88 |
| EQUIPMENT | |
| 1510 Office Furniture & Equipment | |
| LUMP SUMS & OTHER PURPOSES | |
| 1991 Interest Payments | |
| LOCAL GRANTS | |
| 4453 Reimbursement to Governmental Units | \$1,242,743.82 |
| 4458 Services, NEC | |
| 4470 Grants to Local Governments | |
| 4479 Payments to Other State Agencies | |
| SUPP. APPROP. - IVRS | \$ 1,000,000.00 |
| LUMP SUM - OPERATIONS APPROP FOR YEAR | \$328,500.00 |
| TOTAL LUMP SUM - OPERATIONS EXPENDITURES | \$307,443.42 |
| REMAINING LUMP SUM APPROPRIATION | \$21,056.58 |
| LUMP SUM - GRANTS APPROP FOR YEAR | \$1,251,900.00 |
| TOTAL LUMP SUM - GRANT EXPENDITURES | \$1,242,743.82 |
| REMAINING LUMP SUM APPROPRIATION | \$9,156.18 |
| LUMP SUM TOTAL APPROPRIATION | \$1,580,400.00 |
| TOTAL LUMP SUM EXPENDITURES | \$1,550,187.24 |
| REMAINING LUMP SUM APPROPRIATION | \$30,212.76 |
| SUPP. APPROP - IVRS | \$1,000,000.00 |
| TOTAL SUPP. APPROP. - IVRS | \$1,000,000.00 |
| REMAINING SUPP. APPROP. - IVRS | \$0.00 |

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
General Revenue Fund

| AGENCY TOTALS MONTH ENDING: Sept. 30, 2012 | FY13 APPROPRIATION | YEAR TO DATE EXPENDITURE | OBLIGATED MONEY | BALANCE | % OF EXPENDITURE |
|---|-------------------------------------|---|----------------------------------|-----------------------|-----------------------------------|
| PERSONAL SERVICE | \$4,196,600.00 | \$928,846.95 | \$0.00 | \$3,267,753.05 | 22.13% |
| STATE PAID RETIREMENT | \$168,100.00 | \$36,634.94 | \$0.00 | \$131,465.06 | 21.79% |
| RETIREMENT (inc. supplemental) | \$0.00 | \$0.00 | \$0.00 | \$0.00 | 0.00% |
| SOCIAL SECURITY | \$321,300.00 | \$68,868.15 | \$0.00 | \$252,431.85 | 21.43% |
| CONTRACTUAL SERVICE | \$1,101,500.00 | \$231,617.51 | \$316,785.00 | \$553,097.49 | 21.03% |
| TRAVEL | \$120,200.00 | \$14,086.68 | \$0.00 | \$106,113.32 | 11.72% |
| PRINTING | \$41,000.00 | \$2,160.16 | \$0.00 | \$38,839.84 | 5.27% |
| COMMODITIES | \$39,600.00 | \$2,018.77 | \$0.00 | \$37,581.23 | 5.10% |
| EQUIPMENT | \$125,700.00 | \$396.66 | \$0.00 | \$125,303.34 | 0.32% |
| TELECOMMUNICATIONS | \$145,500.00 | \$20,700.06 | \$0.00 | \$124,799.94 | 14.23% |
| OPERATION OF AUTO EQUIPMENT | \$4,900.00 | \$376.95 | \$0.00 | \$4,523.05 | 7.69% |
| ELECTION CODE BOOKS | \$15,000.00 | \$0.00 | \$0.00 | \$15,000.00 | 0.00% |
| IVRS LUMP SUM - OPERATIONS | \$461,300.00 | \$80,350.45 | \$0.00 | \$380,949.55 | 17.42% |
| INTEREST PAYMENTS | \$0.00 | \$0.00 | \$0.00 | \$0.00 | 0.00% |
| SUB-TOTAL (OPERATIONS) | \$6,740,700.00 | \$1,386,057.28 | \$316,785.00 | \$5,037,857.72 | 20.56% |
| HAVA MAINTENANCE OF EFFORT | \$550,000.00 | \$25,216.96 | \$0.00 | \$524,783.04 | 4.58% |
| CO CLERK & RECORDER STIPENDS | \$644,800.00 | \$0.00 | \$0.00 | \$644,800.00 | 0.00% |
| ELECTION JUDGE REIMBURSEMENT | \$1,347,100.00 | \$0.00 | \$0.00 | \$1,347,100.00 | 0.00% |
| ELECTION JUDGES/EARLY VOTING | \$0.00 | \$0.00 | \$0.00 | \$0.00 | 0.00% |
| IVRS LUMP SUM - GRANTS | \$1,119,100.00 | \$0.00 | \$0.00 | \$1,119,100.00 | 0.00% |
| REDISTRICTING | \$0.00 | \$0.00 | \$0.00 | \$0.00 | 0.00% |
| ADDITIONAL STATE MATCH | \$0.00 | \$0.00 | \$0.00 | \$0.00 | 0.00% |
| VOTING SYSTEMS INTEGRITY CENTER | \$0.00 | \$0.00 | \$0.00 | \$0.00 | 0.00% |
| ELEC. CANVASSING IMPLEMENTATION | \$300,000.00 | \$1,390.03 | \$0.00 | \$298,609.97 | 0.46% |
| IDIS SYSTEM REPLACEMENT | \$100,000.00 | \$0.00 | \$0.00 | \$100,000.00 | 0.00% |
| SUPP. APPROP. - IVRS | \$0.00 | \$0.00 | \$0.00 | \$0.00 | 0.00% |
| SUPP. APPROP. - ELECTION DAY JUDGE | \$0.00 | \$0.00 | \$0.00 | \$0.00 | 0.00% |
| SUB-TOTAL (GRANTS) | \$4,061,000.00 | \$1,390.03 | \$0.00 | \$4,059,609.97 | 0.03% |
| TOTAL APPROPRIATION | \$10,801,700.00 | \$1,387,447.31 | \$316,785.00 | \$9,097,467.69 | 12.84% |

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
General Revenue Fund

| BOARD MONTH ENDING: Sept. 30, 2012 | FY13 APPROPRIATION | YEAR TO DATE EXPENDITURE | BALANCE | % OF EXPENDITURE |
|---|-------------------------------------|---|----------------|-----------------------------------|
| CONTRACTUAL SERVICE | | | | |
| 1205 Freight Express & Drayage | \$3,000.00 | \$153.65 | \$2,846.35 | 5.12% |
| 1221 Repair/Maint. Furn./Office Equipment | | | | |
| 1232 Rental Motor Vehicles | \$1,000.00 | | \$1,000.00 | 0.00% |
| 1239 Rental, NEC | \$100.00 | \$49.17 | \$50.83 | 49.17% |
| 1243 Book Binding Services | | | | |
| 1266 Court Reporting & Filing Services | \$17,000.00 | \$3,226.40 | \$13,773.60 | 18.98% |
| 1274 Reg. Fees & Conf. Expenses (Vendor) | \$1,000.00 | | \$1,000.00 | 0.00% |
| 1275 Subscriptions | | | | |
| 1276 Reg. Fees & Conf. Expenses (Employee) | \$100.00 | | \$100.00 | 0.00% |
| 1277 Association Dues | | | | |
| 1279 Employee Tuition & Fees | | | | |
| 1280 Copying, Photographic & Printing Services | \$500.00 | | \$500.00 | 0.00% |
| 1286 Travel, Non-State Employee | | | | |
| 1289 Contractual Services, NEC | \$900.00 | | \$900.00 | 0.00% |
| TRAVEL | \$17,000.00 | \$4,795.30 | \$12,204.70 | 28.21% |
| EQUIPMENT | | | | |
| 1510 Office Furniture & Equipment | \$700.00 | | \$700.00 | 0.00% |
| LUMP SUMS & OTHER PURPOSES | | | | |
| 1991 Interest Payments | | | | |

| BOARD GRAND TOTAL | FY13 APPROPRIATION | YEAR TO DATE EXPENDITURE | OBLIGATED MONEY | BALANCE |
|----------------------------|-------------------------------------|---|----------------------------------|--------------------|
| CONTRACTUAL SERVICE | \$23,600.00 | \$3,429.22 | | \$20,170.78 |
| TRAVEL | \$17,000.00 | \$4,795.30 | | \$12,204.70 |
| EQUIPMENT | \$700.00 | \$0.00 | | \$700.00 |
| INTEREST PAYMENTS | \$0.00 | \$0.00 | | \$0.00 |
| TOTAL | \$41,300.00 | \$8,224.52 | \$0.00 | \$33,075.48 |

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
General Revenue Fund

| ADMINISTRATION MONTH ENDING: Sept. 30, 2012 | FY13 APPROPRIATION | YEAR TO DATE EXPENDITURE | BALANCE | % OF EXPENDITURE | |
|--|-----------------------|-----------------------------|--------------------|---------------------|--------|
| PERSONAL SERVICE | \$742,400.00 | \$137,444.57 | \$604,955.43 | 18.51% | |
| 1129 State Paid Retirement | \$29,700.00 | \$5,507.60 | \$24,192.40 | 18.54% | |
| 1161 Retirement | \$0.00 | | \$0.00 | 0.00% | |
| 1161-008 Compt. Supplemental Retirement | \$0.00 | | \$0.00 | 0.00% | |
| 1170 Social Security | \$56,800.00 | \$10,158.65 | \$46,641.35 | 17.88% | |
| CONTRACTUAL SERVICE | | | | | |
| 1201 Petty Cash | \$500.00 | | \$500.00 | 0.00% | |
| 1205 Freight Express & Drayage | \$700.00 | | \$700.00 | 0.00% | |
| 1221 Repair/Maint. Fum./Office Equipment | \$13,000.00 | \$1,273.38 | \$11,726.62 | 9.80% | |
| 1223 Repair/Maint. Real Property | \$2,000.00 | | \$2,000.00 | 0.00% | |
| 1229 Repair & Maintenance NEC | | | | | |
| 1230 In-House Repair & Maintenance | | | | | |
| 1231 Rental, Office Equipment | \$35,800.00 | \$373.26 | \$35,426.74 | 1.04% | |
| 1232 Rental, Motor Vehicles | \$4,600.00 | \$1,800.00 | \$2,800.00 | 39.13% | |
| 1233 Rental, Real Property | \$392,000.00 | \$130,140.00 | \$261,860.00 | 33.20% | |
| 1234 Rental, Machinery & Mechanical Eqmt | | | | | |
| 1239 Rental, NEC | \$1,000.00 | | \$1,000.00 | 0.00% | |
| 1240 Statistical & Tabulation Services | \$12,800.00 | \$1,790.60 | \$11,009.40 | 13.99% | |
| 1245 Professional & Artistic | | | | | |
| 1248 Building & Grounds Maintenance | \$1,000.00 | | \$1,000.00 | 0.00% | |
| 1251 Gas | | | | | |
| 1252 Electricity | | | | | |
| 1253 Water | | | | | |
| 1255 Utilities, NEC | | | | | |
| 1261 Postage | \$75,000.00 | \$197.27 | \$74,802.73 | 0.26% | |
| 1266 Court Reporting | | | | | |
| 1274 Reg Fees & Conf. Expenses (Vendor) | \$800.00 | | \$800.00 | 0.00% | |
| 1275 Subscription & Information Services | \$2,500.00 | \$1,040.00 | \$1,460.00 | 41.60% | |
| 1276 Reg Fees & Conf. Expenses (Employee) | \$400.00 | | \$400.00 | 0.00% | |
| 1277 Association Dues | \$2,200.00 | \$91.50 | \$2,108.50 | 4.16% | |
| 1279 Tuition - Employee | | | | | |
| 1280 Copying, Photographic & Printer Services | | | | | |
| 1281 Interviewee Expense - To Vendors | | | | | |
| 1285 Taxes, Licenses & Fees | \$100.00 | | \$100.00 | 0.00% | |
| 1289 Contractual Services, NEC | \$11,500.00 | \$4,353.78 | \$7,146.22 | 37.86% | |
| TRAVEL | | | | | |
| 1291 In-State | \$13,000.00 | \$538.86 | \$12,461.14 | 4.15% | |
| 1292 Out-of-State | \$1,000.00 | | \$1,000.00 | 0.00% | |
| PRINTING | \$9,800.00 | \$377.31 | \$9,422.69 | 3.85% | |
| COMMODITIES | | | | | |
| 1304 Office/Library Supplies | \$14,800.00 | \$1,089.93 | \$13,710.07 | 7.36% | |
| 1306 Food for Human Consumption | | | | | |
| 1391 Household & Cleaning Supplies | \$100.00 | \$22.46 | \$77.54 | 22.46% | |
| 1394 Office/Library Equip., Not exc. \$100 | | | | | |
| 1395 Small Tools Not Exceeding \$100 | \$100.00 | \$9.99 | \$90.01 | 9.99% | |
| 1398 Equipment, NEC | \$500.00 | \$7.99 | \$492.01 | 1.60% | |
| 1399 Commodities, NEC | \$600.00 | | \$600.00 | 0.00% | |
| EQUIPMENT | | | | | |
| 1510 Office Furniture & Equipment | \$5,000.00 | \$121.71 | \$4,878.29 | 2.43% | |
| 1599 Equipment NEC | \$1,000.00 | | \$1,000.00 | 0.00% | |
| TELECOMMUNICATIONS | | | | | |
| 1710 Repair/Maintenance Telecom | | | | | |
| 1721 Rental, Telephone Serv. & Equip. | \$51,000.00 | \$7,756.02 | \$43,243.98 | 15.21% | |
| 1722 Rental, Data Comm. Serv. & Equip. | \$82,800.00 | \$11,815.34 | \$70,984.66 | 14.27% | |
| 1728 Videoconferencing | \$7,200.00 | \$1,128.70 | \$6,071.30 | 15.68% | |
| 1729 Rental, Other Comm. Serv. & Equip. | \$3,000.00 | | \$3,000.00 | 0.00% | |
| 1730 Parts & Supplies for Telephone | \$1,500.00 | | \$1,500.00 | 0.00% | |
| 1750 Telephone, Data, Radio & Other Equipment | | | | | |
| OPERATION OF AUTO EQUIPMENT | | | | | |
| 1893 Repair & Maint., Auto. Equipment | \$1,800.00 | \$376.95 | \$1,423.05 | 20.94% | |
| 1894 Parts & Fittings, Auto Equipment | \$200.00 | | \$200.00 | 0.00% | |
| 1896 Gasoline, Oil & Antifreeze | \$2,900.00 | | \$2,900.00 | 0.00% | |
| 1899 Auto. Expenses, NEC | | | | | |
| LUMP SUMS & OTHER PURPOSES | | | | | |
| 1991 Interest Payments | | | | | |
| ADMINISTRATION GRAND TOTAL | FY13 APPROPRIATION | YEAR TO DATE EXPENDITURE | OBLIGATED MONEY | % OF EXPENDITURE | |
| PERSONAL SERVICE | \$742,400.00 | \$137,444.57 | | 18.51% | |
| STATE PAID RETIREMENT | \$29,700.00 | \$5,507.60 | | 18.54% | |
| RETIREMENT (incl. supplemental funding) | \$0.00 | \$0.00 | | 0.00% | |
| SOCIAL SECURITY | \$56,800.00 | \$10,158.65 | | 17.88% | |
| CONTRACTUAL SERVICE | \$555,900.00 | \$141,059.79 | \$261,855.00 | \$152,985.21 | 25.38% |
| TRAVEL | \$14,000.00 | \$538.86 | | \$13,461.14 | 3.85% |
| PRINTING | \$9,800.00 | \$377.31 | | \$9,422.69 | 3.85% |
| COMMODITIES | \$16,100.00 | \$1,130.37 | | \$14,969.63 | 7.02% |
| EQUIPMENT | \$6,000.00 | \$121.71 | | \$5,878.29 | 2.03% |
| TELECOMMUNICATIONS | \$145,500.00 | \$20,700.06 | | \$124,799.94 | 14.23% |
| OPERATION OF AUTO EQUIPMENT | \$4,900.00 | \$376.95 | | \$4,523.05 | 7.69% |
| INTEREST PAYMENTS | \$0.00 | \$0.00 | | \$0.00 | 0.00% |
| TOTAL | \$1,581,100.00 | \$317,415.87 | \$261,855.00 | \$1,001,829.13 | 20.08% |

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
General Revenue Fund

| ELECTIONS MONTH ENDING: Sept. 30, 2012 | FY13 APPROPRIATION | YEAR TO DATE EXPENDITURE | BALANCE | % OF EXPENDITURE |
|---|-------------------------------------|---|----------------|-----------------------------------|
| PERSONAL SERVICE | \$1,658,900.00 | \$354,906.20 | \$1,303,993.80 | 21.39% |
| 1129 State Paid Retirement | \$66,400.00 | \$14,214.31 | \$52,185.69 | 21.41% |
| 1161 Retirement | \$0.00 | | \$0.00 | 0.00% |
| 1161-008 Compt. Supplemental Retirement | \$0.00 | | \$0.00 | 0.00% |
| 1170 Social Security | \$127,000.00 | \$26,345.00 | \$100,655.00 | 20.74% |
| CONTRACTUAL SERVICE | | | | |
| 1145 Contractual Payroll | | | | |
| 1202 Contractual reimbursement To Employee | | | | |
| 1205 Freight Express & Drayage | \$2,800.00 | | \$2,800.00 | 0.00% |
| 1221 Repair/Maint. Furn./Office Equipment | \$200.00 | | \$200.00 | 0.00% |
| 1224 Repair/Maint. Machinery & Mechanical Equip | \$500.00 | | \$500.00 | 0.00% |
| 1231 Rental, Office Equipment | | | | |
| 1232 Rental, Motor Vehicles | | | | |
| 1237 Rental, Film & Audio/Visual Aids | \$100.00 | | \$100.00 | 0.00% |
| 1239 Rental, NEC | \$200.00 | \$100.00 | \$100.00 | 50.00% |
| 1242 Auditing & Management Services | | | | |
| 1245 Professional & Artistic Services, NEC | \$24,900.00 | | \$24,900.00 | 0.00% |
| 1266 Court Reporting & Filing Services | | | | |
| 1273 Advertising | | | | |
| 1274 Reg Fees & Conf. Expenses (Vendor) | \$4,000.00 | | \$4,000.00 | 0.00% |
| 1275 Subscription & Information Services | \$1,000.00 | \$258.00 | \$742.00 | 25.80% |
| 1276 Reg. Fees & Conf. Expenses (Employee) | \$3,600.00 | \$299.00 | \$3,301.00 | 8.31% |
| 1277 Association Dues | \$2,200.00 | \$55.00 | \$2,145.00 | 2.50% |
| 1279 Employee Tuition & Fees | \$800.00 | | \$800.00 | 0.00% |
| 1280 Copying, Photographic & Printing Services | \$1,500.00 | | \$1,500.00 | 0.00% |
| 1284 Software | \$100.00 | \$59.95 | \$40.05 | 59.95% |
| 1285 Operating Taxes, Licenses & Fees | | | | |
| 1286 Travel, Non-state Employees | | | | |
| 1289 Contractual Services, NEC | \$400.00 | | \$400.00 | 0.00% |
| TRAVEL | \$55,000.00 | \$4,949.21 | \$50,050.79 | 9.00% |
| PRINTING | \$10,300.00 | \$51.35 | \$10,248.65 | 0.50% |
| EQUIPMENT | | | | |
| 1510 Office Furniture & Equipment | \$3,300.00 | | \$3,300.00 | 0.00% |
| LUMP SUMS & OTHER PURPOSES | | | | |
| 1991 Interest Payments | | | | |
| HAVA - Maintenance of Effort | \$550,000.00 | \$25,216.96 | \$524,783.04 | 4.58% |
| ELECTION CODE BOOKS | \$15,000.00 | | \$15,000.00 | 0.00% |
| Redistricting | | | | |
| Election Judge Reimbursements | \$1,347,100.00 | | \$1,347,100.00 | 0.00% |
| Stipends | \$644,800.00 | | \$644,800.00 | 0.00% |
| Early Voting Election Judges | | | | |
| State HAVA Match | | | | |
| Voting System Integrity Center | | | | |
| Electronic Canvassing Implementation | \$300,000.00 | \$1,390.03 | \$298,609.97 | 0.46% |
| Electronic Canvassing Interest Payments | | | | |
| IDIS Replacement System | \$100,000.00 | | \$100,000.00 | 0.00% |
| Supp. Approp. - Election Day Judge | \$0.00 | | \$0.00 | 0.00% |

| ELECTIONS GRAND TOTAL | FY13 APPROPRIATION | YEAR TO DATE EXPENDITURE | OBLIGATED MONEY | BALANCE | % OF EXPENDITURE |
|--|-------------------------------------|---|----------------------------------|-----------------------|-----------------------------------|
| PERSONAL SERVICE | \$1,658,900.00 | \$354,906.20 | | \$1,303,993.80 | 21.39% |
| STATE PAID RETIREMENT | \$66,400.00 | \$14,214.31 | | \$52,185.69 | 21.41% |
| RETIREMENT (incl. supplemental funding) | \$0.00 | \$0.00 | | \$0.00 | 0.00% |
| SOCIAL SECURITY | \$127,000.00 | \$26,345.00 | | \$100,655.00 | 20.74% |
| CONTRACTUAL SERVICE | \$42,300.00 | \$771.95 | | \$41,528.05 | 1.82% |
| TRAVEL | \$55,000.00 | \$4,949.21 | | \$50,050.79 | 9.00% |
| PRINTING | \$10,300.00 | \$51.35 | | \$10,248.65 | 0.50% |
| EQUIPMENT | \$3,300.00 | \$0.00 | | \$3,300.00 | 0.00% |
| HAVA - Maintenance of Effort | \$550,000.00 | \$25,216.96 | | \$524,783.04 | 4.58% |
| ELECTION CODE BOOKS | \$15,000.00 | \$0.00 | | \$15,000.00 | 0.00% |
| Redistricting | \$0.00 | \$0.00 | | \$0.00 | 0.00% |
| Election Judge Reimbursements | \$1,347,100.00 | \$0.00 | | \$1,347,100.00 | 0.00% |
| Stipends | \$644,800.00 | \$0.00 | | \$644,800.00 | 0.00% |
| Early Voting Election Judges | \$0.00 | \$0.00 | | \$0.00 | 0.00% |
| State HAVA Match | \$0.00 | \$0.00 | | \$0.00 | 0.00% |
| Voting System Integrity Center | \$0.00 | \$0.00 | | \$0.00 | 0.00% |
| Electronic Canvassing Implementation | \$300,000.00 | \$1,390.03 | | \$298,609.97 | 0.46% |
| Electronic Canvassing Interest Payments | \$0.00 | \$0.00 | | \$0.00 | 0.00% |
| IDIS Replacement System | \$100,000.00 | \$0.00 | | \$100,000.00 | 0.00% |
| Supp. Approp. - Election Day Judge | \$0.00 | \$0.00 | | \$0.00 | 0.00% |
| INTEREST PAYMENTS | \$0.00 | \$0.00 | | \$0.00 | 0.00% |
| TOTAL | \$4,920,100.00 | \$427,845.01 | \$0.00 | \$4,492,254.99 | 8.70% |

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
General Revenue Fund

| GENERAL COUNSEL MONTH ENDING: Sept. 30, 2012 | FY13 APPROPRIATION | YEAR TO DATE EXPENDITURE | BALANCE | % OF EXPENDITURE |
|---|-------------------------------------|---|----------------|-----------------------------------|
| PERSONAL SERVICE | \$302,600.00 | \$75,840.00 | \$226,760.00 | 25.06% |
| 1129 State Paid Retirement | \$12,100.00 | \$3,036.78 | \$9,063.22 | 25.10% |
| 1161 Retirement | \$0.00 | \$0.00 | \$0.00 | 0.00% |
| 1170 Social Security | \$23,200.00 | \$5,676.45 | \$17,523.55 | 24.47% |
| CONTRACTUAL SERVICE | | | | |
| 1145 Contractual Payroll | | | | |
| 1202 Reimbursement to Employee | | | | |
| 1205 Freight Express & Drayage | \$600.00 | | \$600.00 | 0.00% |
| 1221 Repair/Maint. Furn./Office Equipment | | | | |
| 1244 Legal Fees | \$100,000.00 | \$5,938.75 | \$94,061.25 | 5.94% |
| 1245 Professional & Artistic Services, NEC | \$20,000.00 | | \$20,000.00 | 0.00% |
| 1266 Court Reporting & Filing Services | \$16,000.00 | \$1,521.50 | \$14,478.50 | 9.51% |
| 1273 Advertising | | | | |
| 1274 Reg Fees & Conf. Expenses (Vendor) | \$2,000.00 | | \$2,000.00 | 0.00% |
| 1275 Subscription & Information Services | \$1,000.00 | | \$1,000.00 | 0.00% |
| 1276 Reg. Fees & Conf. Expenses (Employee) | \$1,000.00 | | \$1,000.00 | 0.00% |
| 1277 Association Dues | \$1,200.00 | | \$1,200.00 | 0.00% |
| 1279 Employee Tuition & Fees | | | | |
| 1280 Copying, Photographic & Printing Services | \$7,500.00 | | \$7,500.00 | 0.00% |
| 1284 Computer Software | | | | |
| 1289 Contractual Services, NEC | \$6,000.00 | \$908.50 | \$5,091.50 | 15.14% |
| TRAVEL | \$12,000.00 | \$2,523.74 | \$9,476.26 | 21.03% |
| EQUIPMENT | | | | |
| 1510 Office Furniture & Equipment | \$2,000.00 | | \$2,000.00 | 0.00% |
| LUMP SUMS & OTHER PURPOSES | | | | |
| 1991 Interest Payments | | | | |

| GENERAL COUNSEL GRAND TOTAL | FY13 APPROPRIATION | YEAR TO DATE EXPENDITURE | OBLIGATED MONEY | BALANCE | % OF EXPENDITURE |
|------------------------------------|-------------------------------------|---|----------------------------------|---------------------|-----------------------------------|
| PERSONAL SERVICE | \$302,600.00 | \$75,840.00 | | \$226,760.00 | 25.06% |
| STATE PAID RETIREMENT | \$12,100.00 | \$3,036.78 | | \$9,063.22 | 25.10% |
| RETIREMENT | \$0.00 | \$0.00 | | \$0.00 | 0.00% |
| SOCIAL SECURITY | \$23,200.00 | \$5,676.45 | | \$17,523.55 | 24.47% |
| CONTRACTUAL SERVICE | \$155,300.00 | \$8,368.75 | 54,930.00 | \$92,001.25 | 5.39% |
| TRAVEL | \$12,000.00 | \$2,523.74 | | \$9,476.26 | 21.03% |
| EQUIPMENT | \$2,000.00 | \$0.00 | | \$2,000.00 | 0.00% |
| INTEREST PAYMENTS | \$0.00 | \$0.00 | | \$0.00 | 0.00% |
| TOTAL | \$507,200.00 | \$95,445.72 | \$54,930.00 | \$356,824.28 | 18.82% |

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
General Revenue Fund

| CAMPAIGN DISCLOSURE MONTH ENDING: Sept. 30, 2012 | FY13 APPROPRIATION | YEAR TO DATE EXPENDITURE | BALANCE | % OF EXPENDITURE |
|---|-------------------------------------|---|----------------|-----------------------------------|
| PERSONAL SERVICE | \$787,600.00 | \$173,498.68 | \$614,101.32 | 22.03% |
| 1129 State Paid Retirement | \$31,600.00 | \$6,942.53 | \$24,657.47 | 21.97% |
| 1161 Retirement | \$0.00 | | \$0.00 | 0.00% |
| 1161-0008 Compt. Supplemental Retirement | \$0.00 | | \$0.00 | 0.00% |
| 1170 Social Security | \$60,300.00 | \$12,728.79 | \$47,571.21 | 21.11% |
| CONTRACTUAL SERVICE | | | | |
| 1202 Employee Reimbursement | | | | |
| 1205 Freight Express & Drayage | \$100.00 | | \$100.00 | 0.00% |
| 1221 Repair & Maint, Furn & Office Equipment | | | | |
| 1225 Repair & Maint, EDP | | | | |
| 1229 Repair & Maint, NEC | | | | |
| 1232 Rental, Motor Vehicles | | | | |
| 1239 Rental, NEC | | | | |
| 1245 Professional & Artistic Services, NEC | | | | |
| 1248 Building & Ground Maintenance | | | | |
| 1266 Court Reporting & Filing Services | | | | |
| 1274 Reg Fees & Conf. Expenses (Vendor) | \$1,200.00 | | \$1,200.00 | 0.00% |
| 1275 Subscription & Information Services | | | | |
| 1276 Reg. Fees & Conf. Expenses (Employee) | | | | |
| 1277 Association Dues | | | | |
| 1279 Employee Tuition and Fees | \$600.00 | | \$600.00 | 0.00% |
| 1285 Operating Taxes, Licenses & Fees | | | | |
| 1286 Travel, Non-State Employee | | | | |
| 1289 Contractual Services, NEC | | | | |
| TRAVEL | | | | |
| 1291 In-State | \$7,500.00 | \$371.34 | \$7,128.66 | 4.95% |
| 1292 Out-of-State | \$3,300.00 | | \$3,300.00 | 0.00% |
| 1295 Mileage | \$500.00 | \$415.14 | \$84.86 | 83.03% |
| PRINTING | \$20,200.00 | \$1,731.50 | \$18,468.50 | 8.57% |
| EQUIPMENT | | | | |
| 1510 Office Furniture & Equipment | \$800.00 | | \$800.00 | 0.00% |
| LUMP SUMS & OTHER PURPOSES | | | | |
| 1991 Interest Payments | | | | |

| CAMPAIGN DISCLOSURE GRAND TOTAL | FY13 APPROPRIATION | YEAR TO DATE EXPENDITURE | OBLIGATED MONEY | BALANCE | % OF EXPENDITURE |
|--|-------------------------------------|---|----------------------------------|---------------------|-----------------------------------|
| PERSONAL SERVICE | \$787,600.00 | \$173,498.68 | | \$614,101.32 | 22.03% |
| STATE PAID RETIREMENT | \$31,600.00 | \$6,942.53 | | \$24,657.47 | 21.97% |
| RETIREMENT (incl. supplemental funding) | \$0.00 | \$0.00 | | \$0.00 | 0.00% |
| SOCIAL SECURITY | \$60,300.00 | \$12,728.79 | | \$47,571.21 | 21.11% |
| CONTRACTUAL SERVICE | \$1,900.00 | \$0.00 | | \$1,900.00 | 0.00% |
| TRAVEL | \$11,300.00 | \$786.48 | | \$10,513.52 | 6.96% |
| PRINTING | \$20,200.00 | \$1,731.50 | | \$18,468.50 | 8.57% |
| EQUIPMENT | \$800.00 | \$0.00 | | \$800.00 | 0.00% |
| INTEREST PAYMENTS | \$0.00 | \$0.00 | | \$0.00 | 0.00% |
| TOTAL | \$913,700.00 | \$195,687.98 | \$0.00 | \$718,012.02 | 21.42% |

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
General Revenue Fund

INFORMATION TECHNOLOGY
MONTH ENDING: Sept. 30, 2012

| | FY13 APPROPRIATION | YEAR TO DATE EXPENDITURE | BALANCE | % OF EXPENDITURE |
|--|-------------------------------|-------------------------------------|----------------|-----------------------------|
| PERSONAL SERVICE | \$705,100.00 | \$187,157.50 | \$517,942.50 | 26.54% |
| 1129 State Paid Retirement | \$28,300.00 | \$6,933.72 | \$21,366.28 | 24.50% |
| 1161 Retirement | \$0.00 | | \$0.00 | 0.00% |
| 1161-0008 Compt. Supplemental Retirement | \$0.00 | | \$0.00 | 0.00% |
| 1170 Social Security | \$54,000.00 | \$13,959.26 | \$40,040.74 | 25.85% |
| CONTRACTUAL SERVICE | | | | |
| 1145 Contractual Payroll | | | | |
| 1205 Freight Express & Drayage | | | | |
| 1221 Repair/Maint. Furn./Office Equipment | \$15,000.00 | | \$15,000.00 | 0.00% |
| 1223 Repair/Maint. Real Property | | | | |
| 1225 Repair/Maint. EDP Equipment | \$66,700.00 | \$11,684.34 | \$55,015.66 | 17.52% |
| 1230 In-House Repair of Equipment | | | | |
| 1234 Rental, Machinery and Mech. Equip | | | | |
| 1239 Rental, NEC | \$400.00 | \$380.70 | \$19.30 | 95.18% |
| 1242 Auditing & Management Services | | | | |
| 1244 Legal Fees | | | | |
| 1245 Professional & Artistic Services, NEC | \$83,800.00 | | \$83,800.00 | 0.00% |
| 1271 Surety Bond & Ins. Prem. | \$1,000.00 | \$584.00 | \$416.00 | 58.40% |
| 1272 Travel & Expense Reimbursement (Vendor) | | | | |
| 1274 Reg Fees & Conf. Expenses (Vendor) | \$6,000.00 | | \$6,000.00 | 0.00% |
| 1275 Subscription & Information Services | \$2,800.00 | | \$2,800.00 | 0.00% |
| 1276 Reg. Fees & Conf. Expenses (Employee) | | | | |
| 1277 Association Dues | | | | |
| 1279 Employee Tuition and Fees | \$8,000.00 | | \$8,000.00 | 0.00% |
| 1284 Computer Software | \$119,400.00 | \$65,338.76 | \$54,061.24 | 54.72% |
| 1285 Operating Taxes, Licenses & Fees | | | | |
| 1286 Travel, Non-State Employee | | | | |
| 1289 Contractual Services, NEC | \$19,400.00 | | \$19,400.00 | 0.00% |
| TRAVEL | | | | |
| 1291 In-State | \$5,500.00 | \$493.09 | \$5,006.91 | 8.97% |
| 1292 Out-of-State | \$5,400.00 | | \$5,400.00 | 0.00% |
| PRINTING | \$700.00 | | \$700.00 | 0.00% |
| COMMODITIES | | | | |
| 1304 Office/Library Supplies | \$23,400.00 | \$839.71 | \$22,560.29 | 3.59% |
| 1332 Industrial & Shop Materials | | | | |
| 1394 Office & Library Equipment Under \$100 | \$100.00 | \$48.69 | \$51.31 | 48.69% |
| 1395 Small Tools < \$100 | | | | |
| 1398 Equipment, NEC | | | | |
| 1399 Commodities, NEC | | | | |
| EQUIPMENT | | | | |
| 1510 Office Furniture & Equipment | \$300.00 | \$274.95 | \$25.05 | 91.65% |
| 1515 EDP Equipment | \$112,600.00 | | \$112,600.00 | 0.00% |
| LUMP SUMS & OTHER PURPOSES | | | | |
| 1991 Interest Payments | | | | |

| | FY13 APPROPRIATION | YEAR TO DATE EXPENDITURE | OBLIGATED MONEY | BALANCE | % OF EXPENDITURE |
|---|-------------------------------|-------------------------------------|----------------------------|---------------------|-----------------------------|
| INFORMATION TECHNOLOGY GRAND TOTAL | | | | | |
| PERSONAL SERVICE | \$705,100.00 | \$187,157.50 | | \$517,942.50 | 26.54% |
| STATE PAID RETIREMENT | \$28,300.00 | \$6,933.72 | | \$21,366.28 | 24.50% |
| RETIREMENT | \$0.00 | \$0.00 | | \$0.00 | 0.00% |
| SOCIAL SECURITY | \$54,000.00 | \$13,959.26 | | \$40,040.74 | 25.85% |
| CONTRACTUAL SERVICE | \$322,500.00 | \$77,987.80 | | \$244,512.20 | 24.18% |
| TRAVEL | \$10,900.00 | \$493.09 | | \$10,406.91 | 4.52% |
| PRINTING | \$700.00 | \$0.00 | | \$700.00 | 0.00% |
| COMMODITIES | \$23,500.00 | \$888.40 | | \$22,611.60 | 3.78% |
| EQUIPMENT | \$112,900.00 | \$274.95 | | \$112,625.05 | 0.24% |
| INTEREST PAYMENTS | \$0.00 | \$0.00 | | \$0.00 | 0.00% |
| TOTAL | \$1,257,900.00 | \$287,694.72 | \$0.00 | \$970,205.28 | 22.87% |

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
General Revenue Fund

| IVRS LUMP SUM MONTH ENDING: Sept. 30, 2012 | YEAR TO DATE EXPENDITURE |
|---|---|
| PERSONAL SERVICE | \$71,094.00 |
| 1129 State Paid Retirement | \$2,843.82 |
| 1161 Retirement | |
| 1170 Social Security | \$5,163.35 |
| CONTRACTUAL SERVICE | |
| 1205 Freight Express & Drayage | |
| 1221 Repair/Maint. Furn./Office Equipment | |
| 1232 Rental Motor Vehicles | |
| 1239 Rental, NEC | |
| 1242 Auditing & Management Services | |
| 1243 Book Binding Services | |
| 1261 Postage | \$1,249.28 |
| 1266 Court Reporting & Filing Services | |
| 1274 Reg. Fees & Conf. Expenses (Vendor) | |
| 1275 Subscriptions | |
| 1276 Reg. Fees & Conf. Expenses (Employee) | |
| 1277 Association Dues | |
| 1279 Employee Tuition & Fees | |
| 1280 Copying, Photographic & Printing Services | |
| 1284 Computer Software | |
| 1286 Travel, Non-State Employee | |
| 1289 Contractual Services, NEC | |
| TRAVEL | |
| PRINTING | |
| COMMODITIES | |
| 1304 Office Supplies | |
| 1398 Equipment Less than \$100 | |
| EQUIPMENT | |
| 1510 Office Furniture & Equipment | |
| LUMP SUMS & OTHER PURPOSES | |
| 1991 Interest Payments | |
| LOCAL GRANTS | |
| 4453 Reimbursement to Governmental Units | |
| 4458 Services, NEC | |
| 4470 Grants to Local Governments | |
| 4479 Payments to Other State Agencies | |
| SUPP. APPROP. - IVRS | |
| LUMP SUM - OPERATIONS APPROP FOR YEAR | \$461,300.00 |
| TOTAL LUMP SUM - OPERATIONS EXPENDITURES | \$80,350.45 |
| REMAINING LUMP SUM APPROPRIATION | \$380,949.55 |
| LUMP SUM - GRANTS APPROP FOR YEAR | \$1,119,100.00 |
| TOTAL LUMP SUM - GRANT EXPENDITURES | \$0.00 |
| REMAINING LUMP SUM APPROPRIATION | \$1,119,100.00 |
| LUMP SUM TOTAL APPROPRIATION | \$1,580,400.00 |
| TOTAL LUMP SUM EXPENDITURES | \$80,350.45 |
| REMAINING LUMP SUM APPROPRIATION | \$1,500,049.55 |
| SUPP. APPROP - IVRS | \$0.00 |
| TOTAL SUPP. APPROP. - IVRS | \$0.00 |
| REMAINING SUPP. APPROP. - IVRS | \$0.00 |

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
 Help Illinois Vote Fund

| AGENCY TOTALS | SECTION 101 Discretionary Funds | SECTION 102 Entitlement Payments | SECTION 261 EAID Disbursements | SECTION 251 Requirements | SECTION 251 Additional Requirements | TOTAL Fund Activity |
|---|---------------------------------------|--|--------------------------------------|-----------------------------|---|------------------------|
| MONTH ENDING: Sept 30, 2012 | | | | | | |
| CASH BALANCE AT BEGINNING OF YR | \$2,409,908.25 | \$0.00 | -\$80,713.82 | \$5,700,043.64 | \$11,787,751.01 | \$19,816,989.08 |
| Program Revenues from Federal Govt | | | \$133,948.82 | | \$51,107.00 | \$185,055.82 |
| Miscellaneous Revenues | \$69.50 | | | | | \$69.50 |
| Interest Earned on IOC Balances | \$6,365.29 | | | \$27,993.97 | \$46,534.74 | \$80,894.00 |
| Interest Penalties Received | | | | | | \$0.00 |
| EAC-Mandated Transfers of Interest | -\$1,232,597.16 | | | \$1,232,597.16 | | \$0.00 |
| State Match Receipts | | | | | \$2,690.00 | \$2,690.00 |
| TOTAL CASH REVENUES | -\$1,226,162.37 | \$0.00 | \$133,948.82 | \$1,260,591.13 | \$100,331.74 | \$268,709.32 |
| YEAR TO DATE CASH EXPENDITURES | | | | | | |
| PERSONAL SERVICE | \$75,748.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$75,748.00 |
| STATE-PAID RETIREMENT | \$3,030.98 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$3,030.98 |
| RETIREMENT | \$25,906.74 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$25,906.74 |
| SOCIAL SECURITY | \$5,541.72 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$5,541.72 |
| GROUP INSURANCE | \$22,651.44 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$22,651.44 |
| CONTRACTUAL SERVICE | \$39,498.39 | \$0.00 | \$0.00 | \$340,566.83 | \$0.00 | \$380,065.22 |
| TRAVEL | \$19,755.55 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$19,755.55 |
| PRINTING | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| COMMODITIES | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| EQUIPMENT | \$1,389.66 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$1,389.66 |
| TELECOMMUNICATIONS | \$405.05 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$405.05 |
| OPERATION OF AUTO. EQUIPMENT | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| INDIRECT COST REFUNDS | \$60,226.64 | \$0.00 | \$0.00 | \$505,363.36 | \$0.00 | \$565,590.00 |
| COURT OF CLAIMS (PY PYMTS) | \$2,000.00 | \$0.00 | \$0.00 | \$84,212.14 | \$0.00 | \$86,212.14 |
| SUB-TOTAL CASH EXPENDITURES (OPERATIONS) | \$256,154.17 | \$0.00 | \$0.00 | \$930,142.33 | \$0.00 | \$1,186,296.50 |
| AWARDS & GRANTS | \$0.00 | \$0.00 | \$107,173.40 | \$175,932.32 | \$4,529,327.95 | \$4,812,433.67 |
| TOTAL CASH EXPENDITURES | \$256,154.17 | \$0.00 | \$107,173.40 | \$1,106,074.65 | \$4,529,327.95 | \$5,998,730.17 |
| CASH BALANCE AT END OF MONTH | \$927,591.71 | \$0.00 | -\$53,938.40 | \$5,854,560.12 | \$7,358,754.80 | \$14,086,968.23 |

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 101 - DISCRETIONARY FUNDS
MONTH ENDING: Sept 30, 2012

| | FY12 APPROPRIATION | YEAR TO DATE EXPENDITURE |
|--|-------------------------------|-------------------------------------|
| PERSONAL SERVICE | \$75,748.00 | \$75,748.00 |
| 1129 State Paid Retirement | \$3,030.98 | \$3,030.98 |
| 1161 Retirement | \$25,906.74 | \$25,906.74 |
| 1170 Social Security | \$5,541.72 | \$5,541.72 |
| 1180 Group Insurance | \$22,651.44 | \$22,651.44 |
| CONTRACTUAL SERVICE | | |
| 1205 Freight Express/Drayage | \$73.51 | \$73.51 |
| 1223 Repair & Maintenance Real Property | \$1,086.68 | \$1,086.68 |
| 1225 Repair & Maintenance, EDP Equip. | \$16,219.20 | \$16,219.20 |
| 1231 Rental, Office Equipment | | |
| 1232 Rental, Motor Vehicles | | |
| 1237 Rental, Film & Audio/Visual Aids | | |
| 1239 Rental, NEC | | |
| 1242 Auditing & Management Services | \$14,479.00 | \$14,479.00 |
| 1243 Book Binding & Processing Services | | |
| 1244 Legal Fees | | |
| 1245 Professional & Technical Services, NEC | | |
| 1261 Postage | | |
| 1266 Court Reporting & Filing Services | | |
| 1273 Advertising | | |
| 1274 Registration Fees & Conf. Exp. (Vendor) | \$3,250.00 | \$3,250.00 |
| 1276 Registration Fees & Conf. Exp. (Employee) | \$1,393.00 | \$1,393.00 |
| 1280 Copying, Photographic & Printing Serv. | | |
| 1284 Computer Software | \$2,997.00 | \$2,997.00 |
| 1286 Travel, Reimb. to Non-State Employees | | |
| 1289 Contractual Services, NEC | | |
| TRAVEL | | |
| 1291 In-State & 1293 In-State (to vendor) | \$15,444.78 | \$15,444.78 |
| 1292 Out-of-State | \$4,310.77 | \$4,310.77 |
| PRINTING | | |
| COMMODITIES | | |
| 1304 Office/Library Supplies | | |
| 1308 Educational & Instructional Materials | | |
| 1394 Office/Library Equip., Not Exceed. \$100 | | |
| 1398 Equipment, NEC | | |
| 1399 Commodities, NEC | | |
| EQUIPMENT | | |
| 1510 Office Furniture & Equipment | | |
| 1515 EDP Equipment | \$1,389.66 | \$1,389.66 |
| 1561 Training Equipment | | |
| 6625 Leasehold Improvements | | |
| TELECOMMUNICATIONS | | |
| 1721 Rental, Telephone Serv. & Equip. | \$405.05 | \$405.05 |
| 1722 Rental, Data Comm. Serv. & Equip. | | |
| 1728 Video Conferencing | | |
| 1729 Rental, Other Comm. Serv. & Equip. | | |
| 1730 Parts & Supplies for Telephone | | |
| 1740 Answering & Pag. Comm. Serv. Equip. | | |
| 1750 Telephone, Data, Radio & Other Equip. | | |
| 1799 Telecommunication Services, NEC | | |
| OPERATION OF AUTO EQUIPMENT | | |
| 1893 Repair & Maint., Automotive Equipment | | |
| 1894 Parts & Fittings, Automotive Equipment | | |
| 1896 Gasoline, Oil & Antifreeze | | |
| 1898 Automotive Services, NEC | | |
| 1899 Automotive Expenses, NEC | | |
| INDIRECT COST REFUNDS | | |
| 1993 Indirect Cost Allocation Refund | \$60,226.64 | \$60,226.64 |
| COURT OF CLAIMS (PY PYMTS) | \$2,000.00 | \$2,000.00 |
| GRANTS | | |
| 4453 Reimbursement to Governmental Units | | |
| 4458 Services, NEC | | |
| 4470 Grants to Local Governments (PPA) | | |
| 4470 Grants to Local Governments (EAI) | | |
| 4470 Grants to Local Governments (AAG) | | |
| 4470 Grants to Local Governments | | |
| 4479 Payments to Other State Agencies | | |

| SECTION 101 GRAND TOTAL | FY12 APPROPRIATION | YEAR TO DATE EXPENDITURE | OBLIGATED MONEY |
|------------------------------------|-------------------------------|-------------------------------------|----------------------------|
| PERSONAL SERVICE | \$75,748.00 | \$75,748.00 | |
| STATE PAID RETIREMENT | \$3,030.98 | \$3,030.98 | |
| RETIREMENT | \$25,906.74 | \$25,906.74 | |
| SOCIAL SECURITY | \$5,541.72 | \$5,541.72 | |
| GROUP INSURANCE | \$22,651.44 | \$22,651.44 | |
| CONTRACTUAL SERVICE | \$39,498.39 | \$39,498.39 | |
| TRAVEL | \$19,755.55 | \$19,755.55 | |
| PRINTING | \$0.00 | \$0.00 | |
| COMMODITIES | \$0.00 | \$0.00 | |
| EQUIPMENT | \$1,389.66 | \$1,389.66 | |
| TELECOMMUNICATIONS | \$405.05 | \$405.05 | |
| OPERATION OF AUTO EQUIPMENT | \$0.00 | \$0.00 | |
| INDIRECT COST REFUNDS | \$60,226.64 | \$60,226.64 | |
| COURT OF CLAIMS (PY PYMTS) | \$2,000.00 | \$2,000.00 | |
| GRANTS | \$0.00 | \$0.00 | |
| TOTAL | \$256,154.17 | \$256,154.17 | \$0.00 |

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 102 - ENTITLEMENT PAYMENTS
MONTH ENDING: Sept 30, 2012

FY12
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1289 Contractual Services, NEC

TRAVEL

1291 In-State
1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
1394 Office/Library Equip., Not Exceed. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1599 Equipment, NEC

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

GRANTS

4453 Reimbursement to Governmental Units
4458 Services, NEC
4470 Grants to Local Governments, NEC

SECTION 102 GRAND TOTAL

FY12
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE
STATE PAID RETIREMENT
RETIREMENT
SOCIAL SECURITY
CONTRACTUAL SERVICE
TRAVEL
PRINTING
COMMODITIES
EQUIPMENT
TELECOMMUNICATIONS
OPERATION OF AUTO EQUIPMENT
GRANTS

\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00

\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00

TOTAL

\$0.00

\$0.00

\$0.00

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
 Help Illinois Vote Fund

SECTION 261 - EAID DISBURSEMENTS
 MONTH ENDING: Sept 30, 2012

FY12
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
 1161 Retirement
 1170 Social Security

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
 1225 Repair & Maintenance, EDP Equip.
 1231 Rental, Office Equipment
 1237 Rental, Film & Audio/Visual Aids
 1239 Rental, NEC
 1242 Auditing & Management Services
 1243 Book Binding & Processing Services
 1244 Legal Fees
 1245 Professional & Technical Services, NEC
 1261 Postage
 1266 Court Reporting & Filing Services
 1274 Registration Fees & Conf. Exp. (Vendor)
 1276 Registration Fees & Conf. Exp. (Employee)
 1280 Copying, Photographic & Printing Serv.
 1284 Computer Software
 1289 Contractual Services, NEC

TRAVEL

1291 In-State
 1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
 1394 Office/Library Equip., Not exc. \$100
 1398 Equipment, NEC
 1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
 1515 EDP Equipment
 1599 Equipment, NEC

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
 1722 Rental, Data Comm. Serv. & Equip.
 1728 Video Conferencing
 1729 Rental, Other Comm. Serv. & Equip.
 1730 Parts & Supplies for Telephone
 1740 Answering & Pag. Comm. Serv. Equip.
 1750 Telephone, Data, Radio & Other Equip.
 1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
 1894 Parts & Fittings, Automotive Equipment
 1896 Gasoline, Oil & Antifreeze
 1898 Automotive Services, NEC
 1899 Automotive Expenses, NEC

GRANTS

4453 Reimbursement to Governmental Units
 4470 Grants to Local Governments (VAID II)
 4470 Grants to Local Governments (VAID III)

\$107,173.40

\$107,173.40

SECTION 261 GRAND TOTAL

FY12
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

| | | | |
|-----------------------------|--------------|--------------|--|
| PERSONAL SERVICE | \$0.00 | \$0.00 | |
| STATE PAID RETIREMENT | \$0.00 | \$0.00 | |
| RETIREMENT | \$0.00 | \$0.00 | |
| SOCIAL SECURITY | \$0.00 | \$0.00 | |
| CONTRACTUAL SERVICE | \$0.00 | \$0.00 | |
| TRAVEL | \$0.00 | \$0.00 | |
| PRINTING | \$0.00 | \$0.00 | |
| COMMODITIES | \$0.00 | \$0.00 | |
| EQUIPMENT | \$0.00 | \$0.00 | |
| TELECOMMUNICATIONS | \$0.00 | \$0.00 | |
| OPERATION OF AUTO EQUIPMENT | \$0.00 | \$0.00 | |
| GRANTS | \$107,173.40 | \$107,173.40 | |

| | | | |
|--------------|---------------------|---------------------|---------------|
| TOTAL | \$107,173.40 | \$107,173.40 | \$0.00 |
|--------------|---------------------|---------------------|---------------|

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 251 - REQUIREMENTS
MONTH ENDING: Sept 30, 2012

FY12
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security
1180 Group Insurance

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1223 Repair & Maintenance Real Property
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1232 Rental, Motor Vehicles
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1273 Advertising
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1289 Contractual Services, NEC

\$291,672.80

\$291,672.80

\$11,602.80

\$11,602.80

\$37,291.23

\$37,291.23

TRAVEL

1291 In-State
1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
1308 Educational & Instructional Materials
1394 Office/Library Equip., Not Exceed. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1561 Training Equipment
6625 Leasehold Improvements

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund

\$505,363.36

\$505,363.36

COURT OF CLAIMS (PY PYMTS)

\$84,212.14

\$84,212.14

GRANTS

4453 Reimbursement to Govt Units (AVE)
4453 Reimbursement to Govt Units (Phase II)
4453 Reimbursement to Govt Units (ALA)
4453 Reimbursement to Govt Units (EDG)
4470 Grants to Local Governments (VRS)
4479 Payments to Other State Agencies

\$175,932.32

\$175,932.32

SECTION 251 (OLD REQ) GRAND TOTAL

FY12
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE

\$0.00

\$0.00

STATE PAID RETIREMENT

\$0.00

\$0.00

RETIREMENT

\$0.00

\$0.00

SOCIAL SECURITY

\$0.00

\$0.00

GROUP INSURANCE

\$0.00

\$0.00

CONTRACTUAL SERVICE

\$340,566.83

\$340,566.83

\$1,111,955.65

TRAVEL

\$0.00

\$0.00

PRINTING

\$0.00

\$0.00

COMMODITIES

\$0.00

\$0.00

EQUIPMENT

\$0.00

\$0.00

TELECOMMUNICATIONS

\$0.00

\$0.00

OPERATION OF AUTO EQUIPMENT

\$0.00

\$0.00

INDIRECT COST REFUNDS

\$505,363.36

\$505,363.36

COURT OF CLAIMS (PY PYMTS)

\$84,212.14

\$84,212.14

GRANTS

\$175,932.32

\$175,932.32

TOTAL

\$1,106,074.65

\$1,106,074.65

\$1,111,955.65

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 251 - ADDITIONAL REQUIREMENTS
MONTH ENDING: Sept 30, 2012

FY12
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security
1180 Group Insurance

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1223 Repair & Maintenance Real Property
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1232 Rental, Motor Vehicles
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1273 Advertising
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1289 Contractual Services, NEC

TRAVEL

1291 In-State
1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
1308 Educational & Instructional Materials
1394 Office/Library Equip., Not Exceed. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1561 Training Equipment
6625 Leasehold Improvements

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund

GRANTS

4453 Reimbursement to Govt Units (AVE)
4453 Reimbursement to Govt Units (Phase II)
4453 Reimbursement to Govt Units (ALA)
4453 Reimbursement to Govt Units (EDG)
4470 Grants to Local Governments (VRS)
4479 Payments to Other State Agencies

\$4,529,327.95

\$4,529,327.95

SECTION 251 (NEW REQ) GRAND TOTAL

FY12
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

| | | |
|-----------------------------|----------------|----------------|
| PERSONAL SERVICE | \$0.00 | \$0.00 |
| STATE PAID RETIREMENT | \$0.00 | \$0.00 |
| RETIREMENT | \$0.00 | \$0.00 |
| SOCIAL SECURITY | \$0.00 | \$0.00 |
| GROUP INSURANCE | \$0.00 | \$0.00 |
| CONTRACTUAL SERVICE | \$0.00 | \$0.00 |
| TRAVEL | \$0.00 | \$0.00 |
| PRINTING | \$0.00 | \$0.00 |
| COMMODITIES | \$0.00 | \$0.00 |
| EQUIPMENT | \$0.00 | \$0.00 |
| TELECOMMUNICATIONS | \$0.00 | \$0.00 |
| OPERATION OF AUTO EQUIPMENT | \$0.00 | \$0.00 |
| INDIRECT COST REFUNDS | \$0.00 | \$0.00 |
| GRANTS | \$4,529,327.95 | \$4,529,327.95 |

| | | | |
|--------------|-----------------------|-----------------------|---------------|
| TOTAL | \$4,529,327.95 | \$4,529,327.95 | \$0.00 |
|--------------|-----------------------|-----------------------|---------------|

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
 Help Illinois Vote Fund

| AGENCY TOTALS | SECTION 101 Discretionary Funds | SECTION 102 Entitlement Payments | SECTION 261 EAID Disbursements | SECTION 251 Requirements | SECTION 251 Additional Requirements | TOTAL Fund Activity |
|---|---------------------------------------|--|--------------------------------------|-----------------------------|---|------------------------|
| <u>MONTH ENDING: Sept. 30, 2012</u> | | | | | | |
| CASH BALANCE AT BEGINNING OF YR | \$927,591.71 | \$0.00 | -\$53,938.40 | \$5,854,560.12 | \$7,358,754.80 | \$14,086,968.23 |
| Program Revenues from Federal Govt | | | | | | \$0.00 |
| Miscellaneous Revenues | | | | | | \$0.00 |
| Interest Earned on IOC Balances | \$623.30 | | | \$4,421.03 | \$5,322.67 | \$10,367.00 |
| Interest Penalties Received | | | | | \$34.65 | \$34.65 |
| EAC-Mandated Transfers of Interest | | | | | | \$0.00 |
| State Match Receipts | | | | | | \$0.00 |
| TOTAL CASH REVENUES | \$623.30 | \$0.00 | \$0.00 | \$4,421.03 | \$5,357.32 | \$10,401.65 |
| YEAR TO DATE CASH EXPENDITURES | | | | | | |
| PERSONAL SERVICE | \$19,602.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$19,602.00 |
| STATE-PAID RETIREMENT | \$784.38 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$784.38 |
| RETIREMENT | \$7,448.82 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$7,448.82 |
| SOCIAL SECURITY | \$1,428.90 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$1,428.90 |
| GROUP INSURANCE | \$7,130.46 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$7,130.46 |
| CONTRACTUAL SERVICE | \$0.00 | \$0.00 | \$0.00 | \$52,147.24 | \$0.00 | \$52,147.24 |
| TRAVEL | \$6,160.91 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$6,160.91 |
| PRINTING | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| COMMODITIES | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| EQUIPMENT | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| TELECOMMUNICATIONS | \$67.19 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$67.19 |
| OPERATION OF AUTO. EQUIPMENT | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| INDIRECT COST REFUNDS | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| SUB-TOTAL CASH EXPENDITURES (OPERATIONS) | \$42,622.66 | \$0.00 | \$0.00 | \$52,147.24 | \$0.00 | \$94,769.90 |
| AWARDS & GRANTS | \$0.00 | \$0.00 | \$36,520.88 | \$0.00 | \$549,841.96 | \$586,362.84 |
| TOTAL CASH EXPENDITURES | \$42,622.66 | \$0.00 | \$36,520.88 | \$52,147.24 | \$549,841.96 | \$681,132.74 |
| CASH BALANCE AT END OF MONTH | \$885,592.35 | \$0.00 | -\$90,459.28 | \$5,806,833.91 | \$6,814,270.16 | \$13,416,237.14 |

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 101 - DISCRETIONARY FUNDS
MONTH ENDING: Sept. 30, 2012

| | <u>FY13</u> <u>APPROPRIATION</u> | <u>YEAR TO DATE</u> <u>EXPENDITURE</u> |
|--|-------------------------------------|---|
| PERSONAL SERVICE | \$19,602.00 | \$19,602.00 |
| 1129 State Paid Retirement | \$784.38 | \$784.38 |
| 1161 Retirement | \$7,448.82 | \$7,448.82 |
| 1170 Social Security | \$1,428.90 | \$1,428.90 |
| 1180 Group Insurance | \$7,130.46 | \$7,130.46 |
| CONTRACTUAL SERVICE | | |
| 1205 Freight Express/Drayage | \$0.00 | |
| 1223 Repair & Maintenance Real Property | \$0.00 | |
| 1225 Repair & Maintenance, EDP Equip. | \$0.00 | |
| 1231 Rental, Office Equipment | | |
| 1232 Rental, Motor Vehicles | | |
| 1237 Rental, Film & Audio/Visual Aids | | |
| 1239 Rental, NEC | | |
| 1242 Auditing & Management Services | \$0.00 | |
| 1243 Book Binding & Processing Services | | |
| 1244 Legal Fees | | |
| 1245 Professional & Technical Services, NEC | | |
| 1261 Postage | | |
| 1266 Court Reporting & Filing Services | | |
| 1273 Advertising | | |
| 1274 Registration Fees & Conf. Exp. (Vendor) | \$0.00 | |
| 1276 Registration Fees & Conf. Exp. (Employee) | \$0.00 | |
| 1280 Copying, Photographic & Printing Serv. | | |
| 1284 Computer Software | \$0.00 | |
| 1286 Travel, Reimb. to Non-State Employees | | |
| 1289 Contractual Services, NEC | | |
| TRAVEL | | |
| 1291 In-State & 1293 In-State (to vendor) | \$1,122.29 | \$1,122.29 |
| 1292 Out-of-State | \$4,019.08 | \$4,019.08 |
| 1295 Mileage | \$1,019.54 | \$1,019.54 |
| PRINTING | | |
| COMMODITIES | | |
| 1304 Office/Library Supplies | | |
| 1308 Educational & Instructional Materials | | |
| 1394 Office/Library Equip., Not Exceed. \$100 | | |
| 1398 Equipment, NEC | | |
| 1399 Commodities, NEC | | |
| EQUIPMENT | | |
| 1510 Office Furniture & Equipment | | |
| 1515 EDP Equipment | \$0.00 | |
| 1561 Training Equipment | | |
| 6625 Leasehold Improvements | | |
| TELECOMMUNICATIONS | | |
| 1721 Rental, Telephone Serv. & Equip. | \$67.19 | \$67.19 |
| 1722 Rental, Data Comm. Serv. & Equip. | | |
| 1728 Video Conferencing | | |
| 1729 Rental, Other Comm. Serv. & Equip. | | |
| 1730 Parts & Supplies for Telephone | | |
| 1740 Answering & Pag. Comm. Serv. Equip. | | |
| 1750 Telephone, Data, Radio & Other Equip. | | |
| 1799 Telecommunication Services, NEC | | |
| OPERATION OF AUTO EQUIPMENT | | |
| 1893 Repair & Maint., Automotive Equipment | | |
| 1894 Parts & Fittings, Automotive Equipment | | |
| 1896 Gasoline, Oil & Antifreeze | | |
| 1898 Automotive Services, NEC | | |
| 1899 Automotive Expenses, NEC | | |
| INDIRECT COST REFUNDS | | |
| 1993 Indirect Cost Allocation Refund | \$0.00 | |
| GRANTS | | |
| 4453 Reimbursement to Governmental Units | | |
| 4458 Services, NEC | | |
| 4470 Grants to Local Governments (PPA) | | |
| 4470 Grants to Local Governments (EAI) | | |
| 4470 Grants to Local Governments (AAG) | | |
| 4470 Grants to Local Governments | | |
| 4479 Payments to Other State Agencies | | |

| <u>SECTION 101 GRAND TOTAL</u> | <u>FY13</u> <u>APPROPRIATION</u> | <u>YEAR TO DATE</u> <u>EXPENDITURE</u> | <u>OBLIGATED</u> <u>MONEY</u> |
|------------------------------------|-------------------------------------|---|----------------------------------|
| PERSONAL SERVICE | \$19,602.00 | \$19,602.00 | |
| STATE PAID RETIREMENT | \$784.38 | \$784.38 | |
| RETIREMENT | \$7,448.82 | \$7,448.82 | |
| SOCIAL SECURITY | \$1,428.90 | \$1,428.90 | |
| GROUP INSURANCE | \$7,130.46 | \$7,130.46 | |
| CONTRACTUAL SERVICE | \$0.00 | \$0.00 | \$13,375.00 |
| TRAVEL | \$6,160.91 | \$6,160.91 | |
| PRINTING | \$0.00 | \$0.00 | |
| COMMODITIES | \$0.00 | \$0.00 | |
| EQUIPMENT | \$0.00 | \$0.00 | |
| TELECOMMUNICATIONS | \$67.19 | \$67.19 | |
| OPERATION OF AUTO EQUIPMENT | \$0.00 | \$0.00 | |
| INDIRECT COST REFUNDS | \$0.00 | \$0.00 | |
| GRANTS | \$0.00 | \$0.00 | |
| TOTAL | \$42,622.66 | \$42,622.66 | \$13,375.00 |

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
 Help Illinois Vote Fund

SECTION 102 - ENTITLEMENT PAYMENTS
MONTH ENDING: Sept. 30, 2012

FY13
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
 1161 Retirement
 1170 Social Security

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
 1225 Repair & Maintenance, EDP Equip.
 1231 Rental, Office Equipment
 1237 Rental, Film & Audio/Visual Aids
 1239 Rental, NEC
 1242 Auditing & Management Services
 1243 Book Binding & Processing Services
 1244 Legal Fees
 1245 Professional & Technical Services, NEC
 1261 Postage
 1266 Court Reporting & Filing Services
 1274 Registration Fees & Conf. Exp. (Vendor)
 1276 Registration Fees & Conf. Exp. (Employee)
 1280 Copying, Photographic & Printing Serv.
 1284 Computer Software
 1289 Contractual Services, NEC

TRAVEL

1291 In-State
 1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
 1394 Office/Library Equip., Not Exceed. \$100
 1398 Equipment, NEC
 1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
 1515 EDP Equipment
 1599 Equipment, NEC

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
 1722 Rental, Data Comm. Serv. & Equip.
 1728 Video Conferencing
 1729 Rental, Other Comm. Serv. & Equip.
 1730 Parts & Supplies for Telephone
 1740 Answering & Pag. Comm. Serv. Equip.
 1750 Telephone, Data, Radio & Other Equip.
 1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
 1894 Parts & Fittings, Automotive Equipment
 1896 Gasoline, Oil & Antifreeze
 1898 Automotive Services, NEC
 1899 Automotive Expenses, NEC

GRANTS

4453 Reimbursement to Governmental Units
 4458 Services, NEC
 4470 Grants to Local Governments, NEC

| SECTION 102 GRAND TOTAL | FY13 APPROPRIATION | YEAR TO DATE EXPENDITURE | OBLIGATED MONEY |
|------------------------------------|-------------------------------|-------------------------------------|----------------------------|
| PERSONAL SERVICE | \$0.00 | \$0.00 | |
| STATE PAID RETIREMENT | \$0.00 | \$0.00 | |
| RETIREMENT | \$0.00 | \$0.00 | |
| SOCIAL SECURITY | \$0.00 | \$0.00 | |
| CONTRACTUAL SERVICE | \$0.00 | \$0.00 | |
| TRAVEL | \$0.00 | \$0.00 | |
| PRINTING | \$0.00 | \$0.00 | |
| COMMODITIES | \$0.00 | \$0.00 | |
| EQUIPMENT | \$0.00 | \$0.00 | |
| TELECOMMUNICATIONS | \$0.00 | \$0.00 | |
| OPERATION OF AUTO EQUIPMENT | \$0.00 | \$0.00 | |
| GRANTS | \$0.00 | \$0.00 | |
| TOTAL | \$0.00 | \$0.00 | \$0.00 |

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
 Help Illinois Vote Fund

SECTION 261 - EAID DISBURSEMENTS
MONTH ENDING: Sept. 30, 2012

FY13
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
 1161 Retirement
 1170 Social Security

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
 1225 Repair & Maintenance, EDP Equip.
 1231 Rental, Office Equipment
 1237 Rental, Film & Audio/Visual Aids
 1239 Rental, NEC
 1242 Auditing & Management Services
 1243 Book Binding & Processing Services
 1244 Legal Fees
 1245 Professional & Technical Services, NEC
 1261 Postage
 1266 Court Reporting & Filing Services
 1274 Registration Fees & Conf. Exp. (Vendor)
 1276 Registration Fees & Conf. Exp. (Employee)
 1280 Copying, Photographic & Printing Serv.
 1284 Computer Software
 1289 Contractual Services, NEC

TRAVEL

1291 In-State
 1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
 1394 Office/Library Equip., Not exc. \$100
 1398 Equipment, NEC
 1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
 1515 EDP Equipment
 1599 Equipment, NEC

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
 1722 Rental, Data Comm. Serv. & Equip.
 1728 Video Conferencing
 1729 Rental, Other Comm. Serv. & Equip.
 1730 Parts & Supplies for Telephone
 1740 Answering & Pag. Comm. Serv. Equip.
 1750 Telephone, Data, Radio & Other Equip.
 1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
 1894 Parts & Fittings, Automotive Equipment
 1896 Gasoline, Oil & Antifreeze
 1898 Automotive Services, NEC
 1899 Automotive Expenses, NEC

GRANTS

4453 Reimbursement to Governmental Units
 4470 Grants to Local Governments (VAID II)
 4470 Grants to Local Governments (VAID III)

\$36,520.88

\$36,520.88

SECTION 261 GRAND TOTAL

FY13
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE

\$0.00

\$0.00

STATE PAID RETIREMENT

\$0.00

\$0.00

RETIREMENT

\$0.00

\$0.00

SOCIAL SECURITY

\$0.00

\$0.00

CONTRACTUAL SERVICE

\$0.00

\$0.00

TRAVEL

\$0.00

\$0.00

PRINTING

\$0.00

\$0.00

COMMODITIES

\$0.00

\$0.00

EQUIPMENT

\$0.00

\$0.00

TELECOMMUNICATIONS

\$0.00

\$0.00

OPERATION OF AUTO EQUIPMENT

\$0.00

\$0.00

GRANTS

\$36,520.88

\$36,520.88

TOTAL

\$36,520.88

\$36,520.88

\$0.00

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 251 - REQUIREMENTS
MONTH ENDING: Sept. 30, 2012

FY13
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security
1180 Group Insurance

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1223 Repair & Maintenance Real Property
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1232 Rental, Motor Vehicles
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1273 Advertising
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1289 Contractual Services, NEC

\$20,750.00

\$20,750.00

\$4,345.46

\$4,345.46

\$27,051.78

\$27,051.78

TRAVEL

1291 In-State
1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
1308 Educational & Instructional Materials
1394 Office/Library Equip., Not Exceed. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1561 Training Equipment
6625 Leasehold Improvements

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund

\$0.00

GRANTS

4453 Reimbursement to Govt Units (AVE)
4453 Reimbursement to Govt Units (Phase II)
4453 Reimbursement to Govt Units (ALA)
4453 Reimbursement to Govt Units (EDG)
4470 Grants to Local Governments (VRS)
4479 Payments to Other State Agencies

\$0.00

SECTION 251 (OLD REQ) GRAND TOTAL

FY13
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE

\$0.00

\$0.00

STATE PAID RETIREMENT

\$0.00

\$0.00

RETIREMENT

\$0.00

\$0.00

SOCIAL SECURITY

\$0.00

\$0.00

GROUP INSURANCE

\$0.00

\$0.00

CONTRACTUAL SERVICE

\$52,147.24

\$52,147.24

\$660,485.00

TRAVEL

\$0.00

\$0.00

PRINTING

\$0.00

\$0.00

COMMODITIES

\$0.00

\$0.00

EQUIPMENT

\$0.00

\$0.00

TELECOMMUNICATIONS

\$0.00

\$0.00

OPERATION OF AUTO EQUIPMENT

\$0.00

\$0.00

INDIRECT COST REFUNDS

\$0.00

\$0.00

GRANTS

\$0.00

\$0.00

TOTAL

\$52,147.24

\$52,147.24

\$660,485.00

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 251 - ADDITIONAL REQUIREMENTS
MONTH ENDING: Sept. 30, 2012

FY13
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
 1161 Retirement
 1170 Social Security
 1180 Group Insurance

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
 1223 Repair & Maintenance Real Property
 1225 Repair & Maintenance, EDP Equip.
 1231 Rental, Office Equipment
 1232 Rental, Motor Vehicles
 1237 Rental, Film & Audio/Visual Aids
 1239 Rental, NEC
 1242 Auditing & Management Services
 1243 Book Binding & Processing Services
 1244 Legal Fees
 1245 Professional & Technical Services, NEC
 1261 Postage
 1266 Court Reporting & Filing Services
 1273 Advertising
 1274 Registration Fees & Conf. Exp. (Vendor)
 1276 Registration Fees & Conf. Exp. (Employee)
 1280 Copying, Photographic & Printing Serv.
 1284 Computer Software
 1289 Contractual Services, NEC

TRAVEL

1291 In-State
 1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
 1308 Educational & Instructional Materials
 1394 Office/Library Equip., Not Exceed. \$100
 1398 Equipment, NEC
 1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
 1515 EDP Equipment
 1561 Training Equipment
 6625 Leasehold Improvements

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
 1722 Rental, Data Comm. Serv. & Equip.
 1728 Video Conferencing
 1729 Rental, Other Comm. Serv. & Equip.
 1730 Parts & Supplies for Telephone
 1740 Answering & Pag. Comm. Serv. Equip.
 1750 Telephone, Data, Radio & Other Equip.
 1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
 1894 Parts & Fittings, Automotive Equipment
 1896 Gasoline, Oil & Antifreeze
 1898 Automotive Services, NEC
 1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund

GRANTS

4453 Reimbursement to Govt Units (AVE)
 4453 Reimbursement to Govt Units (Phase II)
 4453 Reimbursement to Govt Units (ALA)
 4453 Reimbursement to Govt Units (EDG)
 4470 Grants to Local Governments (VRS)
 4479 Payments to Other State Agencies

\$549,841.96

\$549,841.96

SECTION 251 (NEW REQ) GRAND TOTAL

FY13
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE

\$0.00

\$0.00

STATE PAID RETIREMENT

\$0.00

\$0.00

RETIREMENT

\$0.00

\$0.00

SOCIAL SECURITY

\$0.00

\$0.00

GROUP INSURANCE

\$0.00

\$0.00

CONTRACTUAL SERVICE

\$0.00

\$0.00

TRAVEL

\$0.00

\$0.00

PRINTING

\$0.00

\$0.00

COMMODITIES

\$0.00

\$0.00

EQUIPMENT

\$0.00

\$0.00

TELECOMMUNICATIONS

\$0.00

\$0.00

OPERATION OF AUTO EQUIPMENT

\$0.00

\$0.00

INDIRECT COST REFUNDS

\$0.00

\$0.00

GRANTS

\$549,841.96

\$549,841.96

TOTAL

\$549,841.96

\$549,841.96

\$0.00

| Start Date | End Date | Activity | Division |
|------------|----------|---|-----------|
| 9 /17/2012 | | Last day for representative and legislative committees to meet and organize. 10 ILCS 5/8-5 | EL INFO |
| 9 /17/2012 | | Election authorities must submit to SBE a copy of the specimen ballot that is to be tested for General Election. 10 ILCS 5/24B-9 | VOSS |
| 9 /21/2012 | | Each election authority shall send to the SBE written notice on a form prescribed and supplied by the Board the serial numbers for the early voting systems it will use for the General Election. | VOSS |
| 9 /21/2012 | | Each election authority shall send to the SBE written notice on a form prescribed and supplied by the Board of the type of voting system it will use for the General Election. (Rules and Regulations) | VOSS |
| 9 /22/2012 | | Last day for the election authority to have in his office a sufficient number of ballots printed and available for mailing to persons in the United States Service or their spouse and dependents, citizens temporarily residing outside the territorial limits of the United States and nonresident civilians. (10 ILCS 5/7-16, 16-5.01) | LEG |
| 9 /22/2012 | | Last day for the State Board of Elections to publish the Internet Voters' Guide on the Board's website. [10 ILCS 5/12A-5] | LEG |
| 10/1 /2012 | | First day that any political committee shall file its 2012 SEPTEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES with the Board. 10 ILCS 5/9-10. | CAMP DISC |
| 10/2 /2012 | | Remind the Driver and Motor Vehicle Services of the registration cut off of 27 days before an election. | LEG |
| 10/2 /2012 | | Notify election authorities of their need to give public test notification and re-tabulation notification for General Election. | VOSS |
| 10/5 /2012 | | Begin to develop SBE LEGISLATIVE PROGRAM for the upcoming legislative session, beginning January 2013. Recommendations will be solicited from division staff. 10 ILCS 5/1A-8 (8) | LEG |

| Start Date | End Date | Activity | Division |
|-------------------|-----------------|---|-----------------|
| 10/5 /2012 | | Complete draft of Board's field and office plan for Election Day. | EL INFO |
| 10/5 /2012 | | Begin testing of automatic tabulating equipment. 10 ILCS 5/24B-9, 24C-9 | VOSS |
| 10/5 /2012 | | Notify election authorities of requirement to submit computerized voter registration information for General Election 2012 submission in a format prescribed by the SBE | VRS |
| 10/7 /2012 | | First day of period during which any receipt of a contribution of \$1000 or more by a political committee participating in the general election, must be reported within two business days following its receipt. 10 ILCS 5/9-10© | CAMP DISC |
| 10/7 /2012 | | First day of period during which independent expenditures of \$1000 or more made by a political committee must be reported electronically within five business days after making the independent expenditure. 10 ILCS 5/9-10(e). | CAMP DISC |
| 10/9 /2012 | | Last day for voter registration or transfer registration prior to November 6th General Election. 10 ILCS 4-6, 4-6.2, 4-16, 5/5-5, 5-16.2, 5-23, 6-29, 6-50.2 | EL INFO |
| 10/10/2012 | | Notify election authorities to forward a copy of their computer operator's log or analogous information following the proclamation of election results. 10 ILCS 5/24A-13, 24B-13, 24C-14 (Rules and Regulations) | VOSS |
| 10/10/2012 | | First day for grace period registration, change of address and voting begins. 10 ILCS 5/4-50, 5-50, 6-100 | EL INFO |
| 10/15/2012 | | First day for early voting at the office of the Election Authority and locations designated by the Election Authority. 10 ILCS 5/19A-15 | EL INFO |
| 10/15/2012 | | Last day that a political committee shall file its 2012 SEPTEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES with the Board. 10 ILCS 5/9-10. | CAMP DISC |
| 10/15/2012 | | First day for early voting for counties who have permanent polling places. 10 ILCS 5/19A-15 | EL INFO |

| Start Date | End Date | Activity | Division |
|-------------------|-----------------|--|-----------------|
| 10/16/2012 | | BOARD MEETING. 10 ILCS 5/1A7 | EXEC DIR |
| 10/16/2012 | | Complete profile of pre-election referenda. 10 ILCS 5/1A-8 (1, 2, 12) | VOSS |
| 10/16/2012 | | Send letter to those jurisdictions where in-precinct counting equipment is utilized requesting a list of those precincts involved in election. 10 ILCS 24B-15, 24C-15 | VOSS |
| 10/19/2012 | | First day for election authority to submit computerized voter registration file for the General Election 2012 submission. 10 ILCS 5/4-8, 5-7, 6-36 | VRS |
| 10/26/2012 | | Notify state sentral committee chairmen of the time and place of SBE's random selection procedure for the election jurisdiction's 5% re- tabulation of early voting equipment. 10 ILCS 5/24A-15, 5/24B-15, 5/24C-15 | VOSS |
| 10/26/2012 | | Notify state central committee chairmen of the time and place of SBE's random selection procedure for the election jurisdictions' 5% re- tabulation of precincts. 10 ILCS 5/24B-15 and 5/24C-15 | VOSS |
| 10/26/2012 | | Date those jurisdictions utilizing in-precinct counting equipment must submit list of those precincts that will be open for the election. 10 ILCS 5/24B-15, 24C-15 | VOSS |
| 10/29/2012 | | Notify Department of Public Health for a list of facilities licensed or certified under the Nursing Home Care Reform Act or the MR/DD Community Care Act. This is to obtain a current list for the February 26th, 2013 Consolidated Primary Election, and for the April 9th, 2013 Consolidated Election. 10 ILCS 5/19-12.2 | ET&RD |
| 10/29/2012 | | Last day for election authority to submit computerized voter registration file for the General Election 2012 submission. 10 ILCS 5/4-8, 5-7, 6-36 | VRS |
| 10/29/2012 | | Last day for election authorities to submit request for extension to file computerized voter registration information for the General Election 2012 submission. Rules and Regulations | VRS |
| 10/30/2012 | | Last day of grace period registration, change of address and voting. 10 ILCS 5/4-50, 5-50, 6-100 | EL INFO |

| Start Date | End Date | Activity | Division |
|-------------------|-----------------|--|-----------------|
| 10/30/2012 | | Send verification letter to election authorities to confirm the precinct data they originally submitted for their 5% retabulation. 10 ILCS 5/24B-15, 24C-15 | VOSS |
| 10/30/2012 | | Last day for election authority to notify public of time, date, and place of public test for General Election. 10 ILCS 5/24B-9 | VOSS |
| 11/1 /2012 | | Last day for early voting at the office of the Election Authority and locations designated by the Election Authority. 10 ILCS 5/19A-15 | EL INFO |
| 11/1 /2012 | | Date upon which the State Board of Election shall notify political committees that have failed to file the 2013 SEPTEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES by the deadline that an assessment will be levied against the committee for failure to file the report in a timely fashion. Rules and Regulations 125.425. | CAMP DISC |
| 11/1 /2012 | | Last day to conduct SBE tests of automatic tabulating equipment. 10 ILCS 5/24B-9, 24C-9 | VOSS |
| 11/1 /2012 | | Begin preparation of packet materials for 2012 DECEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. Packets to be mailed or e-mailed November 30, 2012. 10 ILCS 5/9-15. | CAMP DISC |
| 11/5 /2012 | | Last day for election authorities to send a sealed copy of each tested program for the upcoming election to the State Board of Elections. The SBE secures the programs until the next General Election. | VOSS |
| 11/5 /2012 | | Last day of period during which any receipt of a contribution of \$1000 or more by a political committee participating in the general election, must be reported within two business days following its receipt. 10 ILCS 5/9-10(c). | CAMP DISC |
| 11/5 /2012 | | Last day of period during which independent expenditures of \$1000 or more made by a political committee must be reported electronically within five business days after making the independent expenditure. 10 ILCS 5/9-10(e). | CAMP DISC |
| 11/6 /2012 | | GENERAL ELECTION | EL INFO |

| Start Date | End Date | Activity | Division |
|------------|------------|--|-----------|
| 11/7 /2012 | | Destroy the sealed program or programs from the previous election of the same type. 10 ILCS 5/24B-9 | VOSS |
| 11/7 /2012 | | Provide the election authorities in those jurisdictions where in-precinct counting equipment is utilized a list of the precincts selected on a random basis by the State Board of Elections. 10 ILCS 5/24B-15, 24C-15 | VOSS |
| 11/13/2012 | 11/15/2012 | (dates subject to change) Veto Session | LEG |
| 11/13/2012 | | Begin review of computer operator's logs for the performance of automatic tabulating equipment used in the General Election. (Rules and Regulations) | VOSS |
| 11/15/2012 | | The date that a second letter goes out to all committees that have failed to file the report advising them that an assessment for late filing is continuing and that a complaint will be filed if the committee fails to file the 2012 SEPTEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. Rules and Regulations 125.425. | CAMP DISC |
| 11/16/2012 | | Last day for SBE to send list of facilities licensed or certified under the Nursing Home Care Reform Act or the MR/DD Community Care Act to the proper election authorities. This list is for the February 26, 2013 Consolidated Primary Election, and for the April 9th, 2013 Consolidated Election. 10 ILCS 19-12.2 | ET&RD |
| 11/19/2012 | 11/26/2012 | Candidate filing period. (For the Consolidated Primary Election in 2013.) | EL INFO |
| 11/19/2012 | | BOARD MEETING. 10 ILCS 5/1A7 | EXEC DIR |
| 11/20/2012 | | Last day for local election authorities to count absentee, military & overseas ballots which were postmarked by midnight Nov 6, 2012. [10 ILCS 5/19-8[c] & 20-8[c]] | LEG |
| 11/21/2012 | | Begin canvass as soon as abstracts are received from county clerks. (Complete by December 7, 2012) 10 ILCS 5/22-1 | EL INFO |
| 11/26/2012 | | SURVEY ELECTION AUTHORITIES to report the number of election judges who have tested for each election. SECTION 8. PERFORMANCE GOALS AND MEASURES, HAVA STATE PLAN. | EXEC DIR |

| Start Date | End Date | Activity | Division |
|-------------------|-----------------|---|-----------------|
| 11/26/2012 | | Last day for election authority to notify public of time, date, and place of re-tabulation for General Election. 10 ILCS 5/24B-15 | VOSS |
| 11/27/2012 | | Send notification reminder to election officials who failed to submit their computer operator's log or analogous information. 10 ILCS 5/24A-13, 24B-13, 24C-14 (Rules and Regulations) | VOSS |
| 11/27/2012 | 11/29/2012 | (dates subject to change) Veto Session | LEG |
| 11/27/2012 | | Link report due form LEO's to the SBE. 10 ILCS 5/19-20, 20-20. | LEG |
| 11/28/2012 | | Send to each election authority a current computerized voter registration error report, from the General Election submission | VRS |
| 11/28/2012 | | Civic Organization certification cutoff date prior to the Feb 2013 Consolidated Primary. 10 ILCS 5/1A-8 (1, 2, 12) (Board Rule 207.50) | ET&RD |
| 11/28/2012 | | Send notice to election authorities who failed to submit a computerized voter registration file, for the General Election 2012 submission. Rules and Regulations | VRS |
| 11/28/2012 | | Send letters to county clerks to obtain information required for the 2013 COUNTY OFFICERS booklet. Not all the information may be available in time to meet our schedule. Our goal is to have the publication complete by 2/15/2013. 10 ILCS 5/1A-8 (1, 2) | ET&RD |
| 11/28/2012 | | Begin preparing 2013 FEDERAL AND STATE OFFICERS booklet. General Assembly member room assignments and telephone number information may be not be available in time to meet our schedule. Our goal is to have the publication available no later than 3/29/2013. 10 ILCS 5/1A-8 (1, 2, 11) | ET&RD |
| 11/30/2012 | | The last day that the State Board of Elections shall notify political committees that have filed a Statement of Organization of their obligation to file the 2012 DECEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. 10 ILCS 5/9-10, 9-15(9). | CAMP DISC |
| 11/30/2012 | | Notify election authorities of requirement to submit computerized voter registration information for December 15, 2012 submission in a format prescribed by the SBE | VRS |

4. Follow up.
5. Comments from the general public.
6. Next Board meeting at 10:30 a.m. on Monday, November 19, 2012 in Springfield.
7. Executive session.